

Corporate Office P.O. Box 4377 Fresno, CA 93744-4377 Telephone (559) 441-1900 Fax (559) 487-7958

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August 13, 2019

Orange County Sanitation District Attn: Mr. Jay Kaura, Contracts Administrator 10844 Ellis Avenue Fountain Valley, CA 92708

via electronic mail to: construction@ocsd.com

Re:

<u>Award Protest Statement</u>. W. M. Lyles Co.'s Bid Protest for the Orange County Sanitation District's ("OCSD") Return Activated Sludge Piping Replacement at Plant No. 2. Project No. P2-123 ("Project")

Dear Mr. Kaura:

As we are in receipt of OCSD's Award Recommendation Notice dated August 8, 2019, for the above-referenced Project, we are writing you and this letter shall serve as W. M. Lyles Co.'s ("WML") formal Award Protest Statement concerning OCSD's recommendation to award the project to Shimmick Construction Company, Inc. ("SHIMMICK").

WML requests that OCSD not award the project to SHIMMICK. SHIMMICK's past safety violations give OCSD grounds to reject its bid for non-responsibility. Accordingly, WML requests that OCSD not award the project to SHIMMICK and award the project contract to WML as the lowest responsive and responsible bidder on this Project.

# SHIMMICK IS NOT A RESPONSBILE AND/OR QUALIFIED CONTRACTOR FOR THIS PROJECT BECAUSE IT HAS NUMEROUS PRIOR SERIOUS AND REPEATED SAFETY VIOLATIONS EVIDENCING AN INABILITY TO CONTROL AND MAINTAIN SAFE PROJECT SITES

The Instruction to Bidders, at Item IB-13(B)(2), pages 7 & 8, states that,

For purpose of evaluating the Safety record of a Bidder, if the Bidder has any Serious, Repeat...citations pursuant to Part 1 of Division 5 of the Labor Code and has not taken appropriate corrective action to abate further such violations.....the Bidder may be deemed Not Responsible and its Bid Rejected.



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Furthermore, Section BF-6(1) of the bid proposal asks, "Does the CONTRACTOR have any Serious, Willful, Repeat...citations....during the past five-year period?"

SHIMMICK, pursuant to Exhibit A, attached hereto and incorporated herein by this reference (hereinafter "OSHA Reports"), has two fatalities, numerous serious violations and repeated violations within the past five-year period. Said OSHA Reports reveal that SHIMMICK has twenty (20) serious violations and at least two repeated violations happening on separate occasions (i.e., three 1509(A) violations, and two 3203(A) violations), from July 2014 through July 2019. The fatality cases involve multiple serious violations (see Case #3 and Case #10 for fatalities). The recent past safety citation record in said OSHA Reports demonstrates SHIMMICK's inability to control and maintain safe project sites.

Two separate incidents resulted in the partial amputation of a worker's finger(s) (see cases #9 and #17) and another resulted in a worker sustaining a fracture in his/her tibia and fibula (see case #5). SHIMMICK had repeated violations of California Code of Regulations at Title 8, Division 1, Chapter 4, Subchapter 7, at § 3203(a). Please note that said §3203 sets forth the requirement to have an Injury and Illness Prevention Program. SHIMMICK has apparently been cited on two separate occasions for inadequate IIPP. Accordingly, SHIMMICK's OSHA Report indicates that SHIMMICK is not a responsible bidder within the meaning of California Public Contract Code §1103.

## REJECTING SHIMMICK'S BID COMPORTS WITH SOUND PUBLIC POLICY INVOLVING THE PROTECTION OF THE PUBLIC AND REJECTING ITS BID FOR RESPONSIBILITY IS SUPPORTED BY CASE AND STATUTORY LAW

OCSD should strongly consider rejecting SHIMMICK as an irresponsible bidder in light of protecting the citizens within the jurisdiction of the OCSD. OCSD is clearly legally permitted to reject SHIMMICK's bid for want of responsibility (see Exhibit B below for legal basis). The public has an interest in the safe construction of public works projects. In calculating the sum total of protecting the public, dollars and cents are not the only factor to consider. The safety of the public is of great importance as the public can either be injured by encountering unsafe project sites or as workers at a project site.

Serious injury and fatalities are to be avoided at all costs due to the heavy toll on the families impacted by same. While nearly impossible to quantify, such injuries have a fiscal impact upon the citizens within the jurisdiction of the OCSD. Within the construction industry, it is well known that serious injuries and fatalities generally always lead to law suits and other costs, which, in turn, drive up the overall cost of a given project. As such, OCSD is well within their rights to consider the protection of the citizens of OCSD by requiring its contractors to have a demonstrably safe construction record. OCSD's exercise of discretion in rejecting SHIMMICK's bid for want of responsibility would provide physical and fiscal safety for the citizens within OCSD's jurisdiction.



California Contractor's License No. 422390

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OCSD has rightly taken steps to evaluate the overall competency of the contractors submitting proposals on this project. Competency embraces a contractor's prior performance, which includes their safety record. In this respect, SHIMMICK fails and has demonstrated an inability to implement proper safety procedures and/or adequately supervise its project sites. It would be well within OCSD's right to determine that SHIMMICK lacks the required responsibility and competency to safely build this Project.

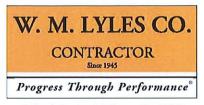
In support of the above, please see Exhibit B setting forth legal, regulatory and contractual basis for rejecting SHIMMICK's bid. Attached, as Exhibit C, are applicable pages from the IFB that were referenced above. As WML was the second lowest bidder, there are no other affected parties who would stand in line to be awarded the Project contract and thus no information is provided regarding any other bidder.

In contrast to SHIMMICK, WML has no serious, willful, or repeated violations and WML's safety history aligns with OCSD's safety requirements. In this regard, please see WML's detailed OSHA Report, attached hereto and incorporated herein by this reference as Exhibit D. Furthermore, please see August 16, 2018 Article written by Megan Cassidy and Lauren Hernandez from the San Francisco Chronicle discussing SHIMMICK's safety problems for SHIMMICK's work for the San Francisco Municipal Transportation Agency, attached hereto and incorporated herein by this reference as Exhibit E. Finally, attached, as Exhibit F, is a spreadsheet providing a summation of SHIMMICK's OSHA citations over the past five years.

#### CONCLUSION

WML, accordingly, requests that OCSD: 1) grant WML's bid protest by not awarding the Project contract to SHIMMICK on the grounds of SHIMMICK's safety related deficiencies (i.e., lack of responsibility); and 2) award the contract to WML as the lowest responsive, responsible bidder on the Project. WML looks forward to working with OCSD on this important Project.

This letter is intended to be: 1) a protest against any award of the contract to a bidder other than WML; 2) a request pursuant to Government Code §54954.1 for written notice of all meetings of OCSD at which any issues pertaining to the award of said contract are on the agenda for the meeting; 3) a request for communications by and between OCSD and SHIMMICK regarding WML's bid protest; 4) a request as soon as staff either reports or renders recommendations concerning any issues pertaining to the award of said contract (by telephone or fax and by email) are available to the public so we can promptly review same; and 5) a request to address OCSD pursuant to California Government Code §54954.3(a) which sets forth our right to address OCSD before or during consideration of any issues related to the award of said Contract.



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Please advise if we need to take any further steps to secure our rights and requests set forth above so that we can promptly comply. If we do not receive a response from you, we will proceed on the basis that this letter is sufficient to protest the bid of SHIMMICK.

#### Verification and Execution

I am the Sr. Vice President of W. M. Lyles Co., and I have read the foregoing Award Protest Statement and know the contents thereof; and I certify that the same is true of my own knowledge, except as to those matters which are therein stated upon my information and belief, and as to those matters I believe it to be true.

I declare, under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct.

Robert P. Saleen

Sr. Vice President of W. M. Lyles Co.

Enclosures/6 Mde

## Exhibit A: OSHA Report

Page 1 of 14

-	7		
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	#	Activity	Opened	RID	St	Туре	Sc	SIC	NAICS	Vio	Establishment Name
	1	1399946.015	05/10/2019	0950644	CA	Accident	Partial		237110		Shimmick Construction
	2	1323735.015	08/27/2018	0950624	CA	Unprog Rel	Partial		236220		Shimmick Construction Company, Inc. And California Engineering Contractors, Inc. Joint Venture
)	3	1339097.015	08/10/2018	0950651	CA	Fat/Cat	Partial		237990	4	Shimmick Construction Co Inc And Con-Quest Contractors Inc
	4	1325793.015	06/21/2018	1055320	WA	Referral	Partial		236220		Wa317950004 - Shimmick Parsons Jv
)	5	1302815.015	03/20/2018	0950635	CA	Accident	Partial		236220	2	Shimmick/Fcc/Impregilio Jv
)	6	1263341.015	09/11/2017	0936200	CA	Planned	Partial		237990		Nova Shimmick A Joint Venture
)	7	1252441.015	07/19/2017	0936200	CA	Unprog Other	Partial		237990	1	Nova Shimmick Joint Venture
)	8	1252345.015	07/11/2017	0936200	CA	Planned	Complete		237990	1	Nova Shimmick Joint Venture
)	9	1205081.015	01/24/2017	0950611	CA	Accident	Partial		236220		Shimmick / Nicholson Jv
0	10	1192534.015	11/21/2016	0950643	CA	Fat/Cat	Partial		236220	6	Shimmick Construction Company Inc.
)	11	1188158.015	10/21/2016	1055320	WA	Referral	Partial		236220	3	Wa317942851 - Shimmick Construction Company Inc
3	12	1167915.015	07/29/2016	1055320	WA	Planned	Partial		236220		Wa317941606 - Shimmick Construction Company Inc
)	13	1144056.015	04/20/2016	0950635	CA	Accident	Partial		237310	4	Shimmick Fcc Impregilo Joint Venture
9	14	1080515.015	07/08/2015	0950631	CA	Referral	Partial		236210	3	Shimmick Construction Company, Inc.
0	15	1061841.015	05/08/2015	0950635	CA	Complaint	Partial		237990		Shimmick Construction Company Inc
3	16	1059365.015	04/21/2015	0950631	CA	Referral	Partial		237990	2	Shimmick Construction Company Inc
)	17	1021893.015	01/30/2015	0950611	CA	Accident	Partial		236220	2	Shimmick-Nicholson Construction, A Joint Venture
	18	995229.015	08/21/2014	0936200	CA	Planned	Complete		236210		Nova Shimmick Ajv

## **UNITED STATES** DEPARTMENT OF LABOR

Exhibit A: Page 2 of 14

OSHA	English	Spanish
Find it in OSHA		Q

A TO Z INDEX

OSHA Y WORKER Y EMPLOYER Y STANDARDS Y ENFORCEMENT Y CONSTRUCTION Y TOPIC Y NEWS/RESOURCES Y DATA Y TRAINING Y

## **Inspection Detail**

#### **Quick Link Reference**

1399946.015 | 1323735.015 | 1339097.015 | 1325793.015 | 1302815.015 | 1263341.015 | 1252441.015 | 1252345.015 | 1205081.015 | 1192534.015 | 1188158.015 | 1167915.015 | 1144056.015 | 1080515.015 | 1061841.015 | 1059365.015 | 1021893.015 | 995229.015

#### Case Status: OPEN

Note: The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

#### Inspection: 1399946.015 - Shimmick Construction

Inspection	Information	- Office:	Ca Monrovi:	a District Office

Nr: 1399946.015 Report ID: 0950644

Open Date: 05/10/2019

Shimmick Construction

1210 Fullerton Rd.

City Of Industry, CA 91748

Union Status: NonUnion

NAICS: 237110/Water and Sewer Line and Related Structures Construction

Mailing: 8201 Edgewater Dr. Suite 202, Oakland, CA 94621

Inspection Type:

Accident

Partial

Advanced Notice:

N

Ownership:

Scope:

Private

Safety/Health:

Safety

Close Conference:

Close Case:

Related Activity:

Type

ID

Safety

Health

Accident

1451236

Case Status: OPEN

#### Case Status: CLOSED

Inspection: 1323735.015 - Shimmick Construction Company, Inc. And California Engineering Contractors, Inc. Joint Venture

**Inspection Information - Office: Ca Modesto** 

Nr: 1323735.015

Report ID: 0950624

Open Date: 08/27/2018

Case #1

Case #2

Shimmick Construction Company, Inc. And California Engineering Contractors, Inc. Joint Venture

200 Valpico Dr.

Tracy, CA 95376

Union Status: NonUnion

NAICS: 236220/Commercial and Institutional Building Construction

Mailing: 8201 Edgewater Drive Ste 201, Oakland, CA 94621 Inspection Type:

Unprog Rel

Scope:

Ownership: Safety/Health: **Partial** Private Safety

Exhibit A: Page 3 of 14

Advanced Notice:

Case #3

Close Conference:

Close Case:

11/30/2018 02/25/2019

Case Status: CLOSED

	Vi	olation S	Summary			
	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations				1		1
Current Violations						
Initial Penalty	\$0	\$0	\$0	\$410	\$0	\$410
Current Penalty	\$0	\$0	\$0	\$0	\$0	\$0
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

	Violation Items												
	#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent		
Deleted	1.	01001	Other	3203(A)(4)	11/30/2018		\$0	\$410	\$0		I - Informal Settlement		

#### **Case Status: VIOLATIONS UNDER CONTEST**

Note: The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

## Inspection: 1339097.015 - Shimmick Construction Co Inc And Con-Quest Contractors Inc

Inspection Information - Office: Ca Sacramento M&T District Office

Nr: 1339097.015

Report ID: 0950651

Open Date: 08/10/2018

Shimmick Construction Co Inc And Con-Quest Contractors Inc

1 West Portal Ave.

San Francisco, CA 94127

Union Status: Union

SIC:

NAICS: 237990/Other Heavy and Civil Engineering Construction Mailing: 8201 Edgewater Drivesuite 202, Oakland, CA 94621

Inspection Type:

Fat/Cat

Scope:

**Partial** 

Advanced Notice:

Ownership:

Private

Safety

Close Conference: 02/08/2019

Safety/Health: Emphasis:

S:Tunnel

Close Case:

Type

ID

Safety

Health

Related Activity:

Accident

1369816

**Case Status: VIOLATIONS UNDER CONTEST** 

	V	iolation	Summary	/		
	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	4					4
Current Violations	4					4
Initial Penalty	\$65,300	\$0	\$0	\$0	\$0	\$65,300
Current Penalty	\$65,300	\$0	\$0	\$0	\$0	\$65,300
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

					Violation Ite	ms				
#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001	Serious	3203(A)	02/08/2019		\$25,000	\$25,000	\$0	03/12/2019	_
2.	02001	Serious	4991(A)	02/08/2019		\$25,000	\$25,000	\$0	03/12/2019	_
3.	03001	Serious	8473(A)	02/08/2019	02/27/2019	\$7,650	\$7,650	\$0	03/12/2019	-
4.	04001	Serious	8473(F)	02/08/2019	02/27/2019	\$7,650	\$7,650	\$0	03/12/2019	-

Exhibit A: Page 4 of 14

		_
Accident	Investigation	Summarv

Summary Nr: 108350.015 Event: 08/10/2018 Employee Is Caught Between Railway Tracks And Asphyxiates

At 4:48 p.m. on August 10, 2018, an employee was walking when he was struck by a steel beam and caught between it and the railway tracks, asphyxiating him.

Keywords: railroad track, caught between, asphyxiated, steel beam

	Inspection	Degree	Nature	Occupation
1	1339097.015	Fatality	Asphyxia	Electricians

Case Status: CLOSED

Inspection: 1325793.015 - Wa317950004 - Shimmick Parsons Jv

**Inspection Information - Office: Washington Region 2** 

Nr: 1325793.015 Report ID: 1055320 Open Date: 06/21/2018

Wa317950004 - Shimmick Parsons Jv

2700 Se Bellevue Way

Union Status: NonUnion

Bellevue, WA 98004 SIC:

NAICS: 236220/Commercial and Institutional Building Construction

Mailing: 8201 Edgewater Dr Ste 202, Oakland, CA 94621

Inspection Type:

Ownership:

Referral

**Partial** Scope:

Private

Safety/Health:

Safety

Close Conference:

07/27/2018

Close Case:

Advanced Notice:

07/27/2018

Related Activity: Type

ID

Safety

N

Referral

1353001

Health

Yes

Case Status: CLOSED

#### **Case Status: VIOLATIONS UNDER CONTEST**

Note: The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

#### Inspection: 1302815.015 - Shimmick/Fcc/Impregilio Jv

Inspection Information - Office: Ca Long Beach District Office

Nr: 1302815.015 Report ID: 0950635

Open Date:

03/20/2018

Shimmick/Fcc/Impregilio Jv

481 Pier D Ave. West

Union Status: Union Long Beach, CA 90802

SIC:

NAICS: 236220/Commercial and Institutional Building Construction

Mailing: 8201 Edgewater Dr#202, Oakland, CA 94621

Inspection Type: Accident

Case #5

Case #4

Scope: Ownership:

Private

**Partial** 

Advanced Notice:

Close Conference: 07/23/2018

Close Case:

Related Activity:

Safety/Health:

Type

Safety

ID

Safety

Health

Exhibit A: Page 5 of 14

Accident

1315280

Case Status: VIOLATIONS UNDER CONTEST

	v	iolation	Summary	/		
	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	2					2
Current Violations	2					2
Initial Penalty	\$36,000	\$0	\$0	\$0	\$0	\$36,000
<b>Current Penalty</b>	\$36,000	\$0	\$0	\$0	\$0	\$36,000
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

	Violation Items											
#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent		
1.	01001	Serious	1509(A)	07/23/2018	08/09/2018	\$18,000	\$18,000	\$0	09/11/2018	-		
2.	02001	Serious	4981(C)	07/23/2018	08/09/2018	\$18,000	\$18,000	\$0	09/11/2018	-		

#### **Accident Investigation Summary**

Summary Nr: 107488.015

Event: 01/24/2018

Employee Is Struck By Wire Rope And Leg Is Fractured

At 10:00 a.m. on January 24, 2018, Employee #1 and Coworkers #1 and #2, employed by a construction company, were working at a bridge replacement project. Employee #1, an iron worker, was working on an elevated bridge platform, 235 feet above grade, and welding eyelets to the platform. Coworkers #1 and #2, also on the elevated platform, began to pull 1 inch diameter wire rope from an uncoiler. As the wire rope came free of the uncoiler, the tail end of the wire rope whipped around and struck Employee #1's left leg. Coworkers #1 and #2 radioed the foreman stationed on the ground. The foreman organized a response team, which used a man basket attached to a tower crane to ascend to the elevated work platform. Employee #1 was placed in the man basket and lowered to the ground. Emergency services were called, and Employee #1 was transported to the hospital. He was admitted and treated, including surgery, for fractures to his left leg tibia and fibula.

Keywords: struck by, construction, fracture, leg, iron worker, elevated work platform, bridge, tower crane, welding, wire rope

	Inspection	Degree	Nature	Occupation
1	1302815.015	Hospitalized injury	Cut/Laceration	Laborers, except construction

Case Status: CLOSED

Inspection: 1263341.015 - Nova Shimmick A Joint Venture

Inspection Information - Office: San Diego Area Office

Nr: 1263341.015 Report ID: 0936200 Open Date: 09/11/2017

Case #6

Nova Shimmick A Joint Venture

Point Loma Fuel Pier

San Diego, CA 92113

Union Status: NonUnion

NAICS: 237990/Other Heavy and Civil Engineering Construction

Mailing: 185 Devlin Rd, Napa, CA 94558

Inspection Type: Planned

Scope:

**Partial** 

Advanced Notice:

N

Ownership:

Private Safety

Close Conference:

09/18/2017

Safety/Health: Emphasis:

SIC:

L:Progcon, P:Progcon

Close Case:

09/18/2017

Case Status: CLOSED

Case Status: CLOSED

#### Inspection: 1252441.015 - Nova Shimmick Joint Venture

Inspection Information - Office: San Diego Area Office

Nr: 1252441.015

Report ID: 0936200

Open Date: 07/19/2017

Nova Shimmick Joint Venture

Naval Base Point Lomafuel Depot Area

San Diego, CA 92106

Union Status: Union

SIC:

NAICS: 237990/Other Heavy and Civil Engineering Construction

Mailing: 185 Devlin Rd, Napa, CA 94558

Inspection Type:

**Unprog Other** 

Scope: Ownership:

Related Activity:

Partial

Private

Type

Safety/Health:

Safety

Close Conference: Close Case:

Advanced Notice:

07/21/2017 06/14/2018 Safety

1277117 Referral Yes

ID

Exhibit A: Page 6 of 14

Case #7

Case #8

Health

#### Case Status: CLOSED

Violation Summary												
	Serious	Willful	Repeat	Other	Unclass	Total						
Initial Violations	(1)					1						
Current Violations	1					1						
Initial Penalty	\$11,408	\$0	\$0	\$0	\$0	\$11,408						
<b>Current Penalty</b>	\$10,500	\$0	\$0	\$0	\$0	\$10,500						
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0						

	Violation Items												
#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent			
1.	01001	Serious	19260250 A01	10/24/2017		\$10,500	\$11,408	\$0	11/06/2017	R - Review Commission			

#### Case Status: CLOSED

#### Inspection: 1252345.015 - Nova Shimmick Joint Venture

Inspection Info	ormation - Office:	San Diego A	rea Office
-----------------	--------------------	-------------	------------

Nr: 1252345.015

Report ID: 0936200

Open Date: 07/11/2017

Nova Shimmick Joint Venture

Naval Base Point Loma2907 Shelter Island Dr.

San Diego, CA 92106

Union Status: NonUnion

SIC:

NAICS: 237990/Other Heavy and Civil Engineering Construction

Mailing: 185 Devlin Rd, Napa, CA 94558

Inspection Type:

Planned

Scope:

Complete

Advanced Notice:

Ownership:

Emphasis:

Private

Safety/Health:

Safety

L:Progcon, P:Progcon

Close Conference: Close Case:

07/14/2017 02/06/2018

Case Status: CLOSED

**Violation Summary** Serious Willful Repeat Other Unclass Total

Initial Violations	1					1
Current Violations				1		1
Initial Penalty	\$4,889	\$0	\$0	\$0	\$0	\$4,889
<b>Current Penalty</b>	\$0	\$0	\$0	\$4,889	\$0	\$4,889
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

Exhibit A: Page 7 of 14

Case #9

	Violation Items												
#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent			
1.	01001	Other	19260405 G02 IV	08/31/2017		\$4,889	\$4,889	\$0		I - Informal Settlement			

Case Status: CLOSED

Inspection: 1205081.015 - Shimmick / Nicholson Jv

Inspection Information - Office: Ca San Francisco

Nr: 1205081.015 Report ID: 0950611

Open Date: 01/24/2017

Shimmick / Nicholson Jv

101 First St.

San Francisco, CA 94105

Union Status: Union

SIC:

NAICS: 236220/Commercial and Institutional Building Construction Mailing: 8201 Edgewater Dr. Suite #202, Oakland, CA 94621

Inspection Type: Accident

Scope:

Ownership:

Safety/Health:

**Partial** 

Safety

Advanced Notice:

Private

Close Conference: 04/05/2017

Close Case:

ID

12/13/2017

N

Related Activity: Type

Safety 1184073 Yes

Complaint

Accident 1173756 Health

Case Status: CLOSED

Violation Summary												
	Serious	Willful	Repeat	Other	Unclass	Total						
Initial Violations				1		1						
Current Violations												
Initial Penalty	\$0	\$0	\$0	\$300	\$0	\$300						
Current Penalty	\$0	\$0	\$0	\$0	\$0	\$0						
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0						

	Violation Items											
	#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent	
Deleted	1.	01001	Other	5001(E)	04/10/2017		\$0	\$300	\$0	04/20/2017	F - Formal Settlement	

#### **Accident Investigation Summary**

Event: 01/15/2017 Construction Foreman Directing Rigging Has Two Finger Amputa Summary Nr: 94459.015

At 3:10 p.m. on January 15, 2017, Employee #1, a foreman for a construction company, Coworker #1, employed by the company to assist Employee #1, and Coworker #2, who was operating an excavator, were engaged in rigging work at a construction excavation site. Employee #1 was directing the work. Employee #1 and Coworker #1 rigged bundles of hollow bar drill steel rod onto an excavator, Komatsu PC228USLC. The bundles consisted of 10 rods, and each rod weighed approximately 140 pounds. The bundled rods were moved approximately 15 feet across the south side of the excavation site. Coworker #2, operating the excavator, then began to lower the load to the ground. The steel hook from the rigging struck and crushed Employee #1's right hand. Emergency services were called, and Employee #1 was transported to the hospital. He was admitted and treated for the amputation of his right hand's middle and ring fingers.

DAMOR IL. 1 ago o ol 17

Case #10

Keywords: struck by, amputation, rigging, material handling, construction, excavator, finger, hand, crushed, steel tube								
Inspection	Degree	Nature	Occupation					
1205081.015	Hospitalized injury	Amputation	Construction laborers					

#### Case Status: VIOLATIONS UNDER CONTEST

**Note:** The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

#### Inspection: 1192534.015 - Shimmick Construction Company Inc.

**Inspection Information - Office: Ca Van Nuys** 

Nr: 1192534.015

Report ID: 0950643

NAICS: 236220/Commercial and Institutional Building Construction

Open Date:

11/21/2016

Shimmick Construction Company Inc.

4765 Spring Rd.

Moorpark, CA 93020

Union Status: Union

SIC:

Mailing: 8201 Edgewater Dr., Oakland, CA 94621

Inspection Type: Fat/Cat

Inspection Type: Scope:

\_ ...

**Partial** 

al Advanced Notice:

Ownership: Safety/Health: Private Safety

Close Conference: 04/11/2017

.

Close Case:

Related Activity: Type

Accident

ID 1157737 Safety Health

Case Status: VIOLATIONS UNDER CONTEST

#### **Violation Summary** Serious Willful Repeat Other **Unclass Total Initial Violations** 3 6 3 **Current Violations** 3 3 6 \$48,400 **Initial Penalty** \$46,800 \$0 \$1,600 **Current Penalty** \$46,800 \$0 \$0 \$1,600 \$0 \$48,400 FTA Amount \$0 \$0 \$0 \$0 \$0 \$0

	Violation Items													
#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent				
1.	01001	Other	43000029 B01	04/26/2017	05/31/2017	\$400	\$400	\$0	05/25/2017	-				
2.	01002	Other	3650(T)(15)	04/26/2017	05/31/2017	\$600	\$600	\$0	05/25/2017	-				
3.	01003	Other	3650(T)(33)	04/26/2017	05/31/2017	\$600	\$600	\$0	05/25/2017	-				
4.	02001	Serious	3328(A)(2)	04/26/2017	05/15/2017	\$14,400	\$14,400	\$0	05/25/2017	-				
5.	03001	Serious	3650(T)	04/26/2017	05/15/2017	\$14,400	\$14,400	\$0	05/25/2017	-				
6.	04001	Serious	3650(T)(11)	04/26/2017	05/15/2017	\$18,000	\$18,000	\$0	05/25/2017	-				

#### **Accident Investigation Summary**

Summary Nr: 90735.015

Event: 11/16/2016

Employee Is Caught Between Forklift And Wall And Is Killed

At 7:48 p.m. on November 16, 2016, an employee was operating a Caterpillar telehandler forklift Model 1055C, serial number KDE01436. The employee was traveling down a dirt slope ramp that was approximately twenty percent grade with the forks downgrade and the plate compactor attached to the fork with a

chain. The employee was driving with the cab door open at the time of the accident. The employee operating the forklift lost control, went into the trench and was ejected from the cab. The employee was pinned between the forklift and the dirt wall. The employee suffered internal injuries and a fractured pelvis and was fatally injured.

Exhibit A Page 9 of 14

Exhibit A: Page 9 of 14

Keywords: crushing	, material handling, powered	industrial vehicle, construction	vehicle, struck by
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	Inspection	Degree	Nature	Occupation
1	1192534.015	Fatality	Bruise/Contus/Abras	Construction laborers

#### **Case Status: VIOLATIONS UNDER CONTEST**

**Note:** The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

#### Inspection: 1188158.015 - Wa317942851 - Shimmick Construction Company Inc

Inspection Information - Office: Washington Region 2

Nr: 1188158.015 Report ID: 1055320

ort ID: 1055320 Open Date: 10/21/2016

Wa317942851 - Shimmick Construction Company Inc

Minor St. X Thomas St. Across From 222 Minor St.

Seattle, WA 98101

Union Status: NonUnion

SIC:

NAICS: 236220/Commercial and Institutional Building Construction

Mailing: 8201 Edgewater Dr Ste 202, Oakland, CA 94621

Inspection Type: Scope:

Referral Partial

Advanced Notice:

N

Ownership: Safety/Health: Private

Safety

Close Conference:

10/28/2016

Close Case:

Related Activity:

Type

ID

Safety

Health

Case #11

Referral

erral 1152088

Yes

#### **Case Status: VIOLATIONS UNDER CONTEST**

	Vi	olation S	Summary			
	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	(1)			2		3
Current Violations	1			2		3
Initial Penalty	\$4,800	\$0	\$0	\$0	\$0	\$4,800
<b>Current Penalty</b>	\$4,800	\$0	\$0	\$0	\$0	\$4,800
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

				Violation	n Items					
#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001A	Serious	296-155-53408(2)(D)(I)	12/15/2016	10/21/2016	\$4,800	\$4,800	\$0	12/29/2016	-
2.	01001B	Serious	<b>296-15</b> 5-53401(5)(I)	12/15/2016	10/21/2016	\$0	\$0	\$0	12/29/2016	
3.	02001	Other	296-155-53401(5)(A)	12/15/2016	10/21/2016	\$0	\$0	\$0	12/29/2016	
4.	02002	Other	296-155-53401(5)(G)	12/15/2016	10/21/2016	\$0	\$0	\$0	12/29/2016	

Case Status: CLOSED

Inspection: 1167915.015 - Wa317941606 - Shimmick Construction Company Inc

Inspection Information - Office: Washington Region 2

Nr: 1167915.015

Report ID: 1055320

Open Date: 07/29/2016

Case #12

Wa317941606 - Shimmick Construction Company Inc

7004 Beach Dr Sw

Seattle, WA 98136

Union Status: Union

SIC:

NAICS: 236220/Commercial and Institutional Building Construction

Mailing: 8201 Edgewater Dr Ste 202, Oakland, CA 94621

Inspection Type:

Safety/Health:

Planned

Scope: Ownership: **Partial** 

Private

Safety

Close Conference: Close Case:

Advanced Notice:

08/09/2016

N

Emphasis: P:Constr, S:Constr 08/09/2016

Case Status: CLOSED

#### Case Status: PENDING ABATEMENT OF VIOLATIONS

Note: The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

#### Inspection: 1144056.015 - Shimmick Fcc Impregilo Joint Venture

#### Inspection Information - Office: Ca Long Beach District Office

Nr: 1144056.015 Report ID: 0950635

Open Date: 04/20/2016

Union Status: NonUnion

Shimmick Fcc Impregilo Joint Venture

280 Pier T Ave

Long Beach, CA 90802

SIC:

NAICS: 237310/ Highway, Street, and Bridge Construction Mailing: 8201 Edgewater Drsuite 202, Oakland, CA 94621

Inspection Type:

Accident

Scope: Ownership: **Partial** Private

Safety/Health:

Safety

Close Conference:

10/07/2016

N

Close Case:

Advanced Notice:

Related Activity:

Type

ID

Safety

Health

Accident

1081839

#### Case Status: PENDING ABATEMENT OF VIOLATIONS

	V	iolation	Summar	У		
	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	2			2		4
Current Violations	2			2		4
Initial Penalty	\$36,000	\$0	\$0	\$1,685	\$0	\$37,685
Current Penalty	\$36,000	\$0	\$0	\$1,685	\$0	\$37,685
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

				Vi	olation Item	ıs				
#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001	Other	1511(B)	10/07/2016	10/26/2016	\$560	\$560	\$0		Z - Issued
2.	01002	Other	5042(A)(9)	10/07/2016	10/26/2016	\$1,125	<b>\$1,</b> 125	\$0		Z - Issued
3.	02001	Serious	3385(A)	10/07/2016	10/19/2016	\$18,000	\$18,000	\$0		Z - Issued
4.	03001	Serious	4999(C)(1)	10/07/2016	10/19/2016	\$18,000	\$18,000	\$0		Z - Issued

Exhibit A: Page 10 of 14

Case #13

#### **Case Status: VIOLATIONS UNDER CONTEST**

Note: The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

#### Inspection: 1080515.015 - Shimmick Construction Company, Inc.

Inspection Information - Office: Ca Santa Ana District Office

Nr: 1080515.015 Report ID: 0950631

Open Date: 07/08/2015

Union Status: Union

Case #14

Shimmick Construction Company, Inc.

Southbound I-5 Off-Ramp-Exit 79 To Pch /

Camino Las Ramblas

San Juan Capistrano, CA 92675

SIC:

NAICS: 236210/Industrial Building Construction

Mailing: 8201 Edgewater Dr. Suite 202, Oakland, CA 94621

Inspection Type:

Referral

Scope:

**Partial** 

Ownership: Safety/Health:

Private

Safety

Close Conference: 01/06/2016

Advanced Notice:

Close Case:

Related Activity:

Type Referral ID 1002304 Safety Yes

Health

#### **Case Status: VIOLATIONS UNDER CONTEST**

	v	iolation	Summary	/		
	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	3					3
Current Violations	3					3
Initial Penalty	\$26,770	\$0	\$0	\$0	\$0	\$26,770
<b>Current Penalty</b>	\$26,770	\$0	\$0	\$0	\$0	\$26,770
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

				Violat	tion Ite	ns				
#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001	Serious	1509(A)	01/06/2016		\$7,650	\$7,650	\$0	01/20/2016	-
2.	02001	Serious	16160001 N03	01/06/2016		\$9,560	\$9,560	\$0	01/20/2016	-
3.	03001	Serious	16160001 O	01/06/2016		\$9,560	\$9,560	\$0	01/20/2016	-

Case Status: CLOSED

Inspection: 1061841.015 - Shimmick Construction Company Inc

Inspection Information - Office: Ca Long Beach District Office

Nr: 1061841.015 Report ID: 0950635

Shimmick Construction Company Inc

708 Pier A Street

Wilmington, CA 90744

Union Status: NonUnion

Open Date: 05/08/2015

SIC:

NAICS: 237990/Other Heavy and Civil Engineering Construction

Mailing: 708 Pier A Street, Wilmington, CA 90744

Inspection Type: Complaint

Case #15

Scope: Ownership: **Partial** Private

Health

Advanced Notice:

Close Conference:

Close Case:

06/10/2015 06/10/2015

Related Activity:

Safety/Health:

Type

ID

Safety

Complaint 982164 Yes

Exhibit A: Page 12 of 14

Case #16

Case Status: CLOSED

Health

#### **Case Status: OPEN**

Note: The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

#### Inspection: 1059365.015 - Shimmick Construction Company Inc

#### Inspection Information - Office: Ca Santa Ana District Office

Nr: 1059365.015

Report ID: 0950631

Open Date:

04/21/2015

Shimmick Construction Company Inc

Nb 5 Frwy Overcrossing At Las Ramblasbetween

Camino De Estrella And San Juan Creek Rd

Undercrosss

San Juan Capistrano, CA 92675

SIC:

NAICS: 237990/Other Heavy and Civil Engineering Construction Mailing: 8201 Edgewater Drive, Suite 201, Oakland, CA 94621

Inspection Type:

Safety/Health:

Referral

Scope: **Partial** Ownership: Private Advanced Notice: Ν

Union Status: Union

Close Conference:

10/01/2015

Close Case:

Related Activity:

Type

Safety

ID

Safety

Referral

979690

Yes

Health

#### **Case Status: OPEN**

	V	iolation	Summar	/		
	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	2			1		3
Current Violations	2					2
Initial Penalty	\$15,300	\$0	\$0	\$475	\$0	\$15,775
Current Penalty	\$15,300	\$0	\$0	\$0	\$0	\$15,300
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

					Viola	tion Ite	ms				
	#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
Deleted	1.	01001	Other	1509(A)	10/02/2015		\$0	\$475	\$0	10/14/2015	J - ALJ Decision
	2.	02001	Serious	1620(A)	10/02/2015		\$7,650	\$7,650	\$0	10/14/2015	J - ALJ Decision
	3.	03001	Serious	1712(C)(1)	10/02/2015		\$7,650	<b>\$7,</b> 650	\$0	10/14/2015	J - ALJ Decision

Case Status: OPEN

**Note:** The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

#### Inspection: 1021893.015 - Shimmick-Nicholson Construction, A Joint Venture

Exhibit A: Page 13 of 14

Inspection Information - Office: Ca San Francisco

Nr: 1021893.015 Report ID: 0950611

Open Date: 01/30/2015

Case #17

Shimmick-Nicholson Construction, A Joint Venture

415 Mission St

San Francisco, CA 94105

Union Status: NonUnion

SIC:

NAICS: 236220/Commercial and Institutional Building Construction Mailing: 8201 Edgewater Drive, Suite 202, Oakland, CA 94621

Inspection Type: Accident

Scope:

Partial

Advanced Notice:

Ν

Ownership:

Private Safety

Type Accident Close Conference:

01/30/2015

Close Case:

Related Activity:

Safety/Health:

934258

ID

Safety

Health

#### **Case Status: OPEN**

	Vi	iolation S	Summary			
	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	(1)			2		3
Current Violations	1			1		2
Initial Penalty	\$9,000	\$0	\$0	\$900	\$0	\$9,900
<b>Current Penalty</b>	\$9,000	\$0	\$0	\$450	\$0	\$9,450
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0

					Vic	olation Item	s				
	#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
Deleted	1.	01001	Other	1512(D)	04/29/2015	05/08/2015	\$0	\$450	\$0	05/08/2015	J - ALJ Decision
	2.	01002	Other	1509(A)	04/29/2015	05/08/2015	\$450	\$450	\$0	05/08/2015	J - ALJ Decision
	3.	02001	Serious	1541(A)	04/29/2015	05/04/2015	\$9,000	\$9,000	\$0	05/08/2015	J - ALJ Decision

#### **Accident Investigation Summary**

Summary Nr: 70263.015 Event: 01/12/2015 Worker'S Finger Is Caught Between Wall And Debris And Requir

At 8:30 p.m. on January 12, 2015, Employee #1 and coworker were engaged in excavation work at a commercial building construction site. Employee #1 was removing debris from a wall damaged by an excavator and the coworker was operating the excavator. The excavator hit a static line that Employee #1 was holding onto. Employee #1's hand was caught between the static line and the wall. Emergency services were called, and Employee #1 was transported to the hospital. He was admitted and treated for fractures and lacerations to his hand. The injury to his index finger was sufficiently severe and required amputation of the finger.

Keywords: wall, laceration, amputation, fracture, construction, excavation, excavator, finger, elevated work platform, caught between

	Inspection		Degree	Nature	Occupation	
1	1021893.015		Hospitalized injury	Cut/Laceration	Construction Laborer	

Case Status: CLOSED

Inspection: 995229.015 - Nova Shimmick Ajv

Inspection Information - Office: San Diego Area Office

Nr: 995229.015

Report ID: 0936200

Open Date: 08/21/2014

Nova Shimmick Ajv

Fuel Farm - Navsupfltlogctrsd, 199 Rosecrans St, Bldg

Union Status: Union

San Diego, CA 92106

SIC:

NAICS: 236210/Industrial Building Construction

Mailing: 2907 Shelter Island Dr., Ste #105, Pmb 132, San Diego, CA 92106

Inspection Type:

Safety/Health:

Emphasis:

Planned

Scope: Ownership: Complete

Private

Safety

L:Progcon, P:Progcon

Advanced Notice:

Close Case:

Close Conference: 08/21/2014

N

08/22/2014

Case Status: CLOSED

Exhibit A: Page 14 of 14

Case #18

#### **UNITED STATES** DEPARTMENT OF LABOR

Occupational Safety and Health Administration 200 Constitution Ave NW Washington, DC 20210 800-321-6742 (OSHA) TTY

www.OSHA.gov

#### **FEDERAL GOVERNMENT**

White House

Severe Storm and Flood Recovery Assistance

Disaster Recovery Assistance

DisasterAssistance.gov

USA.gov

No Fear Act Data

U.S. Office of Special Counsel

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#### Exhibit B

#### LEGAL, STATUTORY, AND CONTRACTUAL BASIS FOR REJECTING BID

WML includes the following brief summation of legal, statutory and contractor rationale for rejecting SHIMMICK's bid:

- 1) Competitive bidding process is not for the protection of SHIMMICK. *Universal By-Products, Inc.*, v. City of Modesto (1974) 43 Cal.App.3d 145, concerning competitive bidding, provides that, "The competitive bidding statutes are not intended to be for the benefit of bidders, but for the benefit and protection of the public";
- 2) SHIMMICK generally has no legal right to compel acceptance of its bid. "...the call for bids and the law confer on the awarding authority the duty of exercising its judgment as to whether it is in the best interests of the public entity to award the contract in question to the lowest bidder" and "[c]onsequently, the lowest qualified bidder generally has no legal right to compel acceptance of his or her bid" (Baldwin-Lima-Hamilton Corp. v. Superior Court, 1962, 208 Cal.App.2d 803);
- 3) SHIMMICK's bid can be rejected on the basis of lack of responsibility. *Inglewood-L.A. County Civic Center Auth. V. Superior Court* (1972) 7 Cal.3d 861, a contract must be awarded to the lowest bidder unless it is found that he is not responsible, i.e., not qualified to do the particular work under consideration;
- 4) Raymond v. Fresno City Unified School Dist. (1954) 123 Cal.App.2d 626, in discussing the ability of a municipal governments ability to determine responsibility, the court noted "The term 'lowest responsible bidder' has been held to mean the lowest bidder whose offer best responds in quality, fitness, and capacity to the particular requirements of the proposed work; and that where by the use of these terms the council has been invested with discretionary power as to which is the lowest responsible bidder, having regard to the quality and adaptability of the material or article to the particular requirements of its use, such discretion will not be interfered with by the courts in the absence of direct averments and proof of fraud."
- 5) page 1 of the Notice Inviting Bids states that, "The Orange County Sanitation District reserves the right to reject any or all Bids...."; &
- 6) California Public Contract Code §1103 defines a "Responsible Bidder" as follows, "....a bidder who has demonstrated the attribute of trustworthiness, as well as quality, fitness, capacity, and experience to satisfactorily perform the public works contract."

Statutory and case law are not the only grounds to consider in rejecting SHIMMICK's bid as OCSD clearly provided for rejecting bids in the specifications for this Project in the Notice Inviting Bids as set forth above. In summation, OCSD has the legal authority to reject SHIMMICK's bid and has announced such authority within the specifications for this Project.





#### PROJECT NO. P2-123 RETURN ACTIVATED SLUDGE PIPING REPLACEMENT AT PLANT NO. 2

The Orange County Sanitation District (OCSD) invites qualified Bidders to provide Bids for the RETURN ACTIVATED SLUDGE PIPING REPLACEMENT AT PLANT NO. 2 Project.

#### **Bid Opening:**

The complete Bid shall be submitted in a sealed envelope with the Bidder's name, Project name, Project number, and the Bid due date and time clearly marked on the envelope. The envelope must also be clearly marked "Sealed Bid – Do Not Open", and delivered to:

> **Orange County Sanitation District** Attention: Clerk of the Board 10844 Ellis Avenue Fountain Valley, CA 92708

Bids shall be submitted at or before 11:00 A.M. (Pacific Time Zone), Monday, July 29, 2019.

Bids will be publicly read at that time.

Bids submitted via USPS or other courier service must have the Project Name, Project Number, and the words "Sealed Bid - Do Not Open" clearly marked on the outside of the mailing envelope. Bidders shall notify Jay Kaura, Contracts Administrator via electronic telecommunication (email) at construction@ocsd.com of their mailing of the Bid package at least one day prior to the Bid Opening date.

Bids and/or revisions to Bids received after the date and time specified above will be rejected by OCSD and returned to the Bidders unopened.

Bids must be prepared and submitted in accordance with the requirements set forth in the Invitation for Bids (IFB) documents. All Bidders are required to comply with state, federal and local laws applicable thereto and as directed in the IFB documents.

The Orange County Sanitation District reserves the right to reject any or all Bids and to waive or not to waive any or all irregularities.

#### **Location & Description of the Work:**

The Project is located at Plant 2 at 22212 Brookhurst Street, Huntington Beach, CA 92646. The Work consists of the removal and replacement of approximately 1,400 linear feet of the deteriorated Returned Activated Sludge (RAS) piping, valves, valve actuators and associated wiring, flexible couplings, pipe supports, and the installation of twelve (12) new 14-inch isolation valves. In addition, concrete repairs will be made by full penetration cracking, by drilling through the defect, and injecting expanding polyurethane to fill the voids. Finally, existing light poles will be replaced at the activated sludge basin and secondary clarifiers.

Page 1 of 4

#### **IB-11 ESCROW OF BID DOCUMENTS**

Refer to the Special Provisions entitled ESCROW OF BID DOCUMENTS.

#### **IB-12 REQUEST FOR COPIES OF BIDS**

Requests for copies of Bids shall be made in writing via electronic telecommunication (email) at <a href="mailto:construction@ocsd.com">construction@ocsd.com</a>. Electronic copies of bids so requested will be provided by OCSD within three (3) Business Days of receipt of the request.

#### **IB-13 BID EVALUATION PROCESS**

#### A. General

- The Bid evaluation period shall close upon OCSD's completion of its review and evaluation of the Bids. OCSD will give Bidders notice at the completion of Bid evaluation.
- 2. During the Bid evaluation period, OCSD shall determine if Bids are Responsive and Bidders are Responsible. Bids submitted in strict accordance with the IFB Documents will be deemed Responsive. A Responsible Bidder has the apparent ability to meet and successfully complete the requirements of the Contract Documents. Responsibility determination includes, but is not limited to consideration of a Bidder's trustworthiness, the quality of past performance, financial ability, and the fitness and capacity to do the proposed Work in a satisfactory manner. Bidder may be required to present further evidence that it has successfully performed similar work of comparable magnitude or provide other proof satisfactory to OCSD that it is competent to successfully perform the Work. In addition to contacting the references provided by the Bidder, OCSD may, and retains its right to contact other project owners and such other entities for which Bidder has performed or is performing work. Determination of the Bidder's responsibility will be judged based on all of the aforementioned criteria as established by information and documentation provided by the Bidder in response to instructions and specific questions in the IFB Documents, including but not limited to the Bid Submittal Forms. If the Bidder is a Joint Venture, Bidder shall be required to submit information pertaining to its joint venture partners, as specified in the Bid Submittal Forms.

#### B. Safety

- 1. All Safety evaluations will be performed utilizing data that is current at the date and time of Bid Opening.
- 2. For purpose of evaluating the Safety record of the Bidder, if the Bidder has any Serious, Willful, Repeat, Serious and Willful or Failure to Abate citations pursuant to Part 1 of Division 5 of the Labor Code and has not taken appropriate corrective action to abate further such violations; or if the Bidder has an accident frequency factor between 1.01 and 1.25 and has not taken appropriate corrective action to reduce its accident frequency experience; or if the Bidder has a workers' compensation experience modification rate issued and published by the Workers'

Exhibit C: Page 3 of 4

Compensation Insurance Rating Bureau of California (WCIRB) between 1.01 and 1.25 and has not taken appropriate corrective action to reduce its workplace injuries, illnesses and workers' compensation losses, or if the Bidder does not have injury prevention programs instituted to the extent required by Section 3201.5 or 6401.7 of the Labor Code, the Bidder may be deemed Not Responsible and its Bid rejected.

OCSD may require the Bidder to submit evidence of such corrective actions as described above and as specified in the SAFETY DECLARATION OF CONTRACTOR located in the Bid Submittal Forms.

- 3. If the Bidder has an accident frequency factor above 1.25 or a workers' compensation experience modification rate issued and published by the Workers' Compensation Insurance Rating Bureau of California (WCIRB) above 1.25, the Bid shall be deemed Non-Responsive and the Bid rejected.
- 4. Failure by the two (2) apparent low Bidders to submit a completed Workers' Compensation Experience Rating Form (also referred to as an Experience Rating Worksheet) and/or the completed and signed CAL/OSHA 300/300A Logs and Summaries within three (3) Business Days after the Bid Opening, or provide the reason(s) for exemption, as required, may result in their Bids being rejected as Non-Responsive.

Should the two (2) apparent low Bidders fail to meet OCSD's requirements, the next apparent low Bidder(s) shall be notified to submit the said documentation within the three (3) Business Day time frame for OCSD review.

## Accident Frequency Factor

OCSD will evaluate the Bidder's accident frequency factors for each calendar year submitted on the CAL/OSHA 300/300A Logs and Summaries and its three (3) year average using the Accident Frequency Factor tables shown below.

Prior to completing Part 5 BID SUBMITTAL FORMS, BF-6 SAFETY DECLARATION OF CONTRACTOR (Safety Declaration) located in the Bid Submittal Forms, the Bidder shall calculate its accident frequency factors for each calendar year listed and its three (3) year average using the Accident Frequency Factor tables below. The results of those calculations should be used to respond to the Accident Frequency question on the Declaration.

#### a) Total Recordable Incident Rate Calculation Table

Using the OSHA Form 300 and 300A logs and the table below, the Total Recordable Incident Rate (TRIR) is calculated for the past three (3) completed calendar years and three (3) year average in the table below. (Please see the California Department of Industrial Relations (DIR) website for more detailed information)

Exhibit C: Page 4 of 4

	Bid Submitted By:
	(Name of Firm
BF-6	SAFETY DECLARATION OF CONTRACTOR
	The CONTRACTOR shall complete this form in its entirety and submit it to OCSD with its Bid in accordance with the Part 2 – Instructions to Bidders section entitled "Bid Evaluation Process".
	Prior to submitting its Bid, the CONTRACTOR shall safety pre-qualify each of its Subcontractors. The CONTRACTOR shall require each of its Subcontractors to complete tems 5 - 9 of this form, execute and submit the form to the CONTRACTOR in accordance with he Part 2 – Instructions to Bidders section entitled "Bid Evaluation Process".
	CONTRACTOR certifies the following (check the appropriate box):
	Does the CONTRACTOR have any Serious, Willful, Repeat, Serious and Willful, or Failure to Abate citations of Part 1 (commencing with Section 6300) of Division 5 of the Labor Code, during the past five-year period?
	□ No
	Yes SHIMMICK checked "Yes" on their bid.
	If Yes is checked, OCSD may require the CONTRACTOR to submit evidence of corrective actions being taken to abate further violations of Part 1 of Division 5 of the Labo Code.
	2. Accident Frequency
	OCSD will evaluate the CONTRATOR's accident frequency factors for each calendar year submitted on the CAL/OSHA 300/300A Logs and Summaries and its three (3) year average using the Accident Frequency Factor tables as specified in the Instructions to Bidders.
	Prior to completing this Declaration, OCSD recommends that the CONTRACTOR calculate its accident frequency factors for each calendar year listed and its three (3) year average using the Accident Frequency Factor tables located in the Instructions to Bidders. The results of those calculations should be used to answer the following questions:
	OCSD requires the CONTRACTOR to have an accident frequency at or below a factor of 1.25 of the national average as published by the California Department of Industrial Relations (DIR) for the specific North American Industry Classification System (NAICS) Codes and applied to the accident history of the last three (3) years.
	A. Does the CONTRACTOR have an accident frequency at or below a factor of 1.00 of the state average as published by the DIR for the specific NAICS Codes and applied to the accident history for the last three (3) years?
5.	□ Yes
	OR

BF-6 SAFETY DECLARATION OF CONTRACTOR





Find it in OSHA

A TO Z INDEX

## Occupational Safety and Health Administration

English | Spanish

OSHA - WORKER - EMPLOYER - STANDARDS - ENFORCEMENT - CONSTRUCTION - TOPIC - NEWS/RESOURCES - DATA - TRAINING -

## **Establishment Search Results**

Establishment	Date Range	Office	Zipcode	State	
W. M. Lyles Co.	07/01/2014 to 07/31/2019	all	all	all	

Please note that inspections which are known to be incomplete will have the identifying Activity Nr shown in italic. Information for these open cases is especially dynamic, e.g., violations may be added or deleted.

Sort By: Date | Name | Office | State

Return to Search O

#### By Date

Results 1 - 4 of 4

Get Detail Select All Reset

	#	Activity	Opened	RID	St	Туре	Sc	SIC	NAICS	Vio	Establishment Name
0	1	1395805.015	04/24/2019	0950647	CA	Fat/Cat	Partial		238990		W.M. Lyles Co.
0	2	1359663.015	11/13/2018	0950647	CA	Accident	Partial		238990		W.M. Lyles Co.
0	3	1318186.015	04/30/2018	0950633	CA	Accident	Partial		236220		W. M. Lyles Co
0	4	1287613.015	01/12/2018	0950625	CA	Complaint	Partial		236220		W.M. Lyles Co

Exhibit D: Page 2 of 4

**OSHA** English | Spanish

Find it in OSHA

Q

A TO Z INDEX

OSHA Y WORKER Y EMPLOYER Y STANDARDS Y ENFORCEMENT Y CONSTRUCTION Y TOPIC Y NEWS/RESOURCES Y DATA Y TRAINING Y

## **Inspection Detail**

**Quick Link Reference** 

1395805.015 | 1359663.015 | 1318186.015 | 1287613.015

#### Case Status: OPEN

Note: The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

Inspection: 1395805.015 - W.M. Lyles Co.

**Inspection Information - Office: Bakersfield District Office** 

Nr: 1395805.015

Report ID: 0950647

Open Date:

04/24/2019

W.M. Lyles Co.

Gun Club Rd. & Sherwood Ave.

Wasco, CA 93280

Union Status: Union

SIC:

NAICS: 238990/All Other Specialty Trade Contractors Mailing: P.O. Box 80605, Bakersfield, CA 93380

Inspection Type:

Scope:

Safety/Health:

Fat/Cat

Advanced Notice:

**Partial** 

Ownership:

Private Safety

Close Conference:

Close Case:

1448752

Type

Related Activity:

Accident

ID

Safety

Health

THIS CASE INVOLVES

A WORKER WHO DIED

FROM A HEART ATTACK

NOT A SAFETY RELATED

ON THE PROJECT SITE -

DEATH

Case Status: OPEN

Case Status: CLOSED

Inspection: 1359663.015 - W.M. Lyles Co.

**Inspection Information - Office: Bakersfield District Office** 

Nr: 1359663.015 Report ID: 0950647 Open Date:

11/13/2018

W.M. Lyles Co.

5545 Harris Rd.

Bakersfield, CA 93313

Union Status: Union

NAICS: 238990/All Other Specialty Trade Contractors Mailing: P.O. Box 80605, Bakersfield, CA 93380

Inspection Type: Accident

Scope:

**Partial** 

Advanced Notice:

Ownership:

Private

Safety/Health:

Safety

Close Conference: 03/19/2019

Close Case:

1397511

03/19/2019

Related Activity: Type

Accident

ID

Safety

Health

Exhibit D: Page 3 of 4

Case Status: CLOSED

Case Status: CLOSED

Inspection: 1318186.015 - W. M. Lyles Co

Inspection Information - Office: Ca San Bernardino

Nr: 1318186.015

Report ID: 0950633

Open Date:

04/30/2018

W. M. Lyles Co

59099 Sunny Slope Drive

Yucca Valley, CA 92284

Union Status: Union

SIC:

NAICS: 236220/Commercial and Institutional Building Construction

Mailing: 42142 Roick Drive, Temecula, CA 92590

Inspection Type:

Safety/Health:

Accident

Scope: Ownership: **Partial** 

Private

Safety

Close Conference:

Advanced Notice:

10/19/2018

Close Case:

05/14/2019

Related Activity:

Type

ID

Safety Health

Accident

1330732

Case Status: CLOSED

Violation Summary										
	Serious	Willful	Repeat	Other	Unclass	Total				
Initial Violations				1		1				
Current Violations										
Initial Penalty	\$0	\$0	\$0	\$750	\$0	\$750				
Current Penalty	\$0	\$0	\$0	\$0	\$0	\$0				
FTA Amount	\$0	\$0	\$0	\$0	\$0	\$0				

	Violation Items										
	#	ID	Туре	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
Deleted	1.	01001	Other	1509(A)	10/19/2018		\$0	\$750	\$0	10/26/2018	J - ALJ Decision

Case Status: CLOSED

Inspection: 1287613.015 - W.M. Lyles Co

Inspection Information - Office: Ca Fresno

Nr: 1287613.015 Report ID: 0950625 Open Date:

01/12/2018

W.M. Lyles Co

6395 E. Floradora Avenue

Fresno, CA 93706

Union Status: Union

SIC:

NAICS: 236220/Commercial and Institutional Building Construction

Mailing: 315 North Thorne Avenue, Fresno, CA 93706

Inspection Type:

Complaint Partial Scope:

Ownership: Safety/Health:

Related Activity:

Type

Private

Health

Close Conference:

Advanced Notice:

06/22/2018 Close Case:

06/28/2018

Complaint

ΙD 1299847 Safety

Health Yes

Case Status: CLOSED

#### UNITED STATES DEPARTMENT OF LABOR

Occupational Safety and Health Administration 200 Constitution Ave NW Washington, DC 20210 √ 800-321-6742 (OSHA) TTY

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Exhibit D: Page 4 of 4

Exhibit E: Page 1 of 5

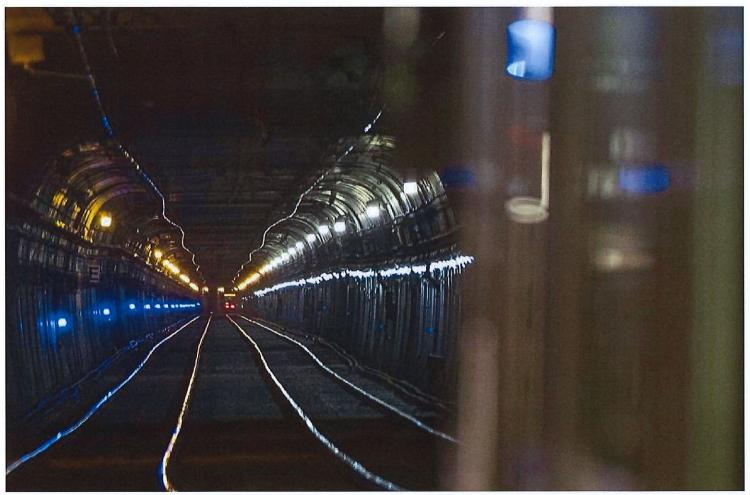
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Local Sporting Green Politics Biz+Tech US & World Opinion Datebook Food Lifestyle Vault: Ar

## contractors

#### Megan Cassidy and Lauren Hernández

Aug. 16, 2018 Updated: Aug. 16, 2018 9:42 p.m.



The Twin Peaks Tunnel

Photo: Jessica Christian / The Chronicle

San Francisco Municipal Transportation Agency officials said Thursday they will beef up background checks on potential contractors following revelations that a hired contractor, Shimmick Construction, had a history of safety violations.

The move comes at the direction of Mayor London Breed, less than a week after a signal technician was killed while working on the construction of the Twin Peaks Tunnel.

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Until now, SFMTA officials said their application process counted on potential bidders to truthfully respond to a prequalification questionnaire.

"The SFMTA uses an industry-wide standard that takes into account their workers' compensation claims compared to the size of its overall operations," SFMTA spokesman Paul Rose said in an email. "These contractors represented that they met those requirements."

#### **Related Stories**



BY SARAH RAVANI

Construction worker dies while working in Twin Peaks Tunnel in SF



BY STEVE RUBENSTEIN

Muni contractor killed by steel beam in Twin Peaks Tunnel...



•

Twin composhorta

Rose pointed to a pre-bidding document that asked Shimmick Construction officials and a business partner, "In the past ten years, has the Potential Bidder ... been cited for any serious and willful safety violations by Cal-OSHA?" The company checked the box for "no."

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In one case it's appealing, a Shimmick Construction employee lost control of a forklift in November 2016 and died after getting ejected and pinned against a dirt wall, according to OSHA. Shimmick Construction was cited for six violations — three of which were serious — resulting in a fine of \$48,400.

Records show at least one "serious/willful" violation was upheld by an appeals board following what Cal/OSHA described as a dangerous excavation in 2011.

Shimmick Construction's history was first reported by the San Francisco Examiner and NBC Bay Area.

In an email Thursday afternoon, Rose said the department will now start fact-checking the bidders' safety backgrounds.

"Rather than rely on information from potential contractors, we are taking steps now to change the policy and ensure that we verify details in the pre-bid documents going forward," he wrote.

Last Friday, 51-year-old Patrick Ricketts was struck by a steel beam at the West Portal side of the 2.3-mile Twin Peaks Tunnel, officials said. Ricketts was pronounced dead at San Francisco General Hospital.

## Cal/OSHA citations against Shimmick Construction

Nov. 16, 2016: A forklift employee died when he was ejected and pinned between the vehicle and a wall. Cal/OSHA cited Shimmick for six violations and imposed a \$48,400 fine. Shimmick is appealing the case.

July 8, 2015:Cal/OSHA issued three citations for violations of its Injury and Illness Prevention Program for construction workers, and imposed a \$26,770 fine, which Shimmick is appealing.

Local Sporting Green Politics Biz+Tech US & World Opinion Datebook Food Lifestyle Vault: Ar Jan. 12, 2015: An employee's hand was severely injured during an excavation that Shimmick and another construction company were working on. Both companies were cited with two violations and fined \$9,450. The case is still open.

**Feb. 6, 2014:**Cal/OSHA issued three citations for violations of a standard that requires testing and examination of cranes and other hoisting equipment. Shimmick was fined \$2,700 and the case was closed a year later.

May 9, 2012: An employee was severely injured by a pipe, resulting in a citation for a health and safety violation and a \$450 fine. The case closed two years later.

Jan. 25, 2012: Cal/OSHA imposed a \$750 fine for six safety violations by Shimmick and another company in a case that closed more than a year later.

August 2011: Cal/OSHA fined Shimmick and another company \$67,500 for a "willful" violation of a standard related to a bridge, tunnel, and/or elevated highway construction. The case closed in 2015.

March 2011: Cal/OSHA fined Shimmick and another company \$197,375 for 14 violations, including some related to heavy construction and control of hazardous substances. The case remains open.

Source: Cal/OSHA

Work inside the 100-year-old light rail tunnel was suspended while the accident was investigated, and resumed the following day. The tunnel has been closed for service since June 25, and is scheduled to reopen this month.

Rose said SFMTA plans to complete the \$41 million project on time, and will continue to use Shimmick Construction's services.

In a statement released this week, the spokesman for Oakland-based Shimmick Construction said the company's responses to the city's questionnaire were "accurate," and that "safety is core to everything we do."

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Bidding qualification standards in San Francisco may differ by department, but officials from at least one other city entity said they're also reviewing their policies.

In the San Francisco Public Utilities Commission questionnaire, contractors are required to sign under penalty of perjury that their answers are true to their knowledge, according to press secretary Will Reisman.

Reisman said PUC staff review all responses and verify portions of those answers, but added: "We are currently updating our processes to ensure that all safety answers on the questionnaire are verified."

The review process began in July, and was not in response to the Muni death, Reisman said.

Megan Cassidy and Lauren Hernandez are San Francisco Chronicle staff writers. Email: megan.cassidy@sfchronicle.com and lauren.hernandez@sfchronicle.com Twitter: @meganrcassidy @LaurenPorFavor

TOP

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Privacy Policy

Careers

Your Privacy Rights

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FAQ

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#### Shimmick Violation Summary Period 8/2014 to 8/2019

#### **EXHIBIT F**

ate	Status	Standard Cited	Multiple Violations	Pe	nalty	Note
<b>2019</b> 5/10/2019	Open	Accident				No further information provided
2018						
8/27/2018	Closed	Other	3203 (A)(4) General Industry Accident Preven Program	\$	410.00	
8/10/2018	Violation Under Contest	Serious Serious Serious	3203 (A)(4) General Industry Accident Preven Program 4991 (A) 8473(A)	\$ \$ \$	25,000.00 25,000.00 7,650.00	
		Serious	8473(A)	\$	7,650.00	
6/21/2018	Closed	Referral				
3/20/2018	Violation Under Contest	Serious Serious	1509 (A) (18B-CA) Construc, Accident Preven Program 4981 C		18,000.00 18,000.00	Fractures to leg tibia and fibula
2017						
9/11/2017	Closed	Planned				
7/19/2017	Closed	Serious	19260250 A01 General requirements for storage	\$	11,408.00	\$10,500 penalty paid
7/11/2017	Clsoed	Other	19260405 G02 IV Wiring methods,c components, and equipment for ger	\$	4,889.00	\$4,889 penalty paid
1/24/2017		Other	5001 E	\$	300.00	Fingers amputation
2016						
1/21/2016	Violation Under Contest	Other	43000029 B01	\$	400.00	
		other	3650 (T)(15)	\$ \$	600.00	
		Other Serious	3650(T)(33) 3328(A)(2) (18B-CA) Servicing Multi-?Single Piece Wheel Rims	\$	600.00 14,400.00	Fatality
		Serious	3650(T)	\$	14,400.00	ratanty
		Serious	3650(T)(11)	\$	18,000.00	
0/21/2016	Violation Under Contest	Serious	296-155-53408(2)(D)(I)	\$	4,800.00	
		Serious	296-155-53401(5)(I)	\$	-	
		Other	296-155-53401(5)(A)	\$	-	
		Other	296-155-53401(5)(G)	\$	-	
7/29/2016	Closed	Planned				
4/20/2016	Pending Abatement	Other	1511(B)	\$	560.00	
	of Violations	Other	5042(A)(9)	\$	1,125.00	
		Serious Serious	3385(A) 4999©(1)		18,000.00 18,000.00	
2015						
7/8/2015	Violation Under Contest	Serious	1509(A) (18B-CA) Construc, Accident Prevention Program	\$	7,650.00	
		Serious	16160001 N03	\$	9,560.00	
		Serious	16160001 O	\$	9,560.00	
5/8/2015	Closed	Complaint				
4/21/2015	Open	Other	1509(A) (18B-CA) Construc, Accident Prevention Program	\$	475.00	
		Serious Serious	1620(A) 1712 C(1)	\$ \$	7,650.00 7,650.00	
1/30/2015	Open	Other	1512(D)	\$	450.00	
2/30/2013	Spen	Other	1509(A) (18B-CA) Construc, Accident Prevention Program	\$	450.00	
		Serious	1541(A)	\$		Partial finger amputation
2014						
8/21/2014	Closed	Planned				