

## PROFESSIONAL DESIGN SERVICES AGREEMENT

This PROFESSIONAL DESIGN SERVICES AGREEMENT, (hereinafter referred to as "Agreement"), is made and entered into to be effective the «date» day of «Month», «year» by and between the ORANGE COUNTY SANITATION DISTRICT, (hereinafter referred to as "OC SAN"), and «CONSULTANT COMPANY», (hereinafter referred to as "CONSULTANT").

### WITNESSETH:

WHEREAS, OC SAN desires to engage CONSULTANT for Fullerton-Placentia Sewer Facilities Demolition and Rehabilitation, **Project No. 2-73**; and to provide professional design services to demolish the Yorba Linda Pump Station and its downstream force main, abandon additional sewer pipelines and manholes in the city of Yorba Linda and rehabilitate various interceptors within the cities of Brea, Fullerton and Placentia (Services) and

WHEREAS, CONSULTANT is qualified to provide the necessary services in connection with these requirements and has agreed to provide the necessary professional services; and

WHEREAS, OC SAN has adopted procedures for the selection of professional design services and has proceeded in accordance with said procedures to select CONSULTANT to perform the Services; and

WHEREAS, at its regular meeting on «Board Meeting Date» the Board of Directors, by Minute Order, accepted the recommendation of the Operations Committee pursuant to OC SAN's current Purchasing Ordinance to approve this Agreement.

NOW, THEREFORE, in consideration of the promises and mutual benefits, which will result to the parties in carrying out the terms of this Agreement, it is mutually agreed as follows:

#### **1. SCOPE OF WORK**

CONSULTANT agrees to furnish necessary professional and technical services to accomplish those project elements outlined in the Scope of Work attached hereto as Attachment "A", and by this reference made a part of this Agreement.

- A. The CONSULTANT shall be responsible for the professional quality, technical accuracy, completeness, and coordination of all design, drawings, specifications, and other services furnished by the CONSULTANT under this Agreement, including the work performed by its subconsultants (Subconsultants). Where approval by OC SAN is indicated, it is understood to be conceptual approval only and does not relieve the CONSULTANT of responsibility for complying with all laws, codes, industry standards, and liability for damages caused by errors, omissions, noncompliance with industry standards, and/or negligence on the part of the CONSULTANT or its Subconsultants.
- B. CONSULTANT is responsible for the quality of work prepared under this Agreement and shall ensure that all work is performed to the standards of best engineering practice for clarity, uniformity, and completeness. CONSULTANT shall respond to all of OC SAN's questions, comments, suggestions, corrections,

and recommendations (i.e., DS1, DS2, DS3, and FDS). All comments shall be incorporated into the design prior to the next submittal deadline or addressed, in writing, as to why the comment has not been incorporated. CONSULTANT shall ensure that each submittal is 100% accurate for the level of work submitted (i.e., correct references, terms, capitalization, or equal status, spelling, punctuation, etc.)

- C. In the event that work is not performed to the satisfaction of OC SAN and does not conform to the requirements of this Agreement or any applicable industry standards, the CONSULTANT shall, without additional compensation, promptly correct or revise any errors or deficiencies in its designs, drawings, specifications, or other services within the timeframe specified by the Project Engineer/Project Manager. OC SAN may charge to CONSULTANT all costs, expenses and damages associated with any such corrections or revisions.
- D. All CAD drawings, figures, and other work shall be produced by CONSULTANTS and Subconsultants using OC SAN CAD Manual. Conversion of CAD work from any other non-standard CAD format to OC SAN format shall not be acceptable in lieu of this requirement.

Electronic files shall conform to OC SAN specifications. Any changes to these specifications by the CONSULTANT are subject to review and approval of OC SAN.

Electronic files shall be subject to an acceptance period of «Number of Days» days during which OC SAN shall perform appropriate reviews and including CAD Manual compliance. CONSULTANT shall correct any discrepancies or errors detected and reported within the acceptance period at no additional cost to OC SAN.

- E. The CONSULTANT shall ensure that all plans and specifications prepared or recommended under this Agreement allow for competitive bidding. The CONSULTANT shall design such plans or specifications so that procurement of services, labor or materials are not available from only one source, and shall not design plans and specifications around a single or specific product, piece of major equipment or machinery, a specific patented design or a proprietary process, unless required by principles of sound engineering practice and supported by a written justification that has been approved in writing by OC SAN. The CONSULTANT shall submit this written justification to OC SAN prior to beginning work on such plans and specifications. Whenever the CONSULTANT recommends a specific product or equipment for competitive procurement, such recommendation shall include at least two brand names of products that are capable of meeting the functional requirements applicable to the project.
- F. All professional services performed by the CONSULTANT, including but not limited to all drafts, data, correspondence, proposals, reports, and estimates compiled or composed by the CONSULTANT, pursuant to this Agreement, are for the sole use of OC SAN, its agents and employees. Neither the documents nor their contents shall be released to any third party without the prior written consent of OC SAN. This provision does not apply to information that (a) was

publicly known, or otherwise known to the CONSULTANT, at the time that it was disclosed to the CONSULTANT by OC SAN, (b) subsequently becomes publicly known to the CONSULTANT other than through disclosure by OC SAN.

## 2. COMPENSATION

Total compensation shall be paid to CONSULTANT for the Services in accordance with the following provisions:

### A. Total Compensation

Total compensation shall be in an amount not to exceed «Grand Total Written Amount» Dollars (\$«Grand Total Amount»). Total compensation to CONSULTANT including burdened labor (salaries plus benefits), overhead, profit, direct costs, and Subconsultant(s) fees and costs shall not exceed the sum set forth in Attachment "E" - Fee Proposal.

### B. Labor

As a portion of the total compensation to be paid to CONSULTANT, OC SAN shall pay to CONSULTANT a sum equal to the burdened salaries (salaries plus benefits) actually paid by CONSULTANT charged on an hourly-rate basis to this project and paid to the personnel of CONSULTANT. Upon request of OC SAN, CONSULTANT shall provide OC SAN with certified payroll records of all employees' work that is charged to this project.

### C. Overhead

As a portion of the total compensation to be paid to CONSULTANT, OC SAN shall compensate CONSULTANT and Subconsultants for overhead at the rate equal to the percentage of burdened labor as specified in Attachment "E" - Fee Proposal.

### D. Profit

Profit for CONSULTANT and Subconsultants shall be a percentage of consulting services fees (Burdened Labor and Overhead). When the consulting or subconsulting services amount is \$250,000 or less, the maximum Profit shall be 10%. Between \$250,000 and \$2,500,000, the maximum Profit shall be limited by a straight declining percentage between 10% and 5%. For consulting or subconsulting services fees with a value greater than \$2,500,000, the maximum Profit shall be 5%. Addenda shall be governed by the same maximum Profit percentage after adding consulting services fees.

As a portion of the total compensation to be paid to CONSULTANT and Subconsultants, OC SAN shall pay profit for all services rendered by CONSULTANT and Subconsultants for this project according to Attachment "E" - Fee Proposal.

### E. Subconsultants

For any Subconsultant whose fees for services are greater than or equal to \$100,000 (excluding out-of-pocket costs), CONSULTANT shall pay to Subconsultant total compensation in accordance with the Subconsultant amount specified in Attachment "E" - Fee Proposal.

For any Subconsultant whose fees for services are less than \$100,000, CONSULTANT may pay to Subconsultant total compensation on an hourly-rate basis [if there are no Subconsultants under \$100K, delete this phrase 'per attached hourly rate schedule'.] per the attached hourly rate Schedule and as specified in the Scope of Work. OC SAN shall pay to CONSULTANT the actual costs of Subconsultant fees and charges in an amount not to exceed the sum set forth in Attachment "E" - Fee Proposal.

F. Direct Costs

OC SAN shall pay to CONSULTANT and Subconsultants the actual costs of permits and associated fees, travel and licenses for an amount not to exceed the sum set forth in Attachment "E" - Fee Proposal. OC SAN shall also pay to CONSULTANT actual costs for equipment rentals, leases or purchases with prior approval of OC SAN. Upon request, CONSULTANT shall provide to OC SAN receipts and other documentary records to support CONSULTANT's request for reimbursement of these amounts, see Attachment "D" - Allowable Direct Costs. All incidental expenses shall be included in overhead pursuant to Section 2 - COMPENSATION above.

G. Other Direct Costs

Other Direct Costs incurred by CONSULTANT and its Contractor due to modifications to the Scope of Work resulting from field investigations and field work required by the Agreement. These items may include special equipment, test equipment and tooling and other materials and services not previously identified. Refer to attachment "D" Allowable Direct Costs for payment information.

H. Reimbursable Direct Costs

OC SAN will reimburse the CONSULTANT for reasonable travel and business expenses as described in this section and further described in Attachment "D" - Allowable Direct Costs to this Agreement. The reimbursement of the above-mentioned expenses will be based on an "accountable plan" as considered by Internal Revenue Service (IRS). The plan includes a combination of reimbursements based upon receipts and a "per diem" component approved by IRS. The most recent schedule of the per diem rates utilized by OC SAN can be found on the U.S. General Service Administration website at <https://www.gsa.gov/travel/plan-book/per-diem-rates>.

The CONSULTANT shall be responsible for the most economical and practical means of management of reimbursable costs inclusive but not limited to travel, lodging and meals arrangements. OC SAN shall apply the most economic and

practical method of reimbursement which may include reimbursements based upon receipts and/or “per diem” as deemed the most practical.

CONSULTANT shall be responsible for returning to OC SAN any excess reimbursements after the reimbursement has been paid by OC SAN.

Travel and travel arrangements – Any travel involving airfare, overnight stays or multiple day attendance must be approved by OC SAN in advance.

Local Travel is considered travel by the CONSULTANT within OC SAN general geographical area which includes Orange, Los Angeles, Ventura, San Bernardino, Riverside, San Diego, Imperial, and Kern Counties. Automobile mileage is reimbursable if CONSULTANT is required to utilize personal vehicle for local travel.

Lodging – Overnight stays will not be approved by OC SAN for local travel. However, under certain circumstances overnight stay may be allowed at the discretion of OC SAN based on reasonableness of meeting schedules and the amount of time required for travel by the CONSULTANT. Such determination will be made on a case-by-case basis and at the discretion of OC SAN.

Travel Meals – Per-diem rates as approved by IRS shall be utilized for travel meals reimbursements. Per diem rates shall be applied to meals that are appropriate for travel times. Receipts are not required for the approved meals.

Additional details related to the reimbursement of the allowable direct costs are provided in the Attachment “D” - Allowable Direct Costs of this Agreement.

#### I. Limitation of Costs

If, at any time, CONSULTANT estimates the cost of performing the services described in CONSULTANT’s Proposal will exceed seventy-five percent (75%) of the not-to-exceed amount of the Agreement, including approved additional compensation, CONSULTANT shall notify OC SAN immediately, and in writing. This written notice shall indicate the additional amount necessary to complete the services. Any cost incurred in excess of the approved not-to-exceed amount, without the express written consent of OC SAN’s authorized representative shall be at CONSULTANT’s own risk. This written notice shall be provided separately from, and in addition to any notification requirements contained in the CONSULTANT’s invoice and monthly progress report. Failure to notify OC SAN that the services cannot be completed within the authorized not-to-exceed amount is a material breach of this Agreement.

### 3. REALLOCATION OF TOTAL COMPENSATION

OC SAN, by its Director of Engineering, shall have the right to approve a reallocation of the incremental amounts constituting the total compensation, provided that the total compensation is not increased.

#### 4. PAYMENT

- A. Monthly Invoice: CONSULTANT shall include in its monthly invoice, a detailed breakdown of costs associated with the performance of any corrections or revisions of the work for that invoicing period. CONSULTANT shall allocate costs in the same manner as it would for payment requests as described in this Section of the Agreement. CONSULTANT shall warrant and certify the accuracy of these costs and understand that submitted costs are subject to Section 11 - AUDIT PROVISIONS.
- B. CONSULTANT may submit monthly or periodic statements requesting payment for those items included in Section 2 - COMPENSATION hereof in the format as required by OC SAN. Such requests shall be based upon the amount and value of the work and services performed by CONSULTANT under this Agreement and shall be prepared by CONSULTANT and accompanied by such supporting data, including a detailed breakdown of all costs incurred and work performed during the period covered by the statement, as may be required by OC SAN.

Upon approval of such payment request by OC SAN, payment shall be made to CONSULTANT as soon as practicable of one hundred percent (100%) of the invoiced amount on a per task basis.

If OC SAN determines that the work under this Agreement, or any specified task hereunder, is incomplete and that the amount of payment is in excess of:

- i. The amount considered by OC SAN's Director of Engineering to be adequate for the protection of OC SAN; or
- ii. The percentage of the work accomplished for each task.

OC SAN may, at the discretion of the Director of Engineering, retain an amount equal to that which ensures that the total amount paid to that date does not exceed the percentage of the completed work for each task or the project in its entirety.

- C. CONSULTANT may submit periodic payment requests for each 30-day period of this Agreement for the profit as set forth in Section 2 - COMPENSATION above. Said profit payment request shall be proportionate to the work actually accomplished to date on a per task basis. In the event OC SAN's Director of Engineering determines that no satisfactory progress has been made since the prior payment, or in the event of a delay in the work progress for any reason, OC SAN shall have the right to withhold any scheduled proportionate profit payment.
- D. Upon satisfactory completion by CONSULTANT of the work called for under the terms of this Agreement, and upon acceptance of such work by OC SAN, CONSULTANT will be paid the unpaid balance of any money due for such work, including any retained percentages relating to this portion of the work.
- E. Upon satisfactory completion of the work performed hereunder and prior to final payment under this Agreement for such work, or prior settlement upon

termination of this Agreement, and as a condition precedent thereto, CONSULTANT shall execute and deliver to OC SAN a release of all claims against OC SAN arising under or by virtue of this Agreement other than such claims, if any, as may be specifically exempted by CONSULTANT from the operation of the release in stated amounts to be set forth therein.

- F. Pursuant to the California False Claims Act (Government Code Sections 12650-12655), any CONSULTANT that knowingly submits a false claim to OC SAN for compensation under the terms of this Agreement may be held liable for treble damages and up to a ten thousand dollars (\$10,000) civil penalty for each false claim submitted. This Section shall also be binding on all Subconsultants.

A CONSULTANT or Subconsultant shall be deemed to have submitted a false claim when the CONSULTANT or Subconsultant: a) knowingly presents or causes to be presented to an officer or employee of OC SAN a false claim or request for payment or approval; b) knowingly makes, uses, or causes to be made or used a false record or statement to get a false claim paid or approved by OC SAN; c) conspires to defraud OC SAN by getting a false claim allowed or paid by OC SAN; d) knowingly makes, uses, or causes to be made or used a false record or statement to conceal, avoid, or decrease an obligation to OC SAN; or e) is a beneficiary of an inadvertent submission of a false claim to OC SAN, and fails to disclose the false claim to OC SAN within a reasonable time after discovery of the false claim.

## **5. CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS (DIR) REGISTRATION AND RECORD OF WAGES**

- A. To the extent CONSULTANT's employees and/or Subconsultants who will perform work during the design and preconstruction phases of a construction contract for which Prevailing Wage Determinations have been issued by the DIR and as more specifically defined under Labor Code Section 1720 et seq, CONSULTANT and Subconsultants shall comply with the registration requirements of Labor Code Section 1725.5. Pursuant to Labor Code Section 1771.4, the work is subject to compliance monitoring and enforcement by the DIR.
- B. The CONSULTANT and Subconsultants shall maintain accurate payroll records and shall comply with all the provisions of Labor Code Section 1776, and shall submit payroll records to the Labor Commissioner pursuant to Labor Code Section 1771.4(a)(3). Penalties for non-compliance with the requirements of Section 1776 may be deducted from progress payments per Section 1776.
- C. Pursuant to Labor Code Section 1776, the CONSULTANT and Subconsultants shall furnish a copy of all certified payroll records to OC SAN and/or general public upon request, provided the public request is made through OC SAN, the Division of Apprenticeship Standards or the Division of Labor Enforcement of the Department of Industrial Relations.

- D. The CONSULTANT and Subconsultants shall comply with the job site notices posting requirements established by the Labor Commissioner per Title 8, California Code of Regulation Section 16461(e).

## 6. DOCUMENT OWNERSHIP – SUBSEQUENT CHANGES TO PLANS AND SPECIFICATIONS

- A. Ownership of Documents for the Services performed.

All documents, including but not limited to, original plans, studies, sketches, drawings, computer printouts and disk files, and specifications prepared in connection with or related to the Scope of Work or Services, shall be the property of OC SAN. OC SAN's ownership of these documents includes use of, reproduction or reuse of and all incidental rights, whether or not the work for which they were prepared has been performed. OC SAN ownership entitlement arises upon payment or any partial payment for work performed and includes ownership of any and all work product completed prior to that payment. This Section shall apply whether the CONSULTANT's Services are terminated: a) by the completion of the Agreement, or b) in accordance with other provisions of this Agreement. Notwithstanding any other provision of this paragraph or Agreement, the CONSULTANT shall have the right to make copies of all such plans, studies, sketches, drawings, computer printouts and disk files, and specifications.

- B. CONSULTANT shall not be responsible for damage caused by subsequent changes to or uses of the plans or specifications, where the subsequent changes or uses are not authorized or approved by CONSULTANT, provided that the service rendered by CONSULTANT was not a proximate cause of the damage.

## 7. INSURANCE

- A. General

- i. Insurance shall be issued and underwritten by insurance companies acceptable to OC SAN.
- ii. Insurers must have an "A-" Policyholder's Rating, or better, and Financial Rating of at least Class VIII, or better, in accordance with the most current A.M. Best's Guide Rating. However, OC SAN will accept State Compensation Insurance Fund, for the required policy of Workers' Compensation Insurance subject to OC SAN's option to require a change in insurer in the event the State Fund financial rating is decreased below "B". Further, OC SAN will require CONSULTANT to substitute any insurer whose rating drops below the levels herein specified. Said substitution shall occur within twenty (20) days of written notice to CONSULTANT, by OC SAN or its agent.
- iii. Coverage shall be in effect prior to the commencement of any work under this Agreement.

- B. General Liability

The CONSULTANT shall maintain during the life of this Agreement, including the period of warranty, commercial general liability insurance written on an occurrence basis providing the following minimum limits of liability coverage: Two Million Dollars (\$2,000,000) per occurrence with Four Million Dollars (\$4,000,000) aggregate. If aggregate limits apply separately to this Agreement (as evidenced by submission of ISO form CG 25 03 or 25 04), then the aggregate limit may be equivalent to the per occurrence limit. Said insurance shall include coverage for the following hazards: premises-operations, blanket contractual liability (for this Agreement), products liability/completed operations (including any product manufactured or assembled), broad form property damage, blanket contractual liability, independent contractors liability, personal and advertising injury, mobile equipment, owners and contractors protective liability, and cross liability and severability of interest clauses. A statement on an insurance certificate will not be accepted in lieu of the actual additional insured endorsement(s). If requested by OC SAN and applicable, XCU coverage (Explosion, Collapse and Underground) and Riggers/On Hook Liability must be included in the general liability policy and coverage must be reflected on the submitted certificate of insurance. Where permitted by law, CONSULTANT hereby waives all rights of recovery by subrogation because of deductible clauses, inadequacy of limits of any insurance policy, limitations or exclusions of coverage, or any other reason against OC SAN, its or their officers, agents, or employees, and any other consultant, contractor, or subcontractor performing work or rendering services on behalf of OC SAN in connection with the planning, development, and construction of the project. In all its insurance coverages related to the work, CONSULTANT shall include clauses providing that each insurer shall waive all of its rights of recovery by subrogation against OC SAN, its or their officers, agents, or employees, or any other consultant, contractor, or subcontractor performing work or rendering services at the project. Where permitted by law, CONSULTANT shall require similar written express waivers and insurance clauses from each of its Subconsultants of every tier. A waiver of subrogation shall be effective as to any individual or entity, even if such individual or entity (a) would otherwise have a duty of indemnification, contractual or otherwise, (b) did not pay the insurance premium, directly or indirectly, and (c) whether or not such individual or entity has an insurable interest in the property damaged.

C. Automobile/Vehicle Liability Insurance

The CONSULTANT shall maintain a policy of automobile liability insurance on a comprehensive form covering all owned, non-owned, and hired automobiles, trucks, and other vehicles providing the following minimum limit of liability coverage: combined single limit of One Million Dollars (\$1,000,000). A statement on an insurance certificate will not be accepted in lieu of the actual additional insured endorsement.

D. Umbrella Excess Liability

The minimum limits of general liability and automobile liability insurance required, as set forth herein, shall be provided for through either a single policy of primary insurance or a combination of policies of primary and umbrella excess coverage.

Umbrella excess liability coverage shall be issued with limits of liability which, when combined with the primary insurance, will equal the minimum limits for general liability and automobile liability.

E. Workers' Compensation Insurance

The CONSULTANT shall provide such workers' compensation insurance as required by the Labor Code of the State of California in the amount of the statutory limit, including employer's liability insurance with a minimum limit of One Million Dollars (\$1,000,000) per occurrence. Such workers' compensation insurance shall be endorsed to provide for a waiver of subrogation in favor of OC SAN. A statement on an insurance certificate will not be accepted in lieu of the actual endorsements unless the insurance carrier is State of California Insurance Fund and the identifier "SCIF" and endorsement numbers 2570 and 2065 are referenced on the certificate of insurance. If an exposure to Jones Act liability may exist, the insurance required herein shall include coverage for Jones Act claims.

F. Errors and Omissions/Professional Liability

CONSULTANT shall maintain in full force and effect, throughout the term of this Agreement, standard industry form professional liability / errors and omissions insurance coverage with coverage limits of not less than Five Million Dollars (\$5,000,000) in accordance with the provisions of this paragraph. If the policy of insurance is written on a "claims made" basis, said policy shall be continued in full force and effect at all times during the term of this Agreement, and for a period of five (5) years from the date of the completion of the services hereunder.

In the event of termination of said policy during this period, CONSULTANT shall obtain continuing insurance coverage for the prior acts or omissions of CONSULTANT during the course of performing services under the terms of this Agreement. Said coverage shall be evidenced by either a new policy evidencing no gap in coverage or by separate extended "tail" coverage with the present or new carrier.

In the event the present policy of insurance is written on an "occurrence" basis, said policy shall be continued in full force and effect during the term of this Agreement or until completion of the services provided for in this Agreement, whichever is later. In the event of termination of said policy during this period, new coverage shall be obtained for the required period to insure for the prior acts or omissions of CONSULTANT during the course of performing services under the terms of this Agreement.

CONSULTANT shall provide to OC SAN a certificate of insurance in a form acceptable to OC SAN indicating the deductible or self-retention amounts and the expiration date of said policy and shall provide renewal certificates not less than ten (10) days prior to the expiration of each policy term.

G. Drone Liability Insurance

If a drone will be used, drone liability insurance must be maintained by CONSULTANT in the amount of One Million Dollars (\$1,000,000) in form acceptable to OC SAN.

H. Proof of Coverage

The CONSULTANT shall furnish OC SAN with original certificates and amendatory endorsements effecting coverage. Said policies and endorsements shall conform to the requirements herein stated. All certificates and endorsements are to be received and approved by OC SAN before work commences. OC SAN reserves the right to require, at any time, complete, certified copies of all required insurance policies, including endorsements, effecting the coverage required. The following are approved forms that must be submitted as proof of coverage:

- Certificate of Insurance ACORD Form 25 or other equivalent certificate of insurance form
- Additional Insured (General Liability) The combination of (ISO Forms) CG 20 10 and CG 20 37  
  
All other additional insured endorsements must be submitted for approval by OC SAN, and OC SAN may reject alternatives that provide different or less coverage to OC SAN.
- Additional Insured (Automobile Liability) Submit endorsement provided by carrier for OC SAN approval.
- Waiver of Subrogation Submit workers' compensation waiver of subrogation endorsement provided by carrier for OC SAN approval.
- Cancellation Notice No endorsement is required. However, CONSULTANT is responsible for notifying OC SAN of any pending or actual insurance policy cancellation, as described in Article J. Cancellation and Policy Change Notice, below.

I. Cancellation and Policy Change Notice

The CONSULTANT is required to notify OC SAN in writing of any insurance cancellation notice it receives or other knowledge of pending or actual insurance policy cancellation within two (2) working days of receipt of such notice or acquisition of such knowledge. Additionally, the CONSULTANT is required to notify OC SAN in writing of any change in the terms of insurance, including reduction in coverage or increase in deductible/SIR, within two (2) working days of receipt of such notice or knowledge of same.

Said notices shall be mailed to OC SAN at:

ORANGE COUNTY SANITATION DISTRICT  
18480 Bandilier Circle  
Fountain Valley, CA 92708  
Attention: Contracts, Purchasing & Materials Management Division

J. Primary Insurance

The general and automobile liability policies shall contain a Primary and “Non Contributory” clause. Any other insurance maintained by OC SAN shall be excess and not contributing with the insurance provided by CONSULTANT.

K. Separation of Insured

The general and automobile liability policies shall contain a “Separation of Insureds” clause.

L. Non-Limiting (if applicable)

Nothing in this document shall be construed as limiting in any way, nor shall it limit the indemnification provision contained in this Agreement, or the extent to which CONSULTANT may be held responsible for payment of damages to persons or property.

M. Deductibles and Self-Insured Retentions

Any deductible and/or self-insured retention must be declared to OC SAN on the certificate of insurance. All deductibles and/or self-insured retentions require approval by OC SAN. At the option of OC SAN, either: the insurer shall reduce or eliminate such deductible or self-insured retention as respects OC SAN; or the CONSULTANT shall provide a financial guarantee satisfactory to OC SAN guaranteeing payment of losses and related investigations, claim administration and defense expenses.

N. Defense Costs

The general and automobile liability policies shall have a provision that defense costs for all insureds and additional insureds are paid in addition to and do not deplete any policy limits.

O. Subconsultants

The CONSULTANT shall be responsible to establish insurance requirements for any Subconsultant hired by the CONSULTANT. The insurance shall be in amounts and types reasonably sufficient to deal with the risk of loss involving the Subconsultant’s operations and work.

P. Limits Are Minimums

If the CONSULTANT maintains higher limits than any minimums shown above, then OC SAN requires and shall be entitled to coverage for the higher limits maintained by CONSULTANT.

## **8. SCOPE CHANGES**

In the event of a change in the Scope of Work or other terms in the Agreement, as requested by OC SAN, the parties hereto shall execute an Amendment to this Agreement setting forth with particularity all terms of the new Agreement, including, but not limited to, any additional CONSULTANT's fees. CONSULTANT hereby agrees to use any and all procedures, programs, and systems required by OC SAN to process and execute such Amendment(s), including, but not limited to, computer programs and systems.

## **9. PROJECT TEAM AND SUBCONSULTANTS**

CONSULTANT shall provide to OC SAN, prior to execution of this Agreement, the names and full description of all Subconsultants and CONSULTANT's project team members anticipated to be used on this project by CONSULTANT. CONSULTANT shall include a description of the scope of work to be done by each Subconsultant and each CONSULTANT's project team member. CONSULTANT shall include the respective compensation amounts for CONSULTANT and each Subconsultant on a per task basis, broken down as indicated in Section 2 - COMPENSATION.

There shall be no substitution of the listed Subconsultants and CONSULTANT's project team members without prior written approval by OC SAN.

## **10. ENGINEERING REGISTRATION**

The CONSULTANT's personnel are comprised of registered engineers and a staff of specialists and draftsmen in each department. The firm itself is not a registered engineer but represents and agrees that wherever in the performance of this Agreement requires the services of a registered engineer, such services hereunder will be performed under the direct supervision of registered engineers.

## **11. AUDIT PROVISIONS**

- A. OC SAN retains the reasonable right to access, review, examine, and audit, any and all books, records, documents, and any other evidence of procedures and practices that OC SAN determines are necessary to discover and verify that the CONSULTANT is in compliance with all requirements under this Agreement. The CONSULTANT shall include OC SAN's right as described above, in any and all of their subcontracts, and shall ensure that these rights are binding upon all Subconsultants.
- B. OC SAN retains the right to examine CONSULTANT's books, records, documents and any other evidence of procedures and practices that OC SAN determines are necessary to discover and verify all direct and indirect costs, of whatever nature, which are claimed to have been incurred, or anticipated to be incurred or to ensure CONSULTANT's compliance with all

requirements under this Agreement during the term of this Agreement and for a period of three (3) years after its termination.

- C. CONSULTANT shall maintain complete and accurate records in accordance with generally accepted industry standard practices and OC SAN's policy. The CONSULTANT shall make available to OC SAN for review and audit, all project related accounting records and documents, and any other financial data within 15 days after receipt of notice from OC SAN. Upon OC SAN's request, the CONSULTANT shall submit exact duplicates of originals of all requested records to OC SAN. If an audit is performed, CONSULTANT shall ensure that a qualified employee of the CONSULTANT will be available to assist OC SAN's auditor in obtaining all project related accounting records and documents, and any other financial data.

## 12. LEGAL RELATIONSHIP BETWEEN PARTIES

The legal relationship between the parties hereto is that of an independent contractor and nothing herein shall be deemed to make CONSULTANT an employee of OC SAN.

## 13. NOTICES

All notices hereunder and communications regarding the interpretation of the terms of this Agreement, or changes thereto, shall be effected by delivery of said notices in person or by depositing said notices in the U.S. mail, registered or certified mail, return receipt requested, postage prepaid.

Notices shall be mailed to OC SAN at:

ORANGE COUNTY SANITATION DISTRICT  
18480 Bandilier Circle  
Fountain Valley, CA 92708  
Attention: Irene Green, Contracts Administrator  
Copy: Todd Waltz, Project Manager

Notices shall be mailed to CONSULTANT at:

«CONSULTANT COMPANY»  
«Street Address»  
«City, State Zip»  
Attention: «CONSULTANT's Representative»

All communication regarding the Scope of Work, will be addressed to the Project Manager. Direction from other OC SAN's staff must be approved in writing by OC SAN's Project Manager prior to action from the CONSULTANT.

## 14. TERMINATION

OC SAN may terminate this Agreement at any time, without cause, upon giving thirty (30) days written notice to CONSULTANT. In the event of such termination, CONSULTANT shall be entitled to compensation for work performed on a prorated basis through and including the effective date of termination.

CONSULTANT shall be permitted to terminate this Agreement upon thirty (30) days written notice only if CONSULTANT is not compensated for billed amounts in accordance with the provisions of this Agreement, when the same are due.

Notice of termination shall be mailed to OC SAN and/or CONSULTANT in accordance with Section 13 - NOTICES.

## 15. DOCUMENTS AND STUDY MATERIALS

The documents and study materials for this project shall become the property of OC SAN upon the termination or completion of the work. CONSULTANT agrees to furnish to OC SAN copies of all memoranda, correspondence, computation and study materials in its files pertaining to the work described in this Agreement, which is requested in writing by OC SAN.

## 16. COMPLIANCE

### A. Labor

CONSULTANT certifies by the execution of this Agreement that it pays employees not less than the minimum wage as defined by law, and that it does not discriminate in its employment with regard to race, color, religion, sex or national origin; that it is in compliance with all federal, state and local directives and executive orders regarding non-discrimination in employment; and that it agrees to demonstrate positively and aggressively the principle of equal opportunity in employment.

### B. Air Pollution

CONSULTANT and its subconsultants and subcontractors shall comply with all applicable federal, state and local air pollution control laws and regulations.

### C. Iran Contracting Act

CONSULTANT and its subconsultants and subcontractors shall comply with the Iran Contracting Act of 2010 (Public Contract Code sections 2200-2208).

### D. California Air Resources Board Mobile Source Regulations

CONSULTANT and its subconsultants and subcontractors shall comply with the following California Air Resources Board Mobile Source Regulations:

- Advanced Clean Fleet (ACF): 13 CCR 2013-2013.4; 13 CCR 2015-2015.6
- Truck & Bus Regulation (T&B): 13 CCR 2025
- Clean Truck Check (CTC): 13 CCR 2195-2199.1
- Off-Road Diesel Amendments (ORD): 13 CCR 2449-2449.2

**17. AGREEMENT EXECUTION AUTHORIZATION**

Both OC SAN and CONSULTANT do covenant that each individual executing this document by and on behalf of each party is a person duly authorized to execute agreements for that party.

**18. DISPUTE RESOLUTION**

In the event of a dispute arising between the parties regarding performance or interpretation of this Agreement, the dispute shall be resolved by binding arbitration under the auspices of the Judicial Arbitration and Mediation Service (“JAMS”), or similar organization or entity conducting alternate dispute resolution services.

**19. ATTORNEY'S FEES, COSTS AND NECESSARY DISBURSEMENTS**

If any action at law or in equity or if any proceeding in the form of an Alternative Dispute Resolution (ADR) is necessary to enforce or interpret the terms of this Agreement, the prevailing party shall be entitled to reasonable attorney's fees, costs and necessary disbursements in addition to any other relief to which it may be entitled.

**20. PROGRESS REPORTS**

Monthly progress reports shall be submitted for review by the tenth day of the following month and must include as a minimum: 1) current activities, 2) future activities, 3) potential items that are not included in the Scope of Work, 4) concerns and possible delays, 5) percentage of completion, and 6) budget status.

**21. WARRANTY**

CONSULTANT shall perform its services in accordance with generally accepted industry and professional standards. If, within the 12-month period following completion of its services, OC SAN informs CONSULTANT that any part of the services fails to meet those standards, CONSULTANT shall, within the time prescribed by OC SAN, take all such actions as are necessary to correct or complete the noted deficiency(ies).

**22. INDEMNIFICATION**

To the fullest extent permitted by law, CONSULTANT shall indemnify, defend (at CONSULTANT's sole cost and expense and with legal counsel approved by OC SAN, which approval shall not be unreasonably withheld), protect and hold harmless OC SAN and all of OC SAN's officers, directors, employees, consultants, and agents (collectively the “Indemnified Parties”), from and against any and all claims, damages, liabilities, causes of action, suits, arbitration awards, losses, judgments, fines, penalties, costs and expenses (including, without limitation, attorneys' fees, disbursements and court costs, and all other professional, expert or CONSULTANT's fees and costs and OC SAN's general and administrative expenses; individually, a “Claim”; collectively, “Claims”) which may arise from or are in any manner related, directly or indirectly, to any work performed, or any operations, activities, or services provided by CONSULTANT in carrying out its obligations under this Agreement to the extent of the negligent, recklessness and/or willful misconduct of CONSULTANT, its principals, officers, agents,

employees, CONSULTANT's suppliers, consultants, Subconsultants, subcontractors, and/or anyone employed directly or indirectly by any of them, regardless of any contributing negligence or strict liability of an Indemnified Party. Notwithstanding the foregoing, nothing herein shall be construed to require CONSULTANT to indemnify the Indemnified Parties from any Claim arising solely from:

- (A) the active negligence or willful misconduct of the Indemnified Parties; or
- (B) a natural disaster or other act of God, such as an earthquake; or
- (C) the independent action of a third party who is neither one of the Indemnified Parties nor the CONSULTANT, nor its principal, officer, agent, employee, nor CONSULTANT's supplier, CONSULTANT, Subconsultant, subcontractor, nor anyone employed directly or indirectly by any of them.

Exceptions (A) through (B) above shall not apply, and CONSULTANT shall, to the fullest extent permitted by law, indemnify the Indemnified Parties, from Claims arising from more than one cause if any such cause taken alone would otherwise result in the obligation to indemnify hereunder.

CONSULTANT's liability for indemnification hereunder is in addition to any liability CONSULTANT may have to OC SAN for a breach by CONSULTANT of any of the provisions of this Agreement. Under no circumstances shall the insurance requirements and limits set forth in this Agreement be construed to limit CONSULTANT's indemnification obligation or other liability hereunder. The terms of this Agreement are contractual and the result of negotiation between the parties hereto. Accordingly, any rule of construction of contracts (including, without limitation, California Civil Code Section 1654) that ambiguities are to be construed against the drafting party, shall not be employed in the interpretation of this Agreement.

## **23. DUTY TO DEFEND**

The duty to defend hereunder is wholly independent of and separate from the duty to indemnify and such duty to defend shall exist regardless of any ultimate liability of CONSULTANT and shall be consistent with Civil Code Section 2782.8. Such defense obligation shall arise immediately upon presentation of a Claim by any person if, without regard to the merit of the Claim, such Claim could potentially result in an obligation to indemnify one or more Indemnified Parties, and upon written notice of such Claim being provided to CONSULTANT. Payment to CONSULTANT by any Indemnified Party or the payment or advance of defense costs by any Indemnified Party shall not be a condition precedent to enforcing such Indemnified Party's rights to indemnification hereunder. In the event a final judgment, arbitration, award, order, settlement, or other final resolution expressly determines that the claim did not arise out of, pertain to, or relate to the negligence, recklessness, or willful misconduct of the CONSULTANT, to any extent, then OC SAN will reimburse CONSULTANT for the reasonable costs of defending the Indemnified Parties against such claims.

CONSULTANT's indemnification obligation hereunder shall survive the expiration or earlier termination of this Agreement until such time as action against the Indemnified Parties for such matter indemnified hereunder is fully and finally barred by the applicable

statute of limitations.

**24. CONSULTANT PERFORMANCE**

The CONSULTANT's performance shall be evaluated by OC SAN. A copy of the evaluation shall be sent to the CONSULTANT for comment. The evaluation, together with the comments, shall be retained by OC SAN and may be considered in future CONSULTANT selection processes.

**25. COMPLIANCE WITH OC SAN POLICIES AND PROCEDURES**

CONSULTANT shall comply with all OC SAN policies and procedures, including, but not limited to, the Contractor Safety Standards, as applicable, and Human Resources Policies, all as may be amended from time to time.

**26. CLOSEOUT**

When OC SAN determines that all work authorized under the Agreement is fully complete and that OC SAN requires no further work from CONSULTANT, or the Agreement is otherwise terminated or expires in accordance with the terms of the Agreement, OC SAN shall give the Consultant written notice that the Agreement will be closed out. CONSULTANT shall submit all outstanding billings, work submittals, deliverables, reports or similarly related documents as required under the Agreement within thirty (30) days of receipt of notice of Agreement closeout.

Upon receipt of CONSULTANT's submittals, OC SAN shall commence a closeout audit of the Agreement and will either:

- i. Give the CONSULTANT a final Agreement Acceptance: or
- ii. Advise the CONSULTANT in writing of any outstanding item or items which must be furnished, completed, or corrected at the CONSULTANT's cost.

CONSULTANT shall be required to provide adequate resources to fully support any administrative closeout efforts identified in this Agreement. Such support must be provided within the timeframe requested by OC SAN.

Notwithstanding the final Agreement Acceptance the CONSULTANT will not be relieved of its obligations hereunder, nor will the CONSULTANT be relieved of its obligations to complete any portions of the work, the non-completion of which were not disclosed to OC SAN (regardless of whether such nondisclosures were fraudulent, negligent, or otherwise); and the CONSULTANT shall remain obligated under all those provisions of the Agreement which expressly or by their nature extend beyond and survive final Agreement Acceptance.

Any failure by OC SAN to reject the work or to reject the CONSULTANT's request for final Agreement Acceptance as set forth above shall not be deemed to be acceptance of the work by OC SAN for any purpose nor imply acceptance of, or agreement with, the CONSULTANT's request for final Agreement Acceptance.

**27. ENTIRE AGREEMENT**

This Agreement constitutes the entire understanding and agreement between the Parties and supersedes all previous negotiations between them pertaining to the subject matter thereof.

DRAFT

IN WITNESS WHEREOF, this Agreement has been executed in the name of OC SAN and CONSULTANT by their respective duly authorized officers as of the day and year first written above.

**CONSULTANT: COMPANY NAME**

By \_\_\_\_\_ Date \_\_\_\_\_  
\_\_\_\_\_  
Printed Name & Title

**ORANGE COUNTY SANITATION DISTRICT**

By \_\_\_\_\_ Date \_\_\_\_\_  
Ryan P. Gallagher  
Board Chairman  
Chair, Operations Committee

By \_\_\_\_\_ Date \_\_\_\_\_  
Kelly A. Lore  
Clerk of the Board

By \_\_\_\_\_ Date \_\_\_\_\_  
Kevin Work  
Purchasing & Contracts Manager

- Attachments: Attachment "A" – Scope of Work  
Attachment "B" – Labor Hour Matrix  
Attachment "C" – Not Attached  
Attachment "D" – Allowable Direct Costs  
Attachment "E" – Fee Proposal  
Attachment "F" – Not Used  
Attachment "G" – Not Attached  
Attachment "H" – Not Used  
Attachment "I" – Cost Matrix and Summary  
Attachment "J" – Not Attached  
Attachment "K" – Minor Subconsultant Hourly Rate Schedule  
Attachment "L" – Contractor Safety Standards

- Attachment "M" – Not Attached
- Attachment "N" – Not Attached
- Attachment "O" – Human Resources Policies

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# **ATTACHMENT “A”**

## **SCOPE OF WORK**

**ATTACHMENT "A"**

**SCOPE OF WORK**

**FULLERTON - PLACENTIA SEWER FACILITIES DEMOLITION AND REHABILITATION**

**PROJECT NO. 2-73**

# TABLE OF CONTENTS

<b>1. PROJECT REQUIREMENTS .....</b>	<b>6</b>
<b>1.0 SUMMARY .....</b>	<b>6</b>
1.0.1 Professional Design Engineering Services .....	6
1.0.2 Professional Licensing requirements .....	6
<b>1.1 BACKGROUND.....</b>	<b>6</b>
<b>1.2 GENERAL PROJECT DESCRIPTION .....</b>	<b>7</b>
<b>1.3 PROJECT EXECUTION PHASES.....</b>	<b>7</b>
<b>1.4 DESCRIPTION OF PROJECT ELEMENTS.....</b>	<b>8</b>
1.4.1 Project Element 1 – Demolish/Abandon Yorba Linda Pump Station .....	8
1.4.2 Project Element 2 – Abandon 30-Inch Yorba Linda Pump Station Force Main .....	9
1.4.3 Project Element 3 – Abandon Out-Of-Service Sewers and Manholes Along Associated Rd and Craig Regional Park.....	10
1.4.4 Project Element 4 – Rehabilitate In-Service Sewer and Manholes.....	11
1.4.5 Project Element 5 – Sewer Realignment with New Manhole to Correct Localized Flow Constriction .....	14
1.4.6 Project Element 6 – Demolish Yorba Linda Spur Odor Control Facility ..	16
1.4.7 Temporary Facilities During Construction .....	17
1.4.8 Coordination with Other Projects (Not Used) .....	18
<b>1.5 DESIGN CONSIDERATIONS .....</b>	<b>18</b>
1.5.1 Technology and configuration choices.....	18
1.5.2 Design Decisions.....	18
1.5.3 Design Selection Criteria .....	18
1.5.4 Construction Cost Estimate .....	18
<b>1.6 PROJECT SCHEDULE .....</b>	<b>18</b>
1.6.1 General .....	18
<b>2. PHASE 2 – PRELIMINARY DESIGN.....</b>	<b>19</b>
<b>2.0 Preliminary Design Execution (Not Used).....</b>	<b>20</b>
<b>2.1 Predesign Evalution Studies (Not Used).....</b>	<b>20</b>
<b>2.2 Preliminary Design Production.....</b>	<b>20</b>

2.2.1	General .....	20
2.2.2	Design Memos .....	20
2.2.3	Preliminary Design Drawings.....	24
2.2.4	Preliminary Design Report (PDR) Production, Contents and Organization .....	24
2.2.5	Preliminary Design Cost Estimate .....	25
<b>2.3</b>	<b>Preliminary Design Activities.....</b>	<b>25</b>
2.3.1	Pump Station Demolition Analysis .....	25
2.3.2	Hydraulic Modeling .....	25
2.3.3	Out-Of-Service Manhole Location Assessment .....	25
2.3.4	Manhole Condition Assessment .....	25
2.3.5	Pipeline Condition Assessment .....	25
2.3.6	Easements, Property Boundaries and Work Area Limits.....	26
2.3.7	Topographic Survey .....	26
2.3.8	Geotechnical Investigation.....	28
2.3.9	Utility Investigation.....	29
2.3.10	Public Relations.....	34
2.3.11	Value Engineering Assistance (Not Used) .....	36
2.3.12	Environmental Documentation.....	36
2.3.13	Permitting Assistance .....	36
2.3.14	Project Safety Review .....	38
2.3.15	Project Management .....	38
2.3.16	Risk Management.....	39
2.3.17	Quality Control.....	40
<b>2.4</b>	<b>PDR Workshops and Meetings .....</b>	<b>40</b>
2.4.1	General .....	40
2.4.2	PDR Production Workshops .....	40
2.4.3	PDR Review Workshops .....	41
2.4.4	Design Memo Workshops.....	41
2.4.5	PDR Constructability Workshop.....	41
2.4.6	Technical Progress Meetings.....	42

2.4.7 Focused Meetings .....	42
2.4.8 Coordination with Other Projects Meetings .....	42
2.4.9 Stormwater Compliance Meeting .....	43
<b>3. PHASE 3 – DESIGN .....</b>	<b>43</b>
<b>3.0 Bid Documents.....</b>	<b>43</b>
3.0.1 General .....	43
3.0.2 Engineering Design Guideline Updates .....	43
3.0.3 General Requirements and Additional General Requirements.....	43
3.0.4 Design Submittals.....	44
3.0.5 Construction Submittal Items List .....	44
3.0.6 Temporary Facilities During Construction .....	44
<b>3.1 Design Support Documentation .....</b>	<b>45</b>
3.1.1 Design Submittal Support Documentation .....	45
3.1.2 Construction Cost Estimate .....	46
3.1.3 Construction Schedule.....	46
3.1.4 Procurement Alternatives .....	47
<b>3.2 Design Activities .....</b>	<b>47</b>
3.2.1 Easements, Property Boundaries and Work Area Limits.....	47
3.2.2 Topographic Survey .....	47
3.2.3 Geotechnical Baseline Report (Not Included) .....	47
3.2.4 Utility Investigation.....	47
3.2.5 Noise Evaluation Services .....	48
3.2.6 Traffic Control Services .....	48
3.2.7 Public Relations.....	48
3.2.8 Environmental Documentation.....	48
3.2.9 Permitting Assistance .....	48
3.2.10 Project Safety Review .....	49
3.2.11 Project Management .....	49
3.2.12 Risk Management.....	49
3.2.13 Quality Control.....	49

<b>3.3</b>	<b>Design Workshops and Meetings</b> .....	<b>49</b>
3.3.1	General .....	49
3.3.2	Design Phase Workshops .....	49
3.3.3	Pre-DS2 Constructability Workshop (Not in this scope) .....	50
3.3.4	Design Phase Meetings.....	50
3.3.5	Consultant Office Technical Meetings (COTMs).....	50
3.3.6	Coordination with Other Projects Meetings.....	51
3.3.7	Project Safety .....	51
3.3.8	Construction Submittal Items List Meeting.....	51
3.3.9	Stormwater Compliance Meeting.....	51
<b>3.4</b>	<b>Bid Phase Support Services</b> .....	<b>52</b>
3.4.1	Bid Phase Support Services .....	52
3.4.2	Bid Evaluation Assistance .....	52
3.4.3	Conformed Document Preparation .....	52
<b>4.</b>	<b>PHASE 4 – CONSTRUCTION AND INSTALLATION SERVICES (NOT USED)</b> .....	<b>52</b>
<b>5.</b>	<b>PHASE 5 – COMMISSIONING SERVICES (NOT USED)</b> .....	<b>52</b>
<b>6.</b>	<b>PHASE 6 – CLOSE OUT (NOT USED)</b> .....	<b>52</b>
<b>7.</b>	<b>GENERAL REQUIREMENTS</b> .....	<b>52</b>
<b>7.0</b>	<b>GENERAL</b> .....	<b>52</b>
7.0.1	OC SAN Engineering Design Guidelines and Strategic Plan .....	52
7.0.2	Project Phases and Tasks .....	53
7.0.3	Construction Sequencing and Constraints.....	54
7.0.4	Working Hours.....	54
7.0.5	Standard Drawings and Typical Details .....	54
7.0.6	Software .....	54
7.0.7	Submittal Review using Bluebeam .....	54
7.0.8	Word Track Changes.....	55
7.0.9	GIS Submittals .....	55
<b>8.</b>	<b>STAFF ASSISTANCE</b> .....	<b>56</b>
<b>9.</b>	<b>EXHIBITS</b> .....	<b>56</b>

# 1. PROJECT REQUIREMENTS

## 1.0 SUMMARY

### 1.0.1 PROFESSIONAL DESIGN ENGINEERING SERVICES

A. Provide professional design engineering services for the project described herein including the following:

1. Engineering studies (Not in this Scope)
2. Preliminary Design Report
3. Environmental documentation services
4. Permitting assistance
5. Preparation of bid documents

### 1.0.2 PROFESSIONAL LICENSING REQUIREMENTS

A. All plans and specifications shall be prepared by a professional engineer licensed in the State of California of the associated discipline.

B. CONSULTANT shall secure the services of a Professional Landscape Architect licensed in the State of California to determine landscaping requirements, develop and prepare preliminary design documents, and prepare final plans and specifications.

## 1.1 BACKGROUND

The assets in this project include the Yorba Linda Pump Station, a 30" ductile iron (DI) sewer force main and appurtenances, 12" to 24" vitrified clay pipe (VCP) sewers, 18" DI and 24" PVC sewers, 36" to 84" reinforced concrete manholes, and the Yorba Linda Spur Odor Control Facility.

The Yorba Linda Pump Station was built in 1974 under Project 2-15 is at the end of its useful life and is no longer necessary due to the completion of project 2-72, Newhope-Placentia Trunk Replacement (**Exhibit 19 – Project Overview Map and Record Drawings**), which included sewer capacity improvements negating the need for this pump station. It is located in the City of Fullerton on an easement from California State University, Fullerton (CSUF).

The existing sewer pipelines to be abandoned show fractures and the sewer manholes show exposed rebar, liner delamination, exposed aggregate, and manhole wall/bench degradation. Most of these assets of this project were originally constructed in the 1960s and 1970s with the most recent being completed in 2010. All available CCTV, photographs, lab analysis reports, and inspection reports will be included as part of the contract documents (**See Exhibit 20B – Sewer Pipeline and Manhole Conditions, Exhibit 20D – Manhole CCTV Inspection Reports, and Exhibit 20E – Pipeline CCTV Inspection Reports**).

The Yorba Linda Spur Odor Control Facility was built in 2013 per Project No. 2-41 (**Exhibit 19 – Project Overview Map and Record Drawings**) to prevent odors upstream of the Yorba Linda Spur inverted siphon. It consists of one biofilter, one fan, and various auxiliary equipment. Since operation of this facility began, there have been continuing issues with its operation including not getting enough hydrogen sulfide for proper operation of its biofilter.

## 1.2 GENERAL PROJECT DESCRIPTION

This project will demolish the Yorba Linda Pump Station in the City of Fullerton and its downstream force main, the Yorba Linda Spur Odor Control Facility in the City of Yorba Linda and abandon an additional 7,400 feet of 12-inch to 24-inch sewer pipeline and manholes in Craig Regional Park, Associated Road, and Yorba Linda Boulevard to permanently divert flow away from the pump station. Flows which historically have been pumped by the Yorba Linda Pump Station east will be conveyed by gravity through the newly upsized Newhope-Placentia Trunk located in State College Boulevard to the west. This project will also rehabilitate the Pioneer Branch, Kraemer Boulevard Interceptor, portions of the Rolling Hills Sub-Trunk and Carbon Canyon Dam Interceptor in the cities of Brea, Fullerton, and Placentia. This portion of the work includes 30 feet of new 30-inch sewer, upsize one manhole for new sewer connection, the rehabilitation of 1,330 feet of 15-inch to 20-inch VCP sewer and 50 manholes 48-inch to 84-inch manhole in diameter, and construction of various spot repairs. See [Figure 1 – Overall Project Map](#).

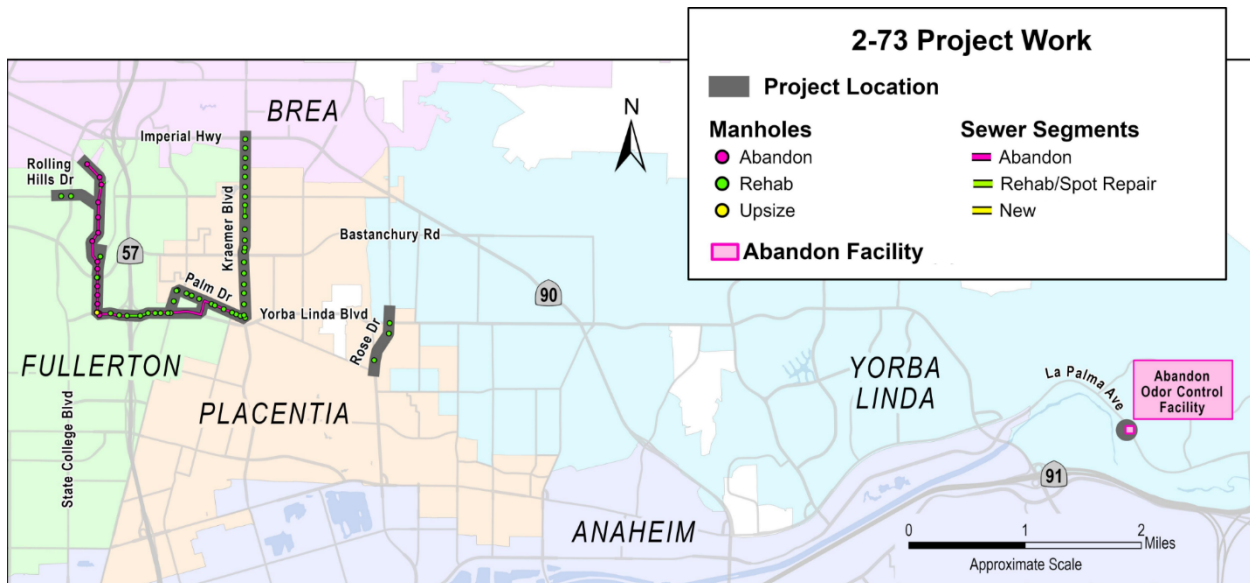


Figure 1 – Overall Project Map

## 1.3 PROJECT EXECUTION PHASES

All OC SAN projects are divided into six phases. CONSULTANT shall provide engineering services for all Project Elements listed in this Scope of Work for the following Phases:

- Phase 1 – Project Development (Not in this Scope of Work)
- Phase 2 – Preliminary Design
- Phase 3 – Design
- Phase 4 – Construction (Not in this Scope of Work)
- Phase 5 – Commissioning (Not in this Scope of Work)
- Phase 6 – Close Out (Not in this Scope of Work)

## 1.4 DESCRIPTION OF PROJECT ELEMENTS

Detailed descriptions of the Project Elements are presented below.

### 1.4.1 PROJECT ELEMENT 1 – DEMOLISH/ABANDON YORBA LINDA PUMP STATION

A. The Yorba Linda Pump Station and adjacent structures will be partially demolished and abandoned ([Figure 2 – Yorba Linda Pump Station Abandonment](#)). All above ground and below grade features are to be removed and/or abandoned in place. The finished surface shall be restored as required by CSUF.

#### B. Assumptions for Level of Effort

1. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
  - a. The pump station has been decommissioned by installing bulkheads at inlet sewer's upstream manholes (NHP0615-0010 and NHP0635-0000) per Project MPC-003.
  - b. All mechanical and electrical components within the pump station will need to be removed/disposed of and all utilities will be disconnected prior to backfilling of the pump station structure. The existing structure will be backfilled and abandoned in place per OC SAN Standards.
  - c. The Pump Station structure and footings shall be demolished to 10 feet below the current ground surface.
  - d. The two inlet gravity sewers (24" and 15") to the 60-inch manhole will be filled with grout and abandoned in place per OC SAN standards.
  - e. The finished surface will be restored with softscape elements, 50% area landscaping and 50% area sod. No hardscape or paving will be installed. The total area of the property is approximately 9,300 square feet.
  - f. The SCE transformer will need to be protected in place. Service will be transferred to CSUF.
  - g. Existing irrigation meter and service will be protected in place. Service will be transferred to CSUF.

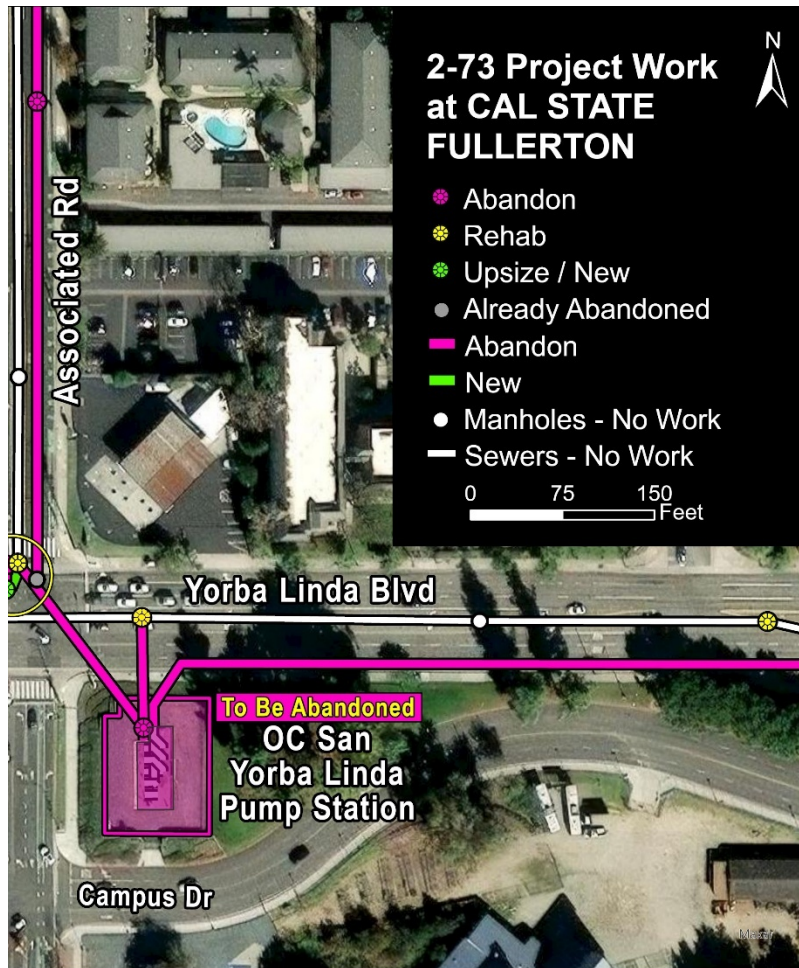


Figure 2 – Yorba Linda Pump Station Abandonment

#### 1.4.2 PROJECT ELEMENT 2 – ABANDON 30-INCH YORBA LINDA PUMP STATION FORCE MAIN

A. The Yorba Linda Pump Station discharges into one 30-inch ductile iron force main with an approximate length of 7,270 linear feet. The force main, force main access manholes, and all appurtenances will need to be filled with grout and abandoned in place per OC SAN standards. The limits of abandonment begin at the connection to the Yorba Linda Pump Station and end at OC SAN manhole SAR0345-0165 where the force main discharges.

#### B. Assumptions for Level of Effort

1. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
  - a. The top 5 feet of the force main access manholes will be demolished and the rest abandoned in place per OC SAN standards.
  - b. The existing force main access manholes will likely not be sufficient to access the entire force main for grout filling operations. Assume 10-15 new access

points will be needed for filling or venting air from the force main during grouting operations.

### 1.4.3 PROJECT ELEMENT 3 – ABANDON OUT-OF-SERVICE SEWERS AND MANHOLES ALONG ASSOCIATED RD AND CRAIG REGIONAL PARK

A. Abandon approximately 7,400 feet of out-of-service 12-inch gravity sewers and 13 manholes from manholes NHP0450-5215 to NHP0450-5155 (See [Figure 3 – Abandonment of Out-of-Service Sewer in Craig Regional Park](#)). This segment starts on the north end of Craig Regional Park and runs south where it crosses the park's main lake (2 manholes are just feet away from the edge of the water surface), several baseball fields, and under Fullerton Dam. South of the Dam, the gravity sewer changes from 12" CIP to 12-inch VCP at manhole NHP0405-5180 and runs south down Associated Road for approximately 2,300 feet before terminating at Yorba Linda Blvd.

#### B. Segments to be Removed/Abandoned

1. NHP0450-5150:NHP0450-5155, 12-inch VCP, 387 LF
2. NHP0450-5155:NHP0450-5160, 12-inch VCP, 396 LF
3. NHP0450-5160:NHP0450-5165, 12-inch VCP, 396 LF
4. NHP0450-5165:NHP0450-5170, 12-inch VCP, 396 LF
5. NHP0450-5170:NHP0450-5175, 12-inch VCP, 396 LF
6. NHP0450-5175:NHP0450-5180, 12-inch CIP, 333 LF
7. NHP0450-5180:NHP0450-5185, 12-inch CIP, 1,008 LF
8. NHP0450-5185:NHP0450-5190, 12-inch CIP, 463 LF
9. NHP0450-5190:NHP0450-5195, 12-inch CIP, 700 LF
10. NHP0450-5195:NHP0450-5200, 12-inch CIP, 678 LF
11. NHP0450-5200:NHP0450-5205, 12-inch CIP, 806 LF
12. NHP0450-5205:NHP0450-5210, 12-inch CIP, 342 LF
13. NHP0450-5210:NHP0450-5215, 12-inch CIP, 815 LF

#### C. Assumptions for Level of Effort

1. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
  - a. Sewer mains will be abandoned in place via grout filling.
  - b. Manhole Removal/Abandonment: Remove and dispose of the top 5 feet of the existing manhole and the remaining manhole structure will be backfilled and abandoned in place per OC SAN standards.
  - c. The condition of the sewer manholes within Craig Regional Park is unknown. Most manholes will have heavily corroded covers, which are all assumed to be 24-inches in size. Manholes will be abandoned by removing the top 5 feet and filling the rest with grout per OC SAN standards. Manholes are assumed

to be 36-inches in diameter with most having a depth between 5 to 10 feet. Two manholes are believed to be 15-20 feet deep.

- d. One undocumented manhole was discovered between manholes NHP0450-5165 and NHP0450-5170, approximately 114 feet downstream of NHP0450-5170, on pipeline CCTV video. Assume one (1) additional undocumented manhole is located within this alignment within Craig Regional Park and will be abandoned by removing the top 5 feet and filling the rest with grout per OC SAN Standards.
- e. The condition of the sewer mains within Craig Regional Park is unknown. The out-of-service sewer mains and manholes will be abandoned by grout filling.

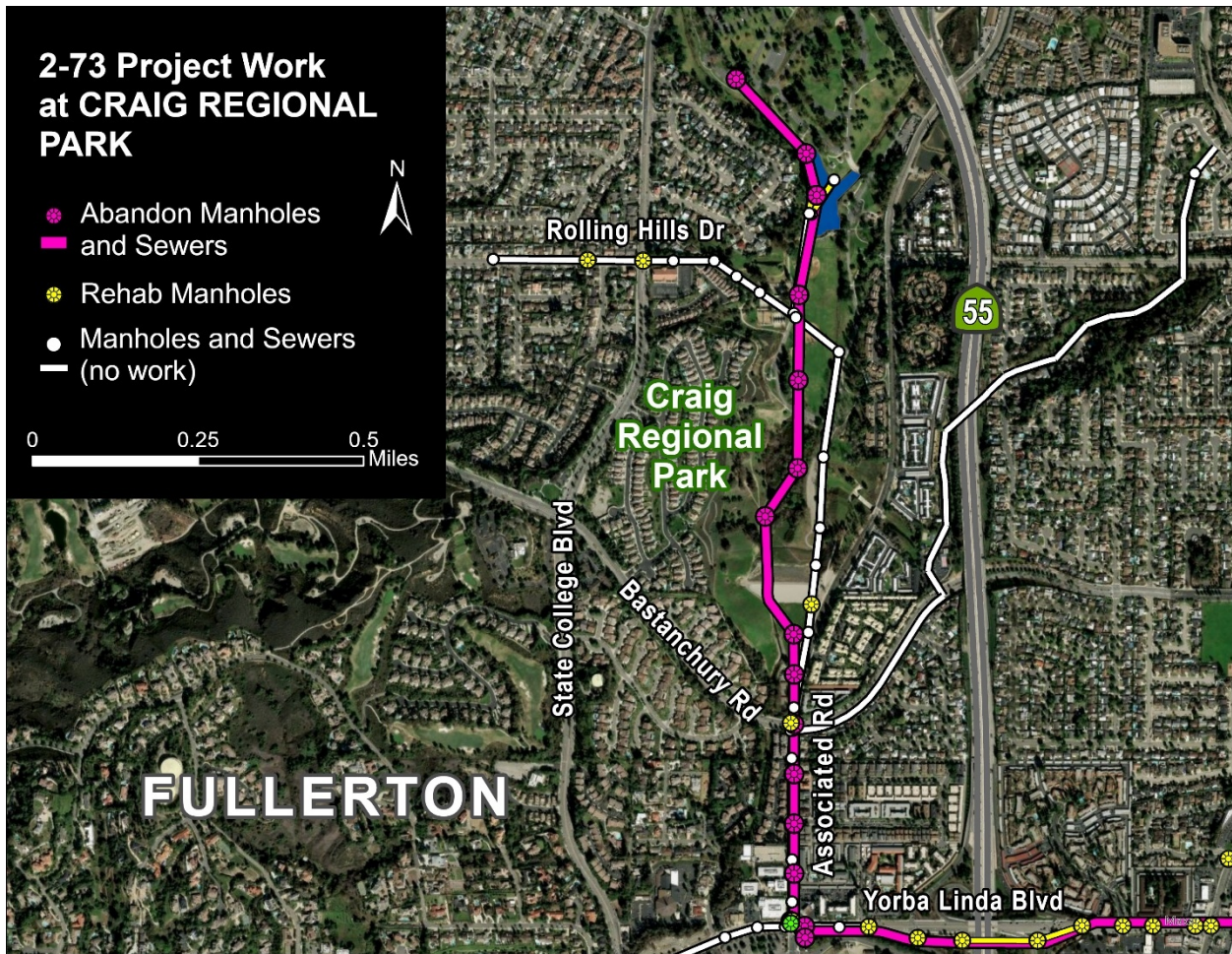


Figure 3 – Abandonment of Out-of-Service Sewer within Craig Regional Park

#### 1.4.4 PROJECT ELEMENT 4 – REHABILITATE IN-SERVICE SEWER AND MANHOLES

A. Several active gravity sewer pipe segments will be rehabilitated. This project element includes the following to be rehabilitated:

1. Segments at Craig Regional Park (See [Figure 5 – In-Service Sewers and Manholes Rehabilitation within Craig Regional Park](#))

- a. 18-inch ductile iron gravity sewer pipe between manholes NHP0660-0275 and NHP0660-0280
  - b. 18-inch ductile iron gravity sewer pipe between manholes NHP0660-0275 and NHP0660-0290
  - c. 20-inch ductile iron gravity sewer pipe between manholes NHP0660-0295 and NHP0660-0290
  - d. 20-inch ductile iron gravity sewer pipe between manholes NHP0660-0295 and NHP0660-0300
  - e. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
    - (1) The last CCTV inspection was in 2013 and there are no inspection reports available.
    - (2) Assume a full-length CIPP is required.
2. Two segments of 15-inch VCP gravity sewer pipes between manholes NHP0615-0035 and NHP0615-0025 which cross under the 57 freeway. Manhole NHP0615-0030 lies in between these sewer segments measuring 600 and 375 feet in length.
- a. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
    - (1) Sewer crosses 57 freeway, making open cut rehabilitation difficult if not impossible in some areas. Assume CIPP point repair is required based on the location of the fractures.
3. 24-inch VCP gravity sewer pipe between manholes SAR0345-0225 and SAR0345-0220 along N Kraemer Blvd.
- a. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
    - (1) Multiple fractures and root intrusion are localized to one area approximately 220 linear feet downstream of MH SAR0345-0225. Assume CIPP point repair is required.
- B. Forty-eight (48) sewer manholes ranging in diameter from 48 to 60 inches (plus one 84-inch manhole) will be rehabilitated. See [Figure 4 – In-Service Sewers and Manholes Rehabilitation Map](#). These manholes are located throughout the project area and exhibit serviceability and structural defects including but not limited to NASSCO Condition Grades 3, 4, and 5 cracking, visible reinforcement, surface damage, and existing liner deterioration.
- 1. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:

- a. Manhole NHP0660-0020 is located at the base of Fullerton Dam in Craig Regional Park. Access to this manhole may require coordination with OC Parks and the Army Corps of Engineers.
- b. Manholes will require removal of existing liner, sealing infiltration, reshaping of the flow channel, and lining via cured-in-place-manhole (CIPM) or other coating material.
- c. Approximately 20 of these manholes have 24-inch frames and covers. Assume the existing 24" frame and covers will be removed and installed with 36" frames and covers, including cone replacement.



Figure 4 – In-Service Sewers and Manholes Rehabilitation Map

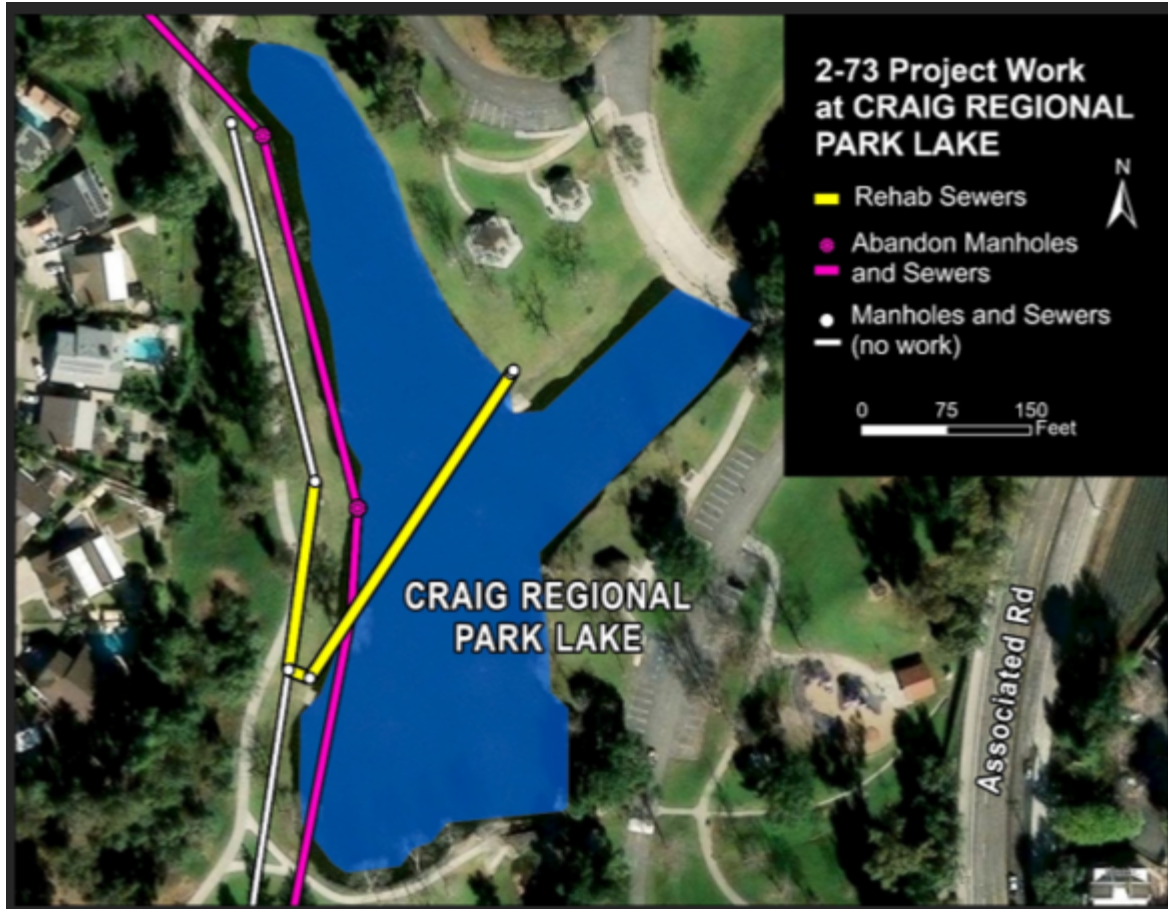


Figure 5 – In-Service Sewers and Manholes Rehabilitation within Craig Regional Park

**1.4.5 PROJECT ELEMENT 5 – SEWER REALIGNMENT WITH NEW MANHOLE TO CORRECT LOCALIZED FLOW CONSTRICTION**

A. There is a localized flow constriction point at the intersection of Associated Rd and Yorba Linda Blvd. See [Figure 6 – Constriction Point at Intersection of Associated Rd and Yorba Linda Blvd.](#) Flow goes from 39" VCP > 24" PVC > 18" VCP > 30" VCP. Eliminating this constriction point requires the following project elements:

1. Abandon and remove interfering portions of 24" PVC and 18" VCP gravity sewers.
2. Abandon 72-inch lined concrete manhole NHP0630-0000.
3. Construct 24 linear feet of new 30" VCP gravity sewer between manholes NHP0635-0000 and NHP0625-0000.
  - a. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
    - (1) Based on record drawings, no major obstructions or utility conflicts exist along the alignment of the proposed 30" gravity sewer.
    - (2) The new segment between NHP0625-0000 and NHP0635-0000 may result in an adverse slope. According to available records, the segment

between NHP0625-0000 and NHP0622-0000 has enough fall to lower NHP0625-0000.

4. Rehabilitate manhole NHP0635-0000. Add new sewer connection and abandon existing connection to manhole NHP0630-0000.
  - a. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
    - (1) Manhole will require removal of existing liner, sealing infiltration, reshaping of the flow channel to accommodate new flow configuration, and lining via cured-in-place-manhole (CIPM) or other coating material.
5. Upsize existing 48" manhole to 84" manhole (NHP0625-0000). Add new sewer connection and abandon existing connection to manhole NHP0630-0000.
  - a. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
    - (1) There are no major obstructions or utility conflicts exist that could prevent the upsizing of this manhole.

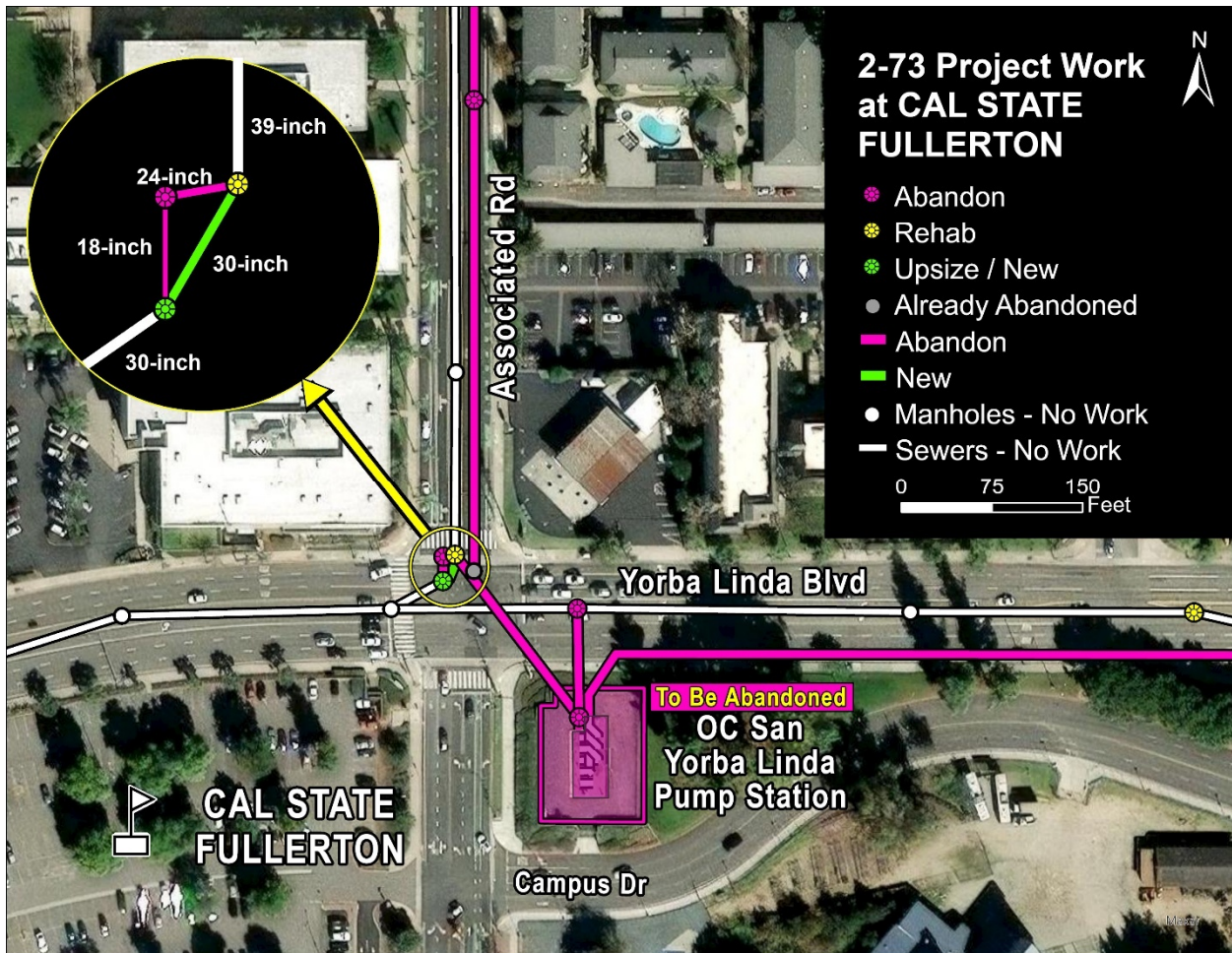


Figure 6 – Constriction Point at Intersection of Associated Rd and Yorba Linda Blvd  
October-2025

#### 1.4.6 PROJECT ELEMENT 6 – DEMOLISH YORBA LINDA SPUR ODOR CONTROL FACILITY

A. Demolish existing Yorba Linda Spur Odor Control Facility which consists of a biofilter measuring approximately 8 feet tall with a diameter of 5 feet, a small nutrient tank and with 1 pump, foul air fan and ducting, associated waterbox panel, control panels, drain piping/utilities, other auxiliary equipment/piping, and fencing. See [Figure 7 – Yorba Linda Spur Odor Control Facility Abandonment](#). The facility is located within Orange County Flood Control District’s right-of-way.

1. For the purpose of estimating the predesign and design phase levels of effort, the CONSULTANT shall make the following assumptions regarding this project element:
  - a. The facility is located along a bicycle path adjacent to La Palma Ave. up against a retaining wall. The bicycle path is about 6 feet lower in elevation than the main road.
  - b. Assume existing concrete pad and retaining wall will be demolished. The site will be restored to match surrounding landscaping in kind and cleared of all protrusions, holes, or tripping hazards for restoration.
  - c. Utilities will be removed and abandoned where practical, or otherwise abandoned/capped as required
    - (1) The existing SCE transformer will need to be decommissioned and removed. Allocate an electrical engineer to coordinate with SCE on demolition and removal of existing SCE transformer feeding Yorba Linda Spur Odor Control Facility and development of all required documentation including but not limited to demolition drawings, specifications, and SCE’s point of contact information. The coordination will include confirmation and documentation of SCE’s Scope of Work to remove the associated transformer and meter.
    - (2) Sewer connections to Yorba Linda Water District’s existing sewer manholes will be capped and abandoned per YLWD standard specifications.
    - (3) Existing Yorba Linda Water District water meter, meter box, service and reduced pressure principle (RPP) assembly will be removed, capped and abandoned per YLWD standard specifications.

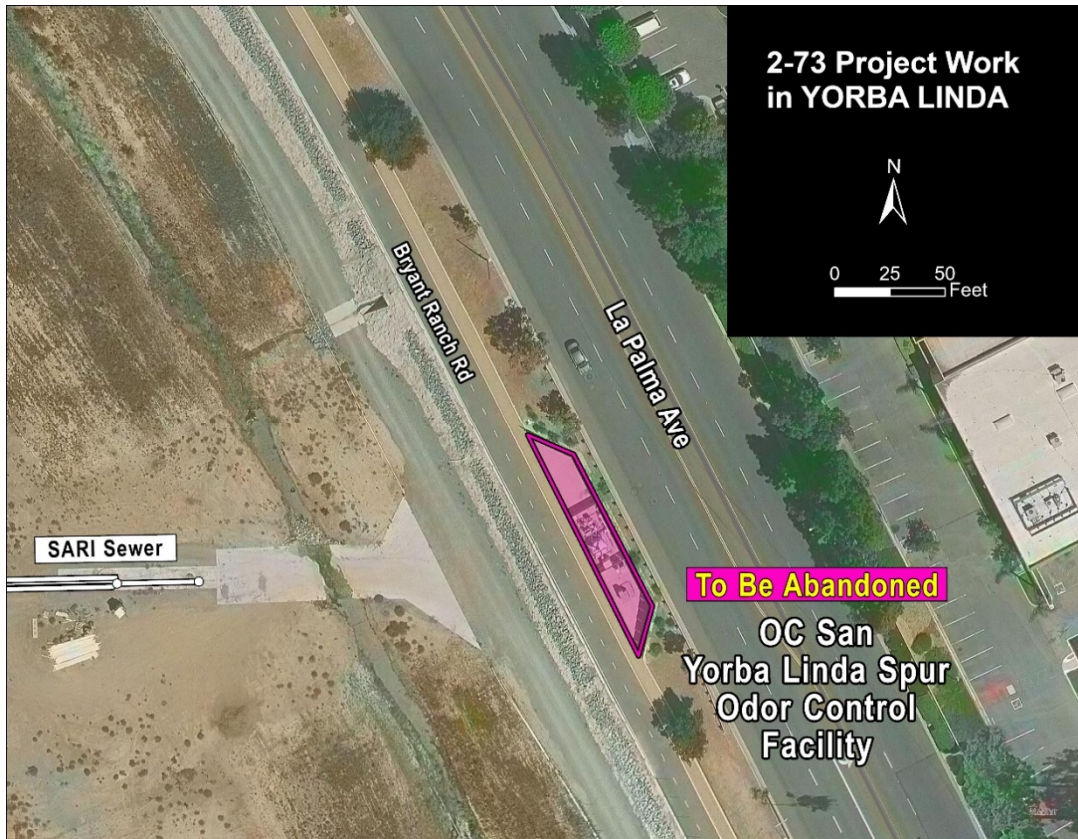


Figure 7 – Yorba Linda Spur Odor Control Facility Abandonment

#### 1.4.7 TEMPORARY FACILITIES DURING CONSTRUCTION

A. In certain cases, construction sequencing constraints may require the Contractor to construct a temporary facility to be used during a certain portion of the construction period. CONSULTANT shall identify in what instances such facilities are required or reasonably warranted and present those instances with implementation plans and construction sequencing constraints to OC SAN for consideration. When such facilities are found to be either required or reasonably warranted, CONSULTANT shall provide sufficiently detailed drawings and specifications to be included in the Bid Documents that bidders understand what is required to provide and potentially operate the temporary facilities and that the reliability and performance of the facilities will meet OC SAN's needs and reasonably mitigate construction risks. Examples of potential facilities include:

1. Bypass pumping to rehabilitate sewer lines or manholes or to construct new sections of sewer lines.
2. Traffic Control

B. CONSULTANT shall design measures for the temporary handling of flows to be implemented by the Contractor during construction considering OC SAN's goal of zero sewage spills.

C. If existing facilities such as valves, gates, stop logs, etc. are being used for shutdowns or diversions, include a plan for testing those facilities during Phase 3 -

Design to verify that they will function adequately for the purpose. If testing cannot be performed, CONSULTANT shall identify the risks associated with using the facility for bypassing, along with contingency plans and mitigation measures to be implemented if they are found not function adequately during construction.

#### **1.4.8 COORDINATION WITH OTHER PROJECTS (NOT USED)**

### **1.5 DESIGN CONSIDERATIONS**

The following design considerations shall be carried out from Preliminary Design through Final Design.

#### **1.5.1 TECHNOLOGY AND CONFIGURATION CHOICES**

The project elements in this facility shall be achieved using proven technologies. Alternative means of accomplishing the project elements must be reviewed and accepted by OC SAN prior to detailed evaluation. All alternative technologies proposed should be currently operating in other wastewater treatment facilities of similar capacity.

#### **1.5.2 DESIGN DECISIONS**

Design decisions shall be agreed upon by OC SAN prior to any work being performed by the CONSULTANT in preliminary and detailed design. All design decisions shall be documented.

#### **1.5.3 DESIGN SELECTION CRITERIA**

A. Design selection shall consider construction, lifecycle, operation, and maintenance costs as well as process benefits and overall quality. When design recommendations are presented to OC SAN, the design selection criteria shall be clearly identified with the recommendation.

B. The life cycle cost analysis for the options proposed shall include costs for engineering, construction, start-up, and operational and maintenance, and future rehabilitation and replacement. Life cycle cost analysis is described in Section 01.2.19 of the Engineering Design Guidelines. See Error! Reference source not found. - **OC SAN Engineering Design Guidelines and Standards** - Available online at [Document Central | Orange County Sanitation District \(ocsan.gov\)](http://Document Central | Orange County Sanitation District (ocsan.gov))

#### **1.5.4 CONSTRUCTION COST ESTIMATE**

A. The construction cost estimate shall be as described in the **Engineering Design Guidelines, Chapter 15 Construction Cost Estimates**.

### **1.6 PROJECT SCHEDULE**

#### **1.6.1 GENERAL**

A. The table below lists the time frames associated with each major project deliverable and with OC SAN's review and approval of those deliverables. CONSULTANT shall comply with the deadlines indicated in that table.

B. OC SAN's Project Manager will issue a Preliminary Design NTP. OC SAN's Project Manager will also issue a Final Design NTP upon OC SAN's acceptance of the final Preliminary Design Report.

C. The time frames specified below are used to estimate the actual milestone dates based on the assumed NTP date, as shown in **Exhibit 8 - Project Schedule Calculation**.

D. OC SAN will consider an alternative CONSULTANT-proposed schedule provided it is consistent with OC SAN resources and schedule constraints and adds value to OC SAN.

<b>PROJECT MILESTONE AND DEADLINES</b>	
<b>MILESTONE</b>	<b>DEADLINE</b>
Kickoff Meeting	The kickoff meeting will be scheduled to coincide with the Preliminary Design NTP.
Preliminary Design NTP	
Submit draft Preliminary Design Report (PDR)	200 workdays from the Preliminary Design NTP. CONSULTANT shall establish a schedule with the OC SAN PM for separately submitting working drafts of each Design Memo for OC SAN review prior to completing the draft PDR. This schedule shall factor in the logical sequence for completing the memos as well as both CONSULTANT and OC SAN resources.
<b>OC SAN Review of draft PDR</b>	20 workdays from receipt of Draft PDR
Submit final Preliminary Design Report	20 workdays from receipt of OC SAN comments on Draft PDR.
Final Design NTP	CONSULTANT's schedule shall allow 15 working days from submittal of the final PDR to receipt of the Design Phase NTP.
Submit Design Submittal 1 (DS1)	70 workdays from Design Phase NTP.
<b>OC SAN Review of DS1</b>	20 workdays from receipt of DS1
Submit Design Submittal 2 (DS2)	100 workdays from receipt of OC SAN comments on DS1.
<b>OC SAN Review of DS2</b>	20 workdays from receipt of DS2
Submit Design Submittal 3 (DS3)	70 workdays from receipt of OC SAN comments on DS2.
<b>OC SAN Review of DS3</b>	20 workdays from receipt of DS3
Submit Final Design Submittal (FDS)	40 workdays from receipt of OC SAN comments on DS3. CONSULTANT shall stop work upon submission of DS3, except as required to participate in OC SAN meetings, until receipt of OC SAN comments on DS3.
<b>OC SAN Review of FDS</b>	20 workdays from receipt of FDS
Final Technical Specifications and Plans	20 workdays from receipt of OC SAN comments on FDS.

## 2. PHASE 2 – PRELIMINARY DESIGN

The preliminary design phase will define the project. The final deliverable of this phase will be a Preliminary Design Report (PDR) with the basis of design for all elements of the project.

## 2.0 PRELIMINARY DESIGN EXECUTION (NOT USED)

## 2.1 PREDESIGN EVALUATION STUDIES (NOT USED)

## 2.2 PRELIMINARY DESIGN PRODUCTION

### 2.2.1 GENERAL

A. Preliminary Design Report (PDR) production involves the preparation of design memos, drawings, calculations, and other supporting material resulting in the PDR.

### 2.2.2 DESIGN MEMOS

A. The CONSULTANT shall produce Design Memos as indicated below in accordance with **Exhibit 1 - Preliminary Design Report Requirements**. The CONSULTANT shall discuss the combining of design memos with OC SAN and develop a design memo submittal list.

#### **Process Design Configuration**

- Design Configuration
- Redundancy
- Monitoring and Sampling
- Process Flow Diagrams
- Operating Philosophies
- Site and Facility Layouts
- Preliminary Load Criticality Ranking Table

#### **Hydraulic Analysis**

- Hydraulic Analysis
- Hydraulic Profile

#### **Demolition**

- Describe Demolition Requirements
- Demolition List
- Demolition Plans
- Demo EID

#### **Rehabilitation Requirements**

#### **Geotechnical Data Report**

- Review of Existing Data - Preliminary Geotechnical Report
- Geotechnical Data Report and Recommendations

#### **Civil Design Parameters**

- General Civil
- Pavement Requirements
- Drainage Requirements
- Corrosion Protection Requirements
- Utility Requirements**
- Structural Design Parameters**
- Architectural Design Parameters**
  - Note: Develop up to three alternative concepts for review and acceptance
- Process Mechanical Design Parameters**
- Building Mechanical Design Parameters**
- Fire Protection**
  - Fire Protection Requirements
  - Fire Water Flow Analysis
  - Fire Protection Requirements for Existing Facilities
- Electrical**
  - Codes/standards. Brief description of electrical system. Electrical drawings.
  - Identify Electrical System Impacts
  - Report – Data Collection and Verification
  - Preliminary Load List
  - Preliminary Standby Power Requirements
  - ETAP – Preliminary Short Circuit Analysis and Load Flow/Voltage Drop Studies
  - ETAP – Provide Data. OC SAN will perform ETAP studies.
  - Preliminary Analysis for cable pull calcs, duct bank cable derating, cable tray fill calcs.
  - Hazardous Area Classification Requirements
- Instrumentation and Control**
  - Instrumentation and Control System
  - Specialty Safety Systems
  - Preliminary SAT
  - PLC and RIO Panel Location Map
  - CCTV Coverage Map

**Landscaping**

Landscaping Requirements

Develop up to three alternative concepts for review and acceptance

**Plant Utility Investigation Findings**

**Vibration Analysis**

**Collections Basis of Design**

Codes and Standards

Hydraulic Analysis

Pipeline Basis of Design

Manhole Basis of Design

Hydraulic Profiles

**Collections Rehabilitation Alternatives**

Pipeline Rehabilitation

Manhole Rehabilitation

**Collections Pipeline Design**

Assume 3 viable alignment options

Design Memo Items 1-12

Open-cut vs. Trenchless Technologies

Trenchless Technologies

**Collections Utility Investigation Findings**

**Collections Conceptual Traffic Control**

AHJ and Traffic Control Identification

Basis for Traffic Control Strategy

Traffic Analysis

Traffic Control Plans

**Design Safety Requirements**

Design Safety Requirements

Identify all potential project specific safety issues

Identify all potential Cal OSHA and OC SAN safety issues

Identify construction safety hazards

Use Sample Full Project Safety Review Plan to verify safety elements

- Risk Management Check List to verify safety elements
- HAZOP
- Public Impacts**
- Environmental and Regulatory Requirements**
  - CEQA Part of Programmatic EIR
  - CEQA
  - Determine project environmental and regulatory requirements
  - Matrix of CEQA and Permit Requirements
  - Mitigation, Monitoring and Reporting List
- Permit Requirements**
  - List of Permits Required
  - Oil Well Abandonment
- Stormwater Requirements**
- Hazardous Material Survey, Mitigation and Control**
- Maintainability**
  - Define Maintainability Requirements
    - Maintainability Requirements Plan Drawings
  - Define Maintainability Rules
  - Define Maintainability Information for Project Specific Equipment
- Facility Operation and Maintenance**
  - Facility O&M Requirements
  - Operating Philosophies
  - Preliminary Assessment of O&M Staffing Requirements
- Implementation Plan**
  - Identification of Adjacent Projects
  - Preliminary Commissioning Checklist
  - Preliminary Construction Sequencing Plan
  - Review of Constructability Issues
  - Temporary Handling of Flow
- Construction Odor Monitoring and Mitigation**
- Preliminary Technical Specification List**

### 2.2.3 PRELIMINARY DESIGN DRAWINGS

A. The CONSULTANT shall produce the following Preliminary Design Report drawings in accordance with **Exhibit 1 - Preliminary Design Report Requirements**.

- General
- Demolition
- Civil
- Landscape
- Structural
- Architectural
- Mechanical
- Electrical
- Instrumentation and Control

### 2.2.4 PRELIMINARY DESIGN REPORT (PDR) PRODUCTION, CONTENTS AND ORGANIZATION

A. Preliminary Design Report (PDR) Production involves the preparation of design memos, drawings, calculations, and other supporting material resulting in the PDR.

B. The CONSULTANT shall combine the materials described below into a draft PDR. The PDR shall be structured as outlined below, with the contents corresponding to the tasks listed in this Scope of Work.

#### **Volume 1 – Preliminary Design Report Technical Memos**

##### **Executive Summary**

##### **Predesign Evaluation Studies Report**

Evaluation Memo 1, 2, 3, etc.

##### **Design Memos**

Design Memo 1, 2, 3, etc.

List of Proposed Specification Sections

#### **Volume 2 – Drawings (see Preliminary Design Drawings list below)**

#### **Volume 3 – Submittal Documentation**

Calculations

Equipment Data & Catalog Cuts

Decision Log

Meeting Minutes

C. The Executive Summary shall summarize the conclusions of the Memos included in the report and specifically include a summary construction schedule and construction cost estimate.

D. The draft PDR and final PDR shall be submitted in searchable PDF format legible on-screen and as a hard copy. The number of hard copies is indicated in **Exhibit 9 -**

**Deliverables Quantities.** The labeling and organization of the PDF submittal shall be in accordance with **Exhibit 174 – Bluebeam Designer Training for Submission.**

E. Each evaluation memo and design memo shall be a separate file.

F. The OC SAN Project Manager may request that the CONSULTANT submit an electronic proof set of the Draft PDR and Final PDR prior to hard copy production in order to initially confirm that the submittal is ready for printing.

#### **2.2.5 PRELIMINARY DESIGN COST ESTIMATE**

A. The CONSULTANT shall provide a cost estimate for the associated PDR submittal per **Engineering Design Guidelines, Chapter 15 Construction Cost Estimates.**

### **2.3 PRELIMINARY DESIGN ACTIVITIES**

The following services shall be provided by the CONSULTANT or an appropriately qualified subconsultant. In any case, the CONSULTANT shall be responsible for managing all subconsultants, including reviewing their work products prior to submission to OC SAN.

#### **2.3.1 PUMP STATION DEMOLITION ANALYSIS**

A. Evaluate the proposed Entrance Marquee plans from CSUF and provide a recommendation for the demolition depth of the Yorba Linda Pump Station. Conceptual plans of the proposed Entrance Marquee can be found in **Exhibit 20F – CSUF Entrance Marquee Conceptual Plans.**

#### **2.3.2 HYDRAULIC MODELING**

A. Perform hydraulic modeling for pipeline segments between NHP0615-0025 to NHP0615-0035 to determine if thickness of the CIPP liner will inhibit flows in the pipe.

#### **2.3.3 OUT-OF-SERVICE MANHOLE LOCATION ASSESSMENT**

A. Perform CCTV or other proven technology to verify size and location of out-of-service manholes in Craig Regional Park. Required work for access to include locating buried manholes, excavating and raising manholes covers to grade, and clearing and grubbing.

#### **2.3.4 MANHOLE CONDITION ASSESSMENT**

A. Visual inspection and/or manhole CCTV inspections of manholes NHP0615-0010 and NHP0635-0000 after completion of project MPC-003.

#### **2.3.5 PIPELINE CONDITION ASSESSMENT**

A. Perform CCTV and pipeline condition assessment for pipeline segments between the following manholes within Craig Regional Park.

1. NHP0660-0275 to NHP0660-0280
2. NHP0660-0275 to NHP0660-0290
3. NHP0660-0295 to NHP0660-0290
4. NHP0660-0295 to NHP0660-0300

### 2.3.6 EASEMENTS, PROPERTY BOUNDARIES AND WORK AREA LIMITS

- A. The CONSULTANT shall identify easement and property boundary requirements and prepare all easement documents, including performing any field investigations and survey required to identify and prepare easements.
- B. Unless otherwise directed, the CONSULTANT shall identify, survey, and show all property boundaries, and all existing and proposed easements, within and/or adjacent to the project boundaries.
- C. CONSULTANT shall show and explicitly identify the limits of work for all portions of the project, including any restrictions to the work allowed in any area, e.g., whether the area can be used for parking or laydown.
- D. All survey research and survey field work shall be performed by a Professional Land Surveyor licensed by the State of California.

### 2.3.7 TOPOGRAPHIC SURVEY

- A. CONSULTANT shall conduct field and aerial surveys as required. Topographic information used on the construction plans shall be generated from a field survey and an aerial mapping process. OC SAN will not provide the aerial survey information to the CONSULTANT for use on the project.
- B. Prior to beginning design, CONSULTANT shall prepare the Scope of Work for field and aerial surveys required for all applicable project elements. OC SAN will establish both vertical and horizontal control for the project. The field survey shall be used to establish both horizontal and vertical alignment of the facilities and shall note all survey monuments, topographic features, property lines, and elevations. The basis of bearings and benchmarks shall be indicated on the drawings. Control shall meet or exceed NAVD 88 requirements and shall be based on the Plant Local Coordinate System and datum. CONSULTANT's project schedule shall account for the above.
- C. The aerial topography shall be required to meet the following criteria:
  - 1. The final product shall be delivered in AutoCAD.
  - 2. The CAD file shall adhere to the CAD Manual. OC SAN shall be given the opportunity to review and comment on the compliance to the CAD Manual.
  - 3. Site contours shall be in 5-foot intervals.
  - 4. Contour and spot elevations shall be 3D; all other features shall be 2D.
  - 5. CONSULTANT shall include the survey-related documents with the Design Support Documentation portion of the Design Submittals as specified in the Engineering Design Guidelines, Appendix A, Section A.3.19 "Project Support Documentation (PDS)".
- D. Control Surveys for Collection Systems
  - 1. General: Topographical information used on the construction plans shall be generated from an aerial mapping process. CONSULTANT shall provide for the aerial and field surveys necessary for the mapping process for all applicable Project Elements of the project Scope of Work and shall provide for the aerial mapping. Providing for the process includes paying for, coordinating and

designing the aerial and horizontal/vertical control surveying for the preliminary and final design. CONSULTANT's responsibilities for the surveys include generating any subconsultant scopes of work, data interpretation and preliminary design. All survey work is to be done under the direction and control of a Professional Land Surveyor, licensed by the State of California.

2. Aerial Survey: The aerial photography shall have sufficient coverage for the digital topographic mapping. The photo scale of the aerial photography shall not be more than 100 feet per inch for pipeline work or 20 feet per inch for pump stations.
3. Phasing of Work: Other than the aerial and topographic survey work, the balance of the survey work shall not commence until the design phase of the project has been authorized or concurred to by OC SAN.
4. Field Survey Aerial: A field survey shall be used to establish both horizontal and vertical control for the project. Control shall meet or exceed NGVD 88 requirements and shall be based on California State Plan Coordinates (NAD 83) including the 1995 O.C. surveyor's adjustments. A sufficient number of points shall be used to accurately complete the digital topographic modeling. No less than five control points per stereo model shall be used.
5. Aerial Field Survey Inclusions: The field survey shall include all survey monuments, topographic features, easements, property lines, culture, and elevations on the plan and profile sheets. All covers, including the existing sewer manholes, storm drain manholes, and utility and valve vaults shall be identified and marked in the field.
6. OC SAN Review Aerial Survey Line: The general location and alignment of the survey line shall be submitted to OC SAN prior to performing the field survey. Survey work shall not commence until authorized or concurred to by OC SAN. CONSULTANT shall be responsible for obtaining and paying for the field survey services.
7. Field Survey Base Line: The field survey shall establish a base line for construction purposes for pipeline work equal to or greater than 500-feet in length. The line will be used to define the proposed design, in terms of station and offset, and to establish the bearings for right-of-way. The survey line shall be set on 100-foot stations and shall be tied to the established aerial control. The field survey shall tie in all controlling monuments within the map limits and all street centerline intersections. The ties shall be expressed in both State Plane Coordinates and as station and offset.
8. Manhole Information: The field survey shall also include the measurement of the invert and manhole rim elevations of all existing sewers within the project reach. The size, orientation and invert of any pipe connections shall also be recorded.
9. Base Map: The base map index contours shall be spaced at five feet (5') vertically and the immediate contours shall be spaced at one foot (1') contour intervals. The mapping shall include digital topographic mapping. The digital format shall be compatible with OC SAN Graphic Information System. All

surface features, including those hidden from aerial view shall be incorporated into the digital mapping.

10. Plan and Profile Sheets: CONSULTANT shall prepare plan and profile sheets based upon the aerial mapping. The scale for plan and profile sheets shall be one-inch equals forty feet (1" = 40') horizontal and one-inch equals four feet (1" = 4') vertical. An aerial photographic (photo strip) with the alignment shall be included. The plan view shall be separate from the photo strip. Intersections shall be adequately detailed at a scale of one-inch equals ten feet (1" = 10') or one-inch equals twenty feet (1" = 20'). Manholes and other details shall be drawn at a scale that is adequate to provide clarity and sufficient detail for construction. The pump station construction drawings shall be drafted at scales of 1/8" = 1' to 1" = 20', as adequate, to allow for sufficient detail to be shown. The basis of bearings and benchmarks shall be indicated on the drawings.
11. Survey Note Submittal: CONSULTANT shall submit all survey notes and data used to establish vertical and horizontal control. The information submitted shall be suitable for use to establish construction controls. If additional property and/or right-of-way are required, CONSULTANT shall identify property and/or rights-of-way to be acquired. CONSULTANT shall prepare legal descriptions and plats for easements and property to be acquired during the final design phase of the project.

### **2.3.8 GEOTECHNICAL INVESTIGATION**

A. CONSULTANT shall secure the services of a qualified Geotechnical Engineering firm to prepare a Geotechnical Data Report that addresses geotechnical concerns for all applicable Project Elements of the project Scope of Work.

#### **B. Soil Explorations**

1. The geotechnical services shall include exploratory work such as soil borings necessary to observe, test, classify soils, and monitor groundwater levels and potential groundwater pollutants of concern.
2. The number and spacing of borings shall be based on the geotechnical professional's interpretation of needs and recommendation.
  - a. If unexpected or unique soils are encountered, an adequate number of borings shall be taken to try and define the limits of the anomaly.
3. The depth of the borings shall be adequate to characterize the soils to a depth of at least five feet below the bottom of an excavation or any proposed sewer invert elevation. At least two borings shall extend ten (10) feet below the proposed excavation bottom or sewer invert.

#### **C. Soil Sampling**

1. Soil samples for testing shall be collected as needed based upon CONSULTANT's professional judgment. However, samples intervals shall not exceed two-foot depth intervals alternating SPT and RING samples in each boring. If borings are taken near existing sewers, samples shall be taken and delivered to OC SAN for testing for coliforms to determine if sewers are leaking.

#### D. Groundwater Contamination Testing

1. Take samples at each installed monitoring well and perform complete lab analysis for all pollutants regulated under OC SAN Local Discharge Limits (see page 33 of OC SAN's Wastewater Discharge Regulations Ordinance No. 53). Update specifications to include mitigation measures where contamination exists and specify frequency of additional sampling and analysis to be done by the Contractor.
2. Due to the proximity and the potential for contamination of nearby groundwater, per- and polyfluoroalkyl substances (PFAS) analytical will be required for these requests prior to authorization. Specifically, analytical is required for perfluorooctanoic acid (PFOA) and perfluoro octane sulfonic acid (PFOS) using U.S. Environmental Protection Agency (EPA) method 1633.
3. OC SAN will require dischargers whose industrial wastewater discharge contains more than 40 parts per trillion (ppt) PFOA and PFOS combined to develop a PFAS waste management plan. The PFAS waste management plan must demonstrate how the permittee will maintain effluent concentrations at or below 40 ppt PFOA/PFOS on a long-term basis using measures including, but not limited to, source reduction, the installation of effective pretreatment technology, or alternative means for waste disposal.

#### E. Soil Exploration Locations

1. The location of all soil explorations shall be plotted on a map and attached to the Geotechnical Report. Preferably, the explorations shall include survey coordinates consistent with the project survey. Complete logs of the soil profiles shall be included in the report.
2. Explorations shall be located strategically within the footprint of the proposed excavation or on the centerline of proposed pipeline alignments. A total of 2 borings shall be cased and converted into water level monitoring wells for use during construction according to local agency requirements. CONSULTANT shall obtain all necessary permits for the installation of monitoring wells. CONSULTANT shall also be responsible for abandoning the wells after the construction is completed and the monitoring wells are no longer useful.
3. Work conducted outside OC SAN's treatment plant shall comply with the requirements of the local jurisdiction.

### **2.3.9 UTILITY INVESTIGATION**

A. To better manage the risks associated with construction excavation, CONSULTANT shall perform a thorough search of all utilities impacted by the work for all applicable Project Elements of this Scope of Work, regardless of size and all other facilities above or below ground. Utilities include all in-plant, utility company-owned and public agency-owned piping, duct banks, and other interferences. The search shall include utilities within the public right-of-way, and those located on private property and OC SAN property impacted by the proposed project. The search shall include the records and plans of OC SAN and all respective public and private companies and utilities.

## B. Review of OC SAN Records

1. OC SAN's "As-built/Record" plans may be incomplete or inaccurate with respect to the routing of individual utilities, pipelines, etc. in the vicinity of the project. CONSULTANT shall check OC SAN records against those of the other agencies, companies and utilities. These may include, but not be limited to, oil, gas, fuel, water, and sewer pipelines, traffic control facilities, telephone and electrical conduit and duct banks, storm drains, manholes, and other structures.

## C. Review of Outside Agency Records

1. CONSULTANT shall contact, in writing, all jurisdictional agencies and utility owners to inform them of OC SAN's project. CONSULTANT shall request plans showing all the agencies or utility facilities, pipelines, etc. in the project area. The CONSULTANT shall also request plans and schedules for all proposed construction in the project areas. CONSULTANT shall develop a schedule to minimize project conflicts and/or coordinate OC SAN projects with local agencies.
2. CONSULTANT shall personally visit each agency/company and search through all available plans, files, and documents. CONSULTANT shall meet with applicable field staff from each agency to confirm the completeness of their research. Abandoned utilities shall also be considered.
3. CONSULTANT shall document the contacts and information requested and received, including those from Underground Service Alert (USA). OC SAN shall be copied on all correspondence between CONSULTANT and public and private agencies, and utility companies. CONSULTANT shall submit a copy of all documentation to OC SAN with an itemized submittal letter. CONSULTANT's Project Manager shall sign the transmittal cover letter, and the cover letter shall confirm that CONSULTANT has sent a representative to each agency/company/utility, performed on-site inspections for each utility, and has listed the utilities.
4. CONSULTANT shall contact USA and request a Substructure listing for the project area.

## D. On-Site Inspection

1. An on-site inspection shall be conducted in the project area. During the on-site inspection, a senior-level CONSULTANT representative shall walk the site accompanied by OC SAN's Project Engineer and Supervising Inspector. The CONSULTANT representative shall be experienced in the location and identification of utilities in the field. During the on-site inspection the CONSULTANT shall document all visible features that indicate utilities within the project area and compare them with the available utility plans.

## E. Utilities for Adjacent Properties

1. CONSULTANT shall investigate all utilities serving properties adjacent to the work, and submit a spreadsheet at the end of the utility research accounting for all anticipated utilities for OC SAN review, with the following information:
  - a. List all utilities anticipated or each adjacent property.

- b. Indicate whether or not each such utility was found on as-built drawings of any agency, with an identification of the agencies identifying such utility.
- c. Indicate whether or not the utility was field located by utility through USA process, and, if so, by which agency.

F. CONSULTANT shall provide all required stamped traffic control plans as part of the encroachment application process required by all cities for use during the geophysical investigations, potholing, geotechnical borings and field investigations.

#### G. Subsurface Utility Investigations

1. Investigation of existing utilities shall be in accordance with the respective ASCE guidelines, except as amended by this Scope of Work. A brief description of the ASCE guidelines defines the Quality Level of detail for researching subsurface utilities as follows:
  - a. **Quality Level D:** Information derived from existing records or oral recollections.
  - b. **Quality Level C:** Information obtained by surveying and plotting visible above-ground utility features and by using professional judgment in correlating this information to Quality Level D information.
  - c. **Quality Level B:** Information obtained through the application of appropriate surface geophysical methods to determine the existence and approximate position of subsurface utilities. Quality Level B data shall be reproducible by surface geophysics, such as ground penetrating radar, at any point of their depiction. This information is surveyed to applicable tolerances and reduced onto plan documents.
  - d. **Quality Level A:** Precise horizontal and vertical location of utilities obtained by the actual exposure (or verification of previously exposed subsurface and surveyed utilities) and subsequent measurement of subsurface utilities, usually at a specific point. Minimally intrusive excavation equipment is typically used to minimize the potential for utility damage. A precise horizontal and vertical location, as well as other utility attributes, is shown on the plan documents. Accuracy is typically set to 15-mm vertical and to applicable horizontal survey and mapping accuracy.
2. Refer to CI/ASCE 38-22, Standard Guidelines for Collection and Depiction of Existing Subsurface Utility Data for details.
3. CONSULTANT shall determine all utilities impacted by the work for all applicable Project Elements of this Scope of Work. Utilities include utility company-owned, and public agency-owned piping, duct banks, and other interferences. All utilities encountered during the preliminary design shall be shown on the plans.
4. Subsurface investigation for all utilities in and around the work area shall be performed to Quality Level D and Quality Level C. All utilities shall be plotted both in plan and profile on a scaled drawing that can later be incorporated into scaled (1" = 40') plan drawings.
5. CONSULTANT shall submit, for acceptance by OC SAN, recommendations on which utilities should be investigated to Quality Level A and where Quality Level

B investigations should be performed. As part of the submittal, a Potholing Plan and Geophysical Investigation Plan shall be developed including proposed pothole locations and type of geophysical investigation.

6. Prior to OC SAN's acceptance of the Potholing Plan/Geophysical Investigation Plan, a project field walk by the CONSULTANT Project Manager, OC SAN Project Engineer, Supervising Inspector, and other designated OC SAN personnel shall be performed.

#### H. Potholes and Geophysical Investigation

1. CONSULTANT shall secure the services of a subcontractor to perform the pothole work and geophysical investigation (including ground-penetrating radar).
2. CONSULTANT shall "pothole" and perform geophysical investigation on all utilities described and shown in the accepted Potholing Plan/Geophysical Investigation Plan. CONSULTANT's staff shall be on-site during potholing to provide direction to potholing crew. OC SAN staff shall also be present during potholing. Field investigations include visiting the project work site and each utility to verify the location of all interferences.
3. CONSULTANT shall provide all the related work necessary, including, but not limited to:
  - a. Documentation of information
  - b. Notification of USA's "Dig Alert"
  - c. Providing field survey
  - d. Obtaining required permits
  - e. Submission of traffic control plans
  - f. Setting up traffic control
  - g. Soft dig potholing
  - h. Ground-penetrating radar
  - i. Excavating
  - j. Backfilling
  - k. Repairing pavement to local jurisdiction requirements
    - (1) Investigate local City/County moratorium lists
    - (2) Comply with AHJ's pavement repair requirements
    - (3) Coordinate with the local cities/county and track for any requirement updates
4. "Soft" excavation potholing methods such as vacuum extraction is preferred; however, excavation methods shall be chosen to adequately define the utility. Crosscut trenches may be preferred for defining some utility locations. Hydro-jetting soft digs should be avoided in sandy, wet and contaminated soil conditions.

5. Potholing subcontractor shall measure and document the depth of pavement and of base material at each pothole, and every five feet along crosscut trenches.
6. Work conducted outside OC SAN's treatment plant shall comply with the requirements of the local jurisdiction.
7. CONSULTANT shall provide a licensed land surveyor or hire a licensed survey subcontractor(s) to field-locate the actual horizontal and vertical location of the constructed potholes. Survey controls shall be set and coordinated with the survey controls used on previous construction drawings. City and County control points shall be checked; northing, easting and elevation data for each pothole shall be shown on the Contract Drawings; and physical tie-ins provided in order to easily re-establish pothole locations after construction. CONSULTANT shall supply and supervise survey work and subcontractors needed to perform the pothole work. Survey datum differences shall also be reconciled.
8. The results of potholing and geophysical efforts shall be summarized in a field findings report.
9. CONSULTANT shall backfill and repair potholes consistent with the requirements of the local jurisdiction. If CONSULTANT is unable to determine local jurisdiction requirements prior to the proposal, CONSULTANT shall assume the following requirements:
  - a. The materials removed from the excavation may not be used for backfilling, unless approved by the local AHJ. If approved, excavated material used to fill potholes shall be placed with a maximum lift thickness of four inches and mechanically compacted.
  - b. If not approved, the CONSULTANT shall be responsible for hauling off and disposing of excavated pothole material. In this case, excavation holes shall be filled with cement slurry mix from the bottom up. The excavated materials shall be tested for hazardous materials and disposed of offsite accordingly. Testing shall be the minimum required for classifying the materials. The potholing samples shall be tested by a California Environmental Laboratory Accreditation Program (ELAP) certified laboratory to identify characteristics of hazardous waste. A substance shall be considered hazardous if it possesses properties of toxicity, ignitability, corrosivity and/or reactivity per California Code of Regulations Title 22, Section 66261. In addition, Minimum the laboratory testing shall include an on-site Organic Vapor Analyzer (OVA) test for potential hydrocarbon contaminants. Should the OVA reading be equal to or greater than 45 ppm, further laboratory Minimum testing shall be performed to include Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) test per EPA guideline 8020 and Total Hydrocarbons (TPH) tests per EPA guideline. should the OVA reading be equal to or greater than 45 ppm.
  - c. AC pavement shall be replaced to full depth or the structural section (AC & Base) plus two inches with hot mix asphalt unless otherwise required by the City of Fullerton, City of Brea, City of Yorba Linda, and City of Placentia. Cold mix shall only be allowed when the patch will be replaced by the project and where approved by City of Fullerton, City of Brea, City of Yorba Linda, and City of Placentia.

- d. Concrete pavement shall be replaced to full depth plus two inches with Portland cement unless otherwise required by City of Fullerton, City of Brea, City of Yorba Linda, and City of Placentia.

I. Quantitative Assumptions

1. The assumptions for potholing or other exploratory testing will be negotiated upon selection of the most qualified CONSULTANT. The Request for Proposal may include a requirement to list assumptions used for the fee estimate.
2. The assumptions for potholing or exploratory testing will be negotiated upon selection of the most qualified CONSULTANT. For the estimated level of effort, the following assumptions should be used:
  - a. 2 vacuum potholes, averaging 6 feet deep

J. Depiction of Utilities and Potholes on Plans

1. All utilities encountered during the preliminary design shall be shown on the Plans. Each subsurface utility shown on the drawings shall include the Quality Level to which it was investigated as required by CI/ASCE 38-02. Pothole locations shall be shown on drawings with survey information.

K. Relocation of Existing Utilities

1. Project work that requires other agencies to relocate existing utilities shall be coordinated during design by CONSULTANT.

**2.3.10 PUBLIC RELATIONS**

A. The CONSULTANT shall secure the services of a Public Outreach Subconsultant to conduct all public outreach activities required during preliminary and design phases. The subconsultant's services are currently anticipated to be required during the construction phase .

B. OC SAN's Public Affairs Office (PAO) will share with the public the general scope of the project during design, construction impacts for design, and construction activities and the project's progress. The subconsultant will possess a full range of professional public outreach skills and an understanding of the issues, audiences and technical process required to inform the community of the activities required for the project.

C. The primary goal of the public outreach effort is to inform motorists, merchants, employers, hospitals, local residents, schools, and elected officials about the project need, construction impacts during design phase, and steps that can be taken to minimize those impacts.

D. The CONSULTANT shall assist OC SAN by providing public information proactively through written and electronic media sources and providing quick responses and resolutions to community concerns to keep the project/program moving forward. The public outreach program is an effective tool for building partnerships and reaching affected audiences during and in preparation for construction documents.

E. The CONSULTANT shall coordinate all public outreach with OC SAN's PAO and help support the coordination with cities, agencies, and the public.

F. The team selected will be required to attend and participate in technical team meetings as appropriate, and participate in the following but not be limited to:

1. Identify stakeholders including but not limited to cities, agencies, residents, businesses, schools, etc.
2. Develop project announcement and updates (written announcement and map).
3. Development and review of documents and maps for mass mailings of letters and notifications.
4. Coordinate neighborhood meetings, send invitations, attend, facilitate, summarize meetings, and follow up.
5. Inform the public prior to the start of construction to minimize surprises that may become the sources of complaints.
6. Inform and remind the public of the long-term benefits of the project.
7. Reassure the public that neighborhood impacts, which are a high priority of OC SAN, have been thoroughly assessed and mitigation.
8. Help the impacted public feel involved and part of the process.
9. Demonstrate concern and good faith by responding quickly and earnestly to address issues and provide reasonable solutions to concerns or complaints.
10. Attend workshops to discuss design alternatives to minimize public impacts.
11. Build on the existing OC SAN logo to establish project/program identification.
12. Identify outreach outlets/tools – local newspapers, city newsletters, community newsletters, HOA's, business groups, social media, etc.
13. Develop a public outreach plan to be implemented during design and construction. The plan shall include a Public Impacts Table to evaluate potential impacts to the public, and recommend mitigation measures to be implemented during design and construction phases, not limited to the following:
  - a. Identify project stakeholders – Provide contact information.
  - b. Identify audience (church, commuters, residence, etc.) and number of people impacted.
  - c. Identify activities to be performed during design phase, estimated duration, and anticipated public impacts.
  - d. Identify activities to be performed during construction phase, estimated duration and anticipated public impacts.
  - e. Review and provide analysis of available mitigation measures to reduce, control or eliminate impacts identified.
  - f. Develop a list of anticipated work restrictions imposed by affected cities, agencies, and other stakeholders.
  - g. Identify special events in the area, day, and duration during design and construction.

- h. Identify schedule and scope of current, ongoing, and future construction projects of other cities and agencies within project area.
  - i. Recommend measures to be implemented during design and construction activities.
  - j. Identify work by other agencies in same area during the same timeframe for design and construction activities.
  - k. Rate public impacts (high, medium, and low)
14. Develop Public Impacts Design Memo. The Public Impacts Table shall be included in the Public Impacts Design Memo.
15. Update and maintain public impact documents through the preliminary design and design phases.
- G. Stakeholders that will require early coordination include:
- 1. Cal State Fullerton
  - 2. Army Corps of Engineers
  - 3. OC Public Works
  - 4. OC Parks
  - 5. Tuffree Middle School
  - 6. Placentia-Linda Hospital (TBD)

**2.3.11 VALUE ENGINEERING ASSISTANCE (NOT USED)**

**2.3.12 ENVIRONMENTAL DOCUMENTATION**

A. CONSULTANT services related to Environmental Documentation may span across Phase 2 – Preliminary Design and Phase 3 - Design. When such services are required, they shall be based on the requirements of Section III – Project Schedule and based on the following requirements. The CONSULTANT shall allocate the budgeted hours between the Environmental Documentation services in Phase 2 and Phase 3 based on when these services will be required.

B. CEQA Documentation Support

- 1. OC SAN expects that a Notice of Exemption (NOE) will be required for this project. OC SAN will separately arrange for preparation of required NOE.

**2.3.13 PERMITTING ASSISTANCE**

A. CONSULTANT services related to Permitting Assistance may span across Phase 2 – Preliminary Design and Phase 3 - Design. When such services are required, they will be based on the requirements of Section III – Project Schedule and the schedule constraints associated with each particular permit. The CONSULTANT shall allocate the budgeted hours between the Environmental Documentation services in Phase 2 and Phase 3 based on when these services will be required.

B. For all applicable Project Elements of this Scope of Work, CONSULTANT shall provide Bid Documents that ensure that the facility features and the facility performance, and construction procedures comply with all conditions of existing permits and permits

required to construct this project. Construction drawings, specifications and supplemental drawings shall be prepared, as necessary, in the format required to obtain all permits.

C. CONSULTANT shall assist OC SAN in obtaining permits. This assistance shall include completing application forms provided by OC SAN, preparing supporting documentation for the permit applications as required by the issuing agency, furnishing the required number of copies of all construction drawings and exhibits, and attending meetings with permitting agencies at the request of OC SAN.

D. With the exception of construction Contractor-furnished permits, OC SAN staff will execute all applications. All permit fees will be paid directly by the OC SAN and will not be part of CONSULTANT's fee.

E. CONSULTANT shall submit all supporting documentation in a timely fashion for all permits required for this project as described below.

F. Caltrans Encroachment Permits

1. The CONSULTANT shall assume 3 meetings at two hours each.

G. Army Corps of Engineers Encroachment Permits

1. The CONSULTANT shall assume 3 meetings at two hours each.

H. City / County Encroachment Permits

1. City of Fullerton
2. City of Brea
3. City of Placentia
4. City of Yorba Linda
5. County of Orange
6. Orange County Flood Control District
7. OC Parks
8. Yorba Linda Water District
9. The CONSULTANT shall hold 16 meetings at two hours each.

I. Design Phase Stormwater Pollution Prevention Plan (SWPPP)

J. Stormwater Permitting

1. CONSULTANT shall determine and specify the preliminary Risk Level and Project Type using the California State Water Resources Control Board's Storm Water Multiple Application and Report Tracking System (SMARTS) based on the R-Factor obtained from US EPA's online Rainfall Erosivity Factor Calculator for Small Construction Sites.
2. CONSULTANT shall prepare the specification for stormwater using OC SAN's respective master specification as a starting point. CONSULTANT shall not begin work on editing the specification until OC SAN has approved the CONSULTANT's preliminary Risk Level and Project Type.

3. It is OC SAN's intent to design linear underground/overhead projects (LUP) to LUP Type 2 requirements, whenever possible, which is often the most economical approach. CONSULTANT shall coordinate with the OC SAN Project Manager and OC SAN Environmental Compliance Division and edit Stormwater Pollution Prevention Plant specifications accordingly.

#### 2.3.14 PROJECT SAFETY REVIEW

A. CONSULTANT shall prepare [Exhibit 11 Project Safety Check List](#) and [Exhibit 12 – Full Project Safety Review Plan](#) for review with OC SAN Risk Management during the Preliminary Safety Review Plan Workshop. The workshop shall be held at OC SAN offices and no more than 4 hours. (held 4 weeks prior to draft PDR).

B. Moderator

1. CONSULTANT shall provide a suitably qualified moderator to conduct the Workshops to review project safety topics contained in [Exhibit 11 - Project Safety Check List](#) and [Exhibit 12 - Full Project Safety Review Plan](#). The moderator shall have the following attributes:
  - a. Sufficiently technically knowledgeable to understand the nature of the safety risks involved, but the moderator need not be a subject matter expert.
  - b. Not extensively involved in the project to date, so that the moderator has no personal stake in the issues. CONSULTANT's Project Manager and Project Engineers for this project are not considered suitable.
  - c. Employed by CONSULTANT, a Subconsultant, or a specific individual.

#### 2.3.15 PROJECT MANAGEMENT

A. CONSULTANT shall be responsible for managing CONSULTANT's project execution, schedule, budget, subconsultants, and coordination with other projects. The CONSULTANT shall perform the project management requirements in accordance with **Exhibit 3 – Project Management Requirements** with the project specific options identified below.

B. Progress Reporting

- Earned Value Curve and Budget Status Graph
- Milestone-Based Tracking

C. Project Management Plan (PMP):

- Not required
- Required
  - PMP approval prior to beginning technical work on the project.

D. Project Logs

- Meeting Log
- Project Decision Log
- Action Item Log

- Design Issues Log
- Risk Management Log

E. Work Breakdown Structure (WBS)

1. The WBS shall serve as the unified framework for organizing and reporting project work. It will be jointly developed by OC SAN and the CONSULTANT and used for invoicing, monthly progress reporting, scheduling, and earned value management.
2. By establishing this structure collaboratively, OC SAN and the CONSULTANT ensure that all reporting and tracking activities are consistent, comparable, and based on a shared understanding of the project work.
3. The WBS shall consolidate into the cost codes as shown below.

Work Package	Description	Tasks
3146	Preliminary Design	All Phase 2 tasks
3250	CONSULTANT Services During Design	All Phase 3 tasks except 3.4
3254	Bid Support Services	Task 3.4

**2.3.16 RISK MANAGEMENT**

A. When required below, CONSULTANT shall provide risk management in accordance with **Exhibit 4 - Risk Management Requirements** with the project specific options identified below.

B. Risk Management:

- Not required
- Required
  - Initial Risk Workshop
  - PDR Risk Management Workshop: 3 hours. (held 3 weeks prior to draft PDR at OC SAN)

C. Moderator

1. CONSULTANT shall provide a suitably qualified moderator to conduct the Workshops defined in **Exhibit 4 - Risk Management Requirements**. The moderator shall have the following attributes:
  - a. Sufficiently technically knowledgeable to understand the nature of the risks involved, but the moderator need not be a subject matter expert.
  - b. Not extensively involved in the project to date, so that the moderator has no personal stake in the issues. CONSULTANT's Project Manager and Project Engineers for this project are not considered suitable.
    - (1) Employed by CONSULTANT, a Subconsultant, or a specific individual.

2. OC SAN will moderate the risk management meetings defined in **Exhibit 4 - Risk Management Requirements**.
3. The Preliminary Design Risk Management Workshop shall be planned and scheduled for a duration of 2 hours and will be held at OC SAN offices.

**2.3.17 QUALITY CONTROL**

A. The CONSULTANT shall provide quality control requirements in accordance with **Exhibit 6 - Quality Control Requirements**.

**2.4 PDR WORKSHOPS AND MEETINGS**

**2.4.1 GENERAL**

A. Workshop and meeting planning, requirements, agendas, and meeting minutes shall be in accordance with **Exhibit 5 - Workshop and Meeting Requirements**.

**2.4.2 PDR PRODUCTION WORKSHOPS**

A. Predesign Kickoff Workshop

1. A four-hour project kick-off meeting shall be held with OC SAN staff to introduce principal members of OC SAN and CONSULTANT’s teams. The discussion topics shall include OC SAN responsibilities, CONSULTANT’s responsibilities, invoice procedures, personnel badges, parking, site access, CONSULTANT’s Scope of Work, detailed project schedule with milestones, Work Breakdown Structure requirements, and OC SAN confined space and other safety policy training.

B. PDR Production Workshops shall be held during Preliminary Design to review project progress during PDR Production. The subjects to be covered in each workshop are discussed below. Each workshop shall be two hours in length.

C. PDR Production Workshops shall be held during Preliminary Design to review the topics listed below. The list below also indicates the number of workshops to be held to cover the specific topic. Unless otherwise noted, each workshop shall be 2 hours in length.

<b>PDR PRODUCTION WORKSHOPS</b>	
<b>TOPIC</b>	<b>NUMBER OF WORKSHOPS</b>
PDR Production Kickoff	1
PDR Production Workshops	
<b>Collections Basis of Design, Parameters, Rehabilitation Alternatives, and Constructability</b>	1
<b>Demolition (General)</b>	1
<b>Demolition (Pump Station)</b>	1
<b>Structural Design Parameters</b>	1
<b>Utility Investigations and Geotechnical</b>	1

<b>PDR PRODUCTION WORKSHOPS</b>	
<b>TOPIC</b>	<b>NUMBER OF WORKSHOPS</b>
<b>Public Impacts and Traffic Control</b>	1
<b>Design Safety Requirements</b>	1
<b>Odor Monitoring and Mitigation</b>	1
<b>Permit Requirements</b>	2
Implementation Plan and Sequencing Constraints	3

**2.4.3 PDR REVIEW WORKSHOPS**

A. CONSULTANT shall hold the following workshops to review the draft Preliminary Design Report as required in **Exhibit 5 - Workshop and Meeting Requirements**:

1. Draft PDR Presentation Workshop
2. Draft PDR Review Workshop
3. PDR Validation Workshop

**2.4.4 DESIGN MEMO WORKSHOPS**

A. CONSULTANT shall hold workshops to present and review the Design Memos as required in **Exhibit 5 - Workshop and Meeting Requirements**:

**2.4.5 PDR CONSTRUCTABILITY WORKSHOP**

A. A constructability workshop shall be held after the draft PDR submittal review to identify any fatal flaws in the design relative to constructability. Some of the subjects that shall be covered in this workshop include the following: traffic control, conflicts between design disciplines, geotechnical considerations, construction sequencing, power outages, equipment shutdowns, viability of equipment relocation, safety, operational requirements, access for maintenance, size-critical equipment requirements and constraints, permitting, public nuisance issues, other local conditions and constraints.

B. This workshop shall be held at OC SAN facilities and shall generally be four hours in length. OC SAN and CONSULTANT staff shall attend this workshop.

C. CONSULTANT shall be responsible for completing the following tasks related to the workshop:

1. Prepare package for constructability review workshop participants. The package shall consist of detailed plans and specifications and other information selected by CONSULTANT.
2. Prepare presentation on the project.
3. Summarize the constructability review workshop comments and action taken on each comment in a memorandum.
4. All comments and recommendations of the workshop shall be incorporated into the Implementation Plan Design Memo and the Bid Documents.

#### **2.4.6 TECHNICAL PROGRESS MEETINGS**

A. Technical Progress Meetings shall be held every four weeks to review various issues with OC SAN's project team. A total of 12 meetings shall be held during the Preliminary Design Phase. The CONSULTANT shall coordinate with the OC SAN Project Manager to determine what topics will be covered in what meetings, and what OC SAN and CONSULTANT team members are required for each.

#### **2.4.7 FOCUSED MEETINGS**

A. Focused meetings shall be held throughout preliminary design to discuss specific issues in detail and generate comments and direction from OC SAN staff. The following tentative list of topics may be covered in these meetings:

1. Site utility coordination
2. Traffic Control
3. Temporary Bypass
4. Cost Estimate Requirements
5. Permits
6. OC SAN Safety Standards, confined space and other safety requirements
7. City requirements
8. Landscaping plan
9. Survey requirements
10. Potholing
11. Utilities and utility tie-ins
12. Electrical demolition
13. Construction sequencing
14. Coordination with other projects
15. Public Outreach

B. Meeting lengths shall be as required to cover the topic in question. Depending on subject matter and attendees, one meeting may cover multiple subjects. The CONSULTANT shall determine how many meetings will be needed to cover these topics. CONSULTANT may suggest additional topics as necessary. Supplementary meetings may be scheduled with OC SAN staff, as necessary to allow coordination between CONSULTANT and OC SAN staff.

#### **2.4.8 COORDINATION WITH OTHER PROJECTS MEETINGS**

A. The project shall be a complete and fully functional facility that is integrated with existing facilities and coordinated with other construction projects. CONSULTANT shall coordinate potential conflicts with the following adjacent projects and participate in the number of meetings indicated in the following table:

PROJECT COORDINATION MEETINGS		
PROJECT	PROJECT DESCRIPTION	COORDINATION MEETINGS
City of Fullerton	Street Improvement Projects	2 meetings @ 2 hours
City of Placentia	Street Improvement Projects	2 meetings @ 2 hours

#### 2.4.9 STORMWATER COMPLIANCE MEETING

A. A formal meeting shall be held with OC SAN's stormwater compliance staff to review the project scope and identify all issues during and after construction affecting compliance with stormwater regulatory requirements and OC SAN's policies and practices.

### 3. PHASE 3 – DESIGN

#### 3.0 BID DOCUMENTS

##### 3.0.1 GENERAL

A. CONSULTANT shall provide engineering services to prepare biddable plans, technical specifications, and other Bid Documents as required based on the design concepts and criteria developed during Phase 2 - Preliminary Design. In this Scope of Work, construction documents include specifications; drawings; cable, conduit and cable tray schedules; commissioning plan materials; equipment and instrumentation database (EID); SCADA Administration Tool (SAT); and bypassing plans.

##### 3.0.2 ENGINEERING DESIGN GUIDELINE UPDATES

A. All changes in OC SAN's Engineering Standards, OC SAN's Design Guidelines, and/or changes in design concepts and facility layouts as a result of OC SAN comments that may occur up to transmittal of OC SAN comments on Design Submittal 2, shall be incorporated into the Design by CONSULTANT with no increase in CONSULTANT's Not-to-Exceed upper limit on fees.

##### 3.0.3 GENERAL REQUIREMENTS AND ADDITIONAL GENERAL REQUIREMENTS

A. The following are the minimum Additional GRs topics required for this project:

- Summary of Work
- Work Sequence
- Work Restrictions
- Permits
- Environmental Restrictions and Controls
- Measurement and Payment (includes Mobilization/Demobilization)
- Seismic Design Criteria (for those restraints, supports, etc. to be designed by the Contractor)
- Shipping, Storage and Handling
- Contractor and Engineer's Field Offices

- Project Control Management System (PMWeb construction management software)
- Equipment Service Manuals
- Equipment and Instrument Database (EID)
- Commissioning
- Training of OC SAN Personnel
- Hazardous Materials Mitigation and Controls
- Mold Remediation and Controls

#### 3.0.4 DESIGN SUBMITTALS

A. The CONSULTANT shall produce the following design submittals as indicated below in accordance with **Exhibit 2 - Design Requirements**. If a design submittal is eliminated, then the design submittal shall include the requirements associated with the required design submittal along with the requirements associated with the previous unchecked design submittals.

- Design Submittal 1
- Design Submittal 2
- Design Submittal 3
- Final Design Submittal
- Final Technical Plans and Specifications

B. Continuing Work After Design Submittal Submission

- CONSULTANT is expected to **continue design work** on the project while OC SAN staff reviews Design Submittal 1 and Design Submittal 2. For Design Submittal 3, CONSULTANT shall stop all design work until receipt of OC SAN comments on that submittal.
- CONSULTANT is expected to **stop design work** on the project until OC SAN staff completes the review of each Design Submittal.

#### 3.0.5 CONSTRUCTION SUBMITTAL ITEMS LIST

- OC SAN will develop the Construction Submittal Items List in accordance with **Exhibit 2 - Design Requirements**.
- CONSULTANT shall develop the Construction Submittal Items List in accordance with **Exhibit 2 - Design Requirements**.

#### 3.0.6 TEMPORARY FACILITIES DURING CONTRUCTION

- Temporary facilities and bypass pumping are not required.
- Temporary facilities and bypassing during construction are required, as described under the "Temporary Facilities During Construction" paragraph under the Project Elements and shall be described in words on the drawings and technical specifications.

Detailed plans and work sequence for temporary facilities and bypassing during construction, as described under the “Temporary Facilities During Construction” paragraph under the Project Elements.

### **3.1 DESIGN SUPPORT DOCUMENTATION**

#### **3.1.1 DESIGN SUBMITTAL SUPPORT DOCUMENTATION**

A. The CONSULTANT shall provide a Design Submittal Support Documentation in accordance **Exhibit 2 - Design Requirements**.

B. Design Information

1. CONSULTANT shall include the following material with each Design Submittal:
  - a. The CONSULTANT shall maintain the Project Logs specified under Phase 2 Project Management through Phase 3. Current copies of all logs shall be included with each Design Submittal.
  - b. Written response log to OC SAN comments on the previous submittal.
  - c. CEQA and Regulatory Compliance Matrix. This matrix shall list each applicable CEQA mitigation requirement and all known permit requirements with the corresponding description of how each requirement is to be satisfied. Measures to satisfy requirements might be in the GRs, Additional GRs, particular specification requirements, or actions taken separately from the construction contract.
  - d. Calculations
  - e. Draft or final Geotechnical Reports not submitted in the previous submittal and those revised since the previous submittal.
  - f. Proposed list of suppliers to be named in the specifications for major equipment.
  - g. Draft or final Fire Protection Reports not submitted in the previous submittal and those revised since the previous submittal.
  - h. Draft or final Field Findings Reports not submitted in the previous submittal and those revised since the previous submittal.
  - i. Equipment data sheets
  - j. Equipment catalog cuts and vendor quotations.
  - k. Commissioning Package List: The Preliminary Commissioning Package List first developed in the PDR Production Phase shall be updated in each Design Submittal and used as a starting point to develop the list of commissioning procedures.
  - l. All memos that may be prepared since the previous submittal was delivered.

C. Facility Operation and Maintenance

Not required.

Update operating philosophies

Update estimates of Operation and Maintenance staffing requirements

D. Electrical Design Documentation

Electrical design documentation is not required.

Updated Electrical Load Criticality Table

Electrical Analysis Report

Load list for all equipment

Equipment sizing from three manufacturers for motor control centers, switchgear, transformers and power panels

Lighting calculations

Standby generator sizing calculations

Duct Bank cable pulling tension, derating and cable tray fill calculations

E. Power System Studies

ETAP is not required.

Plant ETAP model for the project performed by OC SAN.

Plant ETAP model for the project performed by CONSULTANT.

Electrical Systems Analysis Report performed by CONSULTANT.

**3.1.2 CONSTRUCTION COST ESTIMATE**

A. The CONSULTANT shall provide a cost estimates for the associated design submittal indicated below in accordance with the **Engineering Design Guidelines, Chapter 15 Construction Cost Estimates.**

Design Submittal 1

Design Submittal 2

Design Submittal 3

Final Design Submittal

Invitation for Bid (IFB)

Post-Bid Evaluation

**3.1.3 CONSTRUCTION SCHEDULE**

A. The CONSULTANT shall provide a Preliminary Construction Schedule for the associated design submittal indicated below in accordance with **Exhibit 2 - Design Requirements.**

Construction Schedule is not Required

Design Submittal 1

Design Submittal 2

Design Submittal 3

Final Design Submittal

### **3.1.4 PROCUREMENT ALTERNATIVES**

A. The CONSULTANT shall recommend the appropriate procurement alternatives as described in **Exhibit 2 - Design Requirements**.

Procurement alternatives not required

Procurement alternatives required

## **3.2 DESIGN ACTIVITIES**

The following services shall be provided by the CONSULTANT or an appropriately qualified subconsultant. In any case, the CONSULTANT shall be responsible for managing all subconsultants, including reviewing their work products prior to submission to OC SAN.

### **3.2.1 EASEMENTS, PROPERTY BOUNDARIES AND WORK AREA LIMITS**

A. CONSULTANT services related to Easements, Property Boundaries and Work Area Limits on the project are specified in Phase 2 – Preliminary Design and those services shall continue during Phase 3 – Design as required. CONSULTANT shall allocate the budgeted hours between Phase 2 and Phase 3 based on when these services will be required.

### **3.2.2 TOPOGRAPHIC SURVEY**

A. CONSULTANT services related to Topographic Survey on the project are specified in Phase 2 – Preliminary Design and those services shall continue during Phase 3 – Design as required. CONSULTANT shall allocate the budgeted hours between Phase 2 and Phase 3 based on when these services will be required

### **3.2.3 GEOTECHNICAL BASELINE REPORT (NOT INCLUDED)**

### **3.2.4 UTILITY INVESTIGATION**

A. CONSULTANT services related to Utility Investigation on the project are specified in Phase 2 – Preliminary Design and those services shall continue during Phase 3 – Design as required. CONSULTANT shall allocate the budgeted hours between Phase 2 and Phase 3 based on when these services will be required.

B. Final Design Submittal Utility Coordination Reviews

1. During DS3 submittal review, the CONSULTANT shall meet with outside agencies to verify any changes made by agency during the final design period and compare them with the Contract Drawings. CONSULTANT shall follow through with due diligence on utilities that do not participate in the USA program, unknown owner of a facility and/or abandoned utilities.
2. During DS3 submittal review, an on-site inspection shall be conducted in the project area. During the on-site inspection, a senior-level CONSULTANT representative shall walk the site accompanied by OC SAN's Project Engineer and Supervising Inspector. The CONSULTANT's representative shall be experienced in the location and identification of utilities in the field. During the on-site inspection the CONSULTANT shall document all visible features that

indicate utilities within the project area and compare them with the Contract Drawings.

### **3.2.5 NOISE EVALUATION SERVICES**

A. CONSULTANT shall secure the services of a Subconsultant to prepare a field finding Noise Report. This report shall include the following:

1. Visit site and conduct ambient noise measurements to establish baseline.
2. Identify external sources of noise.
3. Identify potential methods for defining noise impacts.
4. Develop noise model consistent with noise impact assessment methods.
5. Determine exterior noise levels and compliance with assessment standards.
6. If required, develop mitigation measures to meet design standards.
7. Determine compliance with OSHA's regulations.
8. If needed, determine mitigation measures to meet OSHA's requirements.
9. Prepare written report on findings and recommendations

### **3.2.6 TRAFFIC CONTROL SERVICES**

A. CONSULTANT shall determine traffic control requirements and prepare plans and specifications for all construction activities performed within or adjacent to the public ROW. The traffic control plans shall be approved by the AHJ by FDS. Additionally, the Traffic Control designer shall attend City of Fullerton, City of Placentia, City of Brea, City of Yorba Linda and OC SAN Submittal review meetings, workshops, validation meetings and focus meetings, as needed. The traffic control designer shall attend stakeholders and OC SAN review meetings, as needed.

### **3.2.7 PUBLIC RELATIONS**

A. CONSULTANT services related to Public Relations on the project are specified in Phase 2 – Preliminary Design and those services shall continue during Phase 3 - Design. The CONSULTANT shall allocate the budgeted hours between the Public Relations services in Phase 2 and Phase 3 based on when these services will be required.

### **3.2.8 ENVIRONMENTAL DOCUMENTATION**

A. CONSULTANT services related to Environmental Documentation on the project are specified in Phase 2 – Preliminary Design and those services shall continue during Phase 3 - Design. The CONSULTANT shall allocate the budgeted hours between the Environmental Documentation services in Phase 2 and Phase 3 based on when these services will be required.

### **3.2.9 PERMITTING ASSISTANCE**

A. CONSULTANT services related to Permitting Assistance on the project are specified in Phase 2 – Preliminary Design and those services shall continue during Phase 3 - Design. CONSULTANT shall allocate the budgeted hours between the Permitting

Assistance services in Phase 2 and Phase 3 based on when these services will be required.

### 3.2.10 PROJECT SAFETY REVIEW

A. CONSULTANT shall provide project safety in accordance with Engineering Design Guidelines Chapter 14 – Project Safety and shall update **Exhibit 11 – Project Safety Check List** and **Exhibit 12 – Full Project Safety Review Plan** for review with OC SAN Risk Management during Project Safety Review Plan Workshops

B. Project Safety Workshops:

- DS1 Project Safety Review Workshop: 1 hour (held during OC SAN's review of DS1 at OC SAN)
- DS2 Project Safety Review Workshop: 1 hour (held during OC SAN's review of DS2 at OC SAN)
- DS3 Project Safety Review Workshop: 2 hours (held during OC SAN's review of DS3 at OC SAN)

C. Moderator shall be as specified for Phase 2 – Preliminary Design.

### 3.2.11 PROJECT MANAGEMENT

A. CONSULTANT shall be responsible for managing CONSULTANT's project execution, schedule, budget, subconsultants, and coordination with other projects. CONSULTANT services related to Project Management on the project are specified in Phase 2 – Preliminary Design and those services shall continue during Phase 3 – Design as required. CONSULTANT shall allocate the budgeted hours between Phase 2 and Phase 3 based on when these services will be required.

### 3.2.12 RISK MANAGEMENT

A. CONSULTANT shall provide risk management in accordance with **Exhibit 4 – Risk Management Requirements**.

### 3.2.13 QUALITY CONTROL

A. The CONSULTANT shall provide Quality Control requirements in accordance with **Exhibit 6 - Quality Control Requirements**.

Independent Multi-Discipline Design Workshop is not required.

Independent Multi-Discipline Design Workshop is required.

## 3.3 DESIGN WORKSHOPS AND MEETINGS

### 3.3.1 GENERAL

A. Workshop and meeting planning, requirements, agendas, and meeting minutes shall be in accordance with **Exhibit 5 - Workshop and Meeting Requirements**.

### 3.3.2 DESIGN PHASE WORKSHOPS

A. The focus of workshops is to review project progress to date and the technical decisions that have been made in focused meetings. CONSULTANT shall conduct the workshops listed below in Phase 3 – Design. The CONSULTANT shall allow the following time for each workshop:

<b>DESIGN PHASE WORKSHOPS</b>	
<b>WORKSHOP TYPE</b>	<b>DURATION</b>
Design Kickoff Workshop	2 hours
Design Review Meetings	4 meetings @ 2 hours
Design Validation Meeting	2 hours

B. The Design Review Meetings shall include the following topics, as applicable to the project:

1. Civil/Yard
2. Constructability
3. Traffic Control
4. Bypass Pumping

C. A single workshop shall be provided for the Design Review Meetings.

D. During final design, design review and validation workshops shall be held after each design submittal, except FDS.

**3.3.3 PRE-DS2 CONSTRUCTABILITY WORKSHOP (NOT IN THIS SCOPE)**

**3.3.4 DESIGN PHASE MEETINGS**

A. Technical Progress Meetings

1. Technical Progress Meetings shall be held every three weeks for 1 hour to review various issues with OC SAN's project team. The CONSULTANT shall coordinate with the OC SAN Project Manager to determine what topics will be covered in what meetings, and what OC SAN and CONSULTANT team members are required for each. An Agenda and list of any outstanding CONSULTANT technical questions shall be submitted at least 1 week prior to the Technical Meeting.

B. Focused Meetings

1. Focused meetings shall be held throughout preliminary design to discuss specific issues in detail and generate comments and direction from OC SAN staff. Assume a total of 8 meetings @ 2 hours each.

**3.3.5 CONSULTANT OFFICE TECHNICAL MEETINGS (COTMS)**

A. OC SAN has found it mutually beneficial to visit the CONSULTANT offices from time to time to observe the detailed design in process, answer detailed technical questions, and establish lines of communications with CONSULTANT staff. During the Design Phase, CONSULTANT shall arrange for OC SAN staff to meet in CONSULTANT's work center and audit "over the shoulder" design reviews with CONSULTANT's staff. The reviews will be monitored by a member of the CONSULTANT's Management Team. Signification decisions will be reported to CONSULTANT's Project Manager and OC SAN's Project Manager and logged into the Decision Log. Action items will be identified.

B. The CONSULTANT shall schedule, at a minimum, the following CONSULTANT Office Technical Meetings (COTMs):

1. One two-hour visit to review CONSULTANT Implementation of CAD standards.

C. The CONSULTANT shall schedule each of the above COTMs and shall coordinate with OC SAN's Project Manager to be sure the correct personnel participate in the meetings. The CONSULTANT may propose additional, eliminate, or combine COTMs as needed to support the detailed design.

D. OC SAN may also request additional "over the shoulder" design review meetings to audit the design in other areas not listed above.

### 3.3.6 COORDINATION WITH OTHER PROJECTS MEETINGS

A. The project shall be a complete and fully functional facility that is integrated with existing facilities and coordinated with other construction projects. CONSULTANT shall coordinate potential conflicts with the following adjacent projects and participate in the number of meetings indicated in the following table:

PROJECT COORDINATION MEETINGS		
PROJECT	PROJECT DESCRIPTION	COORDINATION MEETINGS
City of Fullerton	Street Improvement Projects	2 meetings @ 2 hours
City of Placentia	Street Improvement Projects	2 meetings @ 2 hours

### 3.3.7 PROJECT SAFETY

A. CONSULTANT shall prepare and meet with OC SAN Risk Management personnel at PDR to review **Exhibit 11 Project Safety Checklist** and **Exhibit 12 Full Project Safety Review Plan**.

B. CONSULTANT shall update Exhibits 11 and 12 throughout the design phases. CONSULTANT shall provide OC SAN Risk Management with the final copies of Exhibit 11 and Exhibit 12 at completion of the Design Project.

### 3.3.8 CONSTRUCTION SUBMITTAL ITEMS LIST MEETING

A. Meet with OC SAN between DS2 and DS3 to review the CONSULTANT's approach to developing the project Construction Submittal Items List using **Exhibit 18 – Project Control Management System - PMWeb** and the CONSULTANT-provided specifications and discuss the grouping of submittals in commissioning packages and phases.

### 3.3.9 STORMWATER COMPLIANCE MEETING

A. A formal meeting shall be held with OC SAN's stormwater compliance staff to review the project scope and identify all issues during and after construction affecting compliance with stormwater regulatory requirements and OC SAN's policies and practices.

## **3.4 BID PHASE SUPPORT SERVICES**

### **3.4.1 BID PHASE SUPPORT SERVICES**

- A. CONSULTANT shall provide the following bid period services:
1. Participate in the pre-bid meeting.
  2. Prepare project drawing set and project specification addenda to provide clarification and resolve errors and omissions identified prior to bid opening.
  3. Identify cost impacts associated with addenda changes.

### **3.4.2 BID EVALUATION ASSISTANCE**

- A. Participate in reviewing alternate equipment proposals from the Contractor, if applicable.
- B. Participate in the evaluation of the submitted bids, furnish consultation and advice to OC SAN staff and assist with all the related equipment, cost, and other analyses as required to finalize the award decision.
- C. If the lowest bidder exceeds the engineer's estimate by 10%, the CONSULTANT is required to conduct a confidential bid evaluation within two weeks from the bid due date. This evaluation shall include a detailed analysis of the deviation, providing reasons for the variance.

### **3.4.3 CONFORMED DOCUMENT PREPARATION**

- A. Within two weeks of the bid date, prepare conformed documents set (drawings, databases, specifications and other required materials) that incorporates the addenda. See Engineering Design Guidelines, Chapter 01, Design Guidelines – General Requirements, Section 01.4 “Preparation of Project Deliverables” for requirements as modified in Section V of this Scope of Work, “Project-Specific Deviations from OC SAN Design Guidelines” and the requirements of the CAD Manual).

## **4. PHASE 4 – CONSTRUCTION AND INSTALLATION SERVICES (NOT USED)**

## **5. PHASE 5 – COMMISSIONING SERVICES (NOT USED)**

## **6. PHASE 6 – CLOSE OUT (NOT USED)**

## **7. GENERAL REQUIREMENTS**

### **7.0 GENERAL**

#### **7.0.1 OC SAN ENGINEERING DESIGN GUIDELINES AND STRATEGIC PLAN**

- A. CONSULTANT shall refer to and adhere to the requirements of OC SAN Safety Standards, OC SAN Engineering Design Guidelines, any deviations to the Engineering Design Guidelines listed below, and other OC SAN's Design Standards referenced therein. **Exhibit 16 – Spec Review using Microsoft Word and Teams** Exhibit 19 – Project Overview Map and Record Drawings

B. – **OC SAN Engineering Design Guidelines and Standards – Available online at <https://www.ocsan.gov/document-center/>** is a complete set of the OC SAN Safety Standards and OC SAN Design Standards, the latest edition at the time of the design proposal stage.

C. The Engineering Guidelines define what plant design concepts/tools/methods and project management requirements shall be adhered to and in what manner they shall be used/provided by CONSULTANTS, e.g., requirements regarding design concepts, submittals, documentation details, use of OC SAN Master Specifications, and other related OC SAN Standards, etc.

D. Refer also to Section “CONSULTANT’s Responsibilities” in OC SAN Engineering Design Guidelines Chapter 01. Refer to “Master Specifications Instructions for Use” that mandates rules and conventions to be used in all OC SAN project specifications.

E. The project Scope of Work defines whether or not each specific deliverable described in the Guidelines shall be part of the project and when each task shall take place.

F. The project Scope of Work also includes requirements that supplement and/or modify the Guidelines requirements for this project.

G. The project Scope of Work and OC SAN Engineering Design Guidelines impact CONSULTANT’s project cost.

H. Except as specified in this Scope of Work, design of all facilities shall conform to the recommendations of the currently approved Master Plan for OC SAN facilities. The project shall also incorporate all applicable mitigation measures included in associated environmental documents and site-specific local requirements.

I. In addition, OC SAN will require the CONSULTANT to follow subsequent revisions of OC SAN Safety Standards, OC SAN Engineering Design Guidelines and other OC SAN Design Standards up to transmittal by OC SAN of comments on Design Submittal 2, shall be incorporated into the Design by CONSULTANT with no increase in CONSULTANT’s Not-to-Exceed upper limit on fees.

J. OC SAN may update OC SAN’s Master Specifications and/or add new OC SAN Master Specifications up to transmittal by OC SAN of comments on Design Submittal 1. The CONSULTANT shall utilize the new and/or modified Master Specifications for the DS3 submittal.

K. The CONSULTANT shall not begin editing the project specifications until the project team meets with OC SAN’s Design Standards Custodian to discuss and receive comments regarding the CONSULTANT’s proposed list of project specifications. This meeting will be used to determine which specifications are to use OC SAN’s master specifications, and where other sources will be utilized.

## **7.0.2 PROJECT PHASES AND TASKS**

A. Project tasks and deliverables shall include the requirements described in this Scope of Work. CONSULTANT shall also refer to Appendix A of OC SAN Engineering Design Guidelines for the level of detail requirements for individual deliverables in each Phase of the project not covered in the Scope of Work.

### 7.0.3 CONSTRUCTION SEQUENCING AND CONSTRAINTS

A. CONSULTANT shall develop with OC SAN staff and include in the Bid Documents detailed requirements for construction sequencing and constraints. These shall ensure safe and reliable operation and maintenance of OC SAN facilities. The facilities must be kept online and fully operational with minimal interruptions throughout construction.

### 7.0.4 WORKING HOURS

A. Meetings with OC SAN staff shall be scheduled from Monday through Thursday between the hours of 8:00 AM and 4:00 PM. Any CONSULTANT staff working on-site shall conform to OC SAN work schedules. CONSULTANT shall refer to the Engineering Design Guidelines, Chapter 01, Section 01.3.5 “CONSULTANT Inspection of Treatment Facilities” for further requirements.

### 7.0.5 STANDARD DRAWINGS AND TYPICAL DETAILS

A. All the details used in the project (OC SAN’s Standard Drawings and CONSULTANT developed typical details) shall be shown on the Plans.

### 7.0.6 SOFTWARE

A. The CONSULTANT is expected to develop and provide the deliverables using the standard software currently approved for use by OC SAN. The standard OC SAN software includes, but is not limited to, the following:

B. Any software that the CONSULTANT needs to comply with these standards shall be purchased and maintained by the CONSULTANT at no additional cost to OC SAN. In the event OC SAN provides the CONSULTANT with access to OC SAN software and hardware at an OC SAN facility in order to facilitate performance of their work, all software shall remain the property of OC SAN. Only software licensed to OC SAN shall be installed on OC SAN equipment. In addition, only OC SAN IT Department staff will perform the installation of this software.

C. Refer to Chapters 10 and 11 and Appendix A of OC SAN Engineering Design Guidelines for requirements on preparation of Criticality Tables and ETAP, SAT, and EID databases. Refer to OC SAN CAD Manual and to Chapter 11 and Appendix A of OC SAN Engineering Design Guidelines for requirements regarding P&ID drawings.

### 7.0.7 SUBMITTAL REVIEW USING BLUEBEAM

A. OC SAN has standardized on the use of Bluebeam Revu for reviewing and providing comments to PDF files. PDF files will be hosted in a Bluebeam cloud-based studio session for review. See **Exhibit 18 – Project Control Management System** for a detailed explanation on how Bluebeam will be used to provide, validate, and close submittal review comments.

B. Prior to submitting electronic PDF files, format them as indicated in **Exhibit 174 – Bluebeam Designer Training for Submission** and “OC SAN CAD Standards Manual” prior to submission.

C. A one-hour training session on the use of Bluebeam and custom status menu will be provided by OC SAN. All CONSULTANT team members responsible for quality control and reconciliation of submittal comments shall attend.

## 7.0.8 WORD TRACK CHANGES

A. Specifications documents and other MS-Word based deliverables will be hosted in OC SAN Teams environment for review. The guidelines for reviewing and commenting on MS-Word files, including Specifications reviews, can be found in **Exhibit 19 – Project Overview Map and**

## 7.0.9 GIS SUBMITTALS

A. CONSULTANT shall provide the following GIS deliverables propagated from approved design submittals after the design submittal is accepted. These GIS submittals will not be reviewed or presented by CONSULTANT. The purpose is to provide project specific GIS layers that could be used to visualize interproject dependencies and conflicts.

1. Electronic Submittal
  - a. Kmz files for use with Google Earth
2. Final PDR
  - a. Single project boundary (Polygon)
    - (1) Boundary to encompass all new facilities and existing to be modified including:
      - Buildings\Structures
      - Tunnels
      - Utilities
      - Pavement
      - Street boundary (ROW to ROW) of possible alignment
  - b. Structures (Polygon)
    - New structure outline
    - Additions to existing structures
    - Structure label
3. DS1
  - a. Project boundary - *updated from PDR*
  - b. Structures - *updated from PDR*
  - c. Utilities (Polyline)
    - (1) Utility alignment
  - d. Manholes (Point)
  - e. Excavation of pits (Polygon)
    - (1) Pits that will stay open for extended duration
    - (2) CIPP
    - (3) Tunnel - jacking and receiving

- (4) All pits should be labeled
- 4. DS2, DS3, and FDS
  - a. Project boundary - updated from previous DS
  - b. Structures - updated from previous DS
  - c. Utilities - updated from previous DS
  - d. Manholes - updated from previous DS
  - e. Excavation of pits - updated from previous DS
  - f. Critical (as defined by Dig Alert) utility crossings (Point)
    - (1) Crossing of Dig Alert critical utilities
    - (2) Critical utility label
      - Natural gas
      - Fuel pipeline
      - 12 kV Electrical
  - g. Asphalt (Polygon)
    - (1) Asphalt to be replaced

## **8. STAFF ASSISTANCE**

OC SAN staff member or designee assigned to work with CONSULTANT on the design of this project is Todd Waltz at (714) 593-7117, e-mail to [twaltz@ocsan.gov](mailto:twaltz@ocsan.gov) and/or Ashley Pham at (714) 593-7244, email to [aspham@ocsan.gov](mailto:aspham@ocsan.gov).

## **9. EXHIBITS**

**Exhibit 1 - Preliminary Design Report Requirements**

**Exhibit 2 - Design Requirements**

**Exhibit 3 - Project Management Requirements**

**Exhibit 4 - Risk Management Requirements**

**Exhibit 5 - Workshop and Meeting Requirements**

**Exhibit 6 - Quality Control Requirements**

**Exhibit 7 - Design Submittal Requirements Matrix**

**Exhibit 8 - Project Schedule Calculation**

**Exhibit 9 - Deliverables Quantities**

**Exhibit 10 – Sample Construction Cost Estimate Formats**

**10a – Sample Construction Cost Estimate Format Sample 1**

**10b – Sample Construction Cost Estimate Format Sample 2-6**

**10c – Sample Construction Cost Estimate Format Sample 7**

**Exhibit 11 – Project Safety Check List**

- Exhibit 12 – Full Project Safety Review Plan**
- Exhibit 13 – MMRP Log Template (Not Used)**
- Exhibit 14 – Bluebeam Designer Training for Submission**
- Exhibit 15 – Bluebeam Designer User Training**
- Exhibit 16 – Spec Review using Microsoft Word and Teams**
- Exhibit 17 – OC SAN Engineering Design Guidelines and Standards – Available online at <https://www.ocsan.gov/document-center/>**
- Exhibit 18 – Project Control Management System**
  - a. Attachment A – Master Construction Submittal Items List
- Exhibit 19 – Project Overview Map and Record Drawings**
- Exhibit 20A - Manhole Concrete Core Testing**
- Exhibit 20B - Sewer Pipeline and Manhole Conditions**
- Exhibit 20C - Newhope-Placentia Trunk System – Rights Analysis**
- Exhibit 20D – Manhole CCTV Inspection Reports**
- Exhibit 20E – Pipeline CCTV Inspection Reports**
- Exhibit 20F – CSUF Entrance Marquee Conceptual Plans**

TW:lb